From:	Advertising Compliance
To:	s22
Cc:	s22
Subject:	IMPORTANT: Letter from the Therapeutic Goods Administration for Naturally Elevated Pty Ltd (TGA ref: AC-000000014762) [SEC=OFFICIAL]
Date:	Thursday, 10 August 2023 4:56:42 PM
Attachments:	image005.png
	2023.10.08 - TGA letter - Opportunity to provide submissions prior to commencing enforcement action.pdf
Importance:	High

Dear <mark>s22</mark>

Please find attached correspondence from the Therapeutic Goods Administration.

Please carefully read the letter and provide receipt of this email by Monday 14 August 2023.

You are welcome to make submissions as to why regulatory action **should not** be taken and can do so by **12 noon** on **Thursday 31 August 2023.**

Please do not hesitate to contact me should you have any further queries.

Kind Regards,



Important: This transmission is intended only for the use of the addressee and may contain confidential or legally privileged information. If you are not the intended recipient, you are notified that any use or dissemination of this communication is strictly prohibited. If you receive this transmission in error please notify the author immediately and delete all copies of this transmission.

This response contains general information given to you without prejudice, it is not binding on the TGA, and it does not constitute legal advice. You may need to get your own independent advice to ensure that all of the legislative requirements are met.



Australian Government

Department of Health and Aged Care Therapeutic Goods Administration

s22

Naturally Elevated Pty Ltd ACN 636 315 109 Unit 19 67 Bancroft Road PINKENBA QLD 4008

Email and express post: S22 @elevatedextracts.com.au

Our Reference: AC-00000014762

10 August 2023

Dear <mark>S22</mark>

Opportunity to provide submissions as to why regulatory action should not be taken in relation to the alleged unlawful advertising of therapeutic goods

- 1. The Therapeutic Goods Administration (TGA) has previously written to your business, Naturally Elevated Pty Ltd (Naturally Elevated) in relation to the alleged unlawful advertising of therapeutic goods, specifically medicinal cannabis products.
- Our previous correspondence provided you with notice of the TGA's concerns about the advertising of medicinal cannabis products and provided education on some of the relevant regulatory requirements in relation to the advertising of therapeutic goods with reference to the civil penalty and criminal offence provisions and relevant legislation.
- Consistent with <u>how we manage advertising compliance</u> and the information found at <u>compliance actions and outcomes</u>, an escalation of regulatory action is being considered, relevantly because 'the alleged breach is such that there is a likely impact on the consumers' ability to use therapeutic goods safely or appropriately'.
- 4. The TGA's previous correspondence concerned the advertising of therapeutic goods, so an escalation of regulatory action in relation to your advertising of medicinal cannabis products is now appropriate.
- 5. The purpose of this letter is to provide Naturally Elevated with an opportunity to make submissions before a decision is made about whether escalated enforcement action should be taken in relation to alleged unlawful advertising which appeared on online platforms for which Naturally Elevated appears to be responsible.

PO Box 100 Woden ACT 2606 ABN 40 939 406 804 Phone: 1800 020 653 or 02 6289 4124 Fax: 02 6203 1605 Email: <u>info@tga.gov.au</u> <u>https://www.tga.gov.au</u> 6. You are welcome to make submissions as to why regulatory action should not be taken and can do so by **12 noon** on **Thursday, 31 August 2023**.

Action required

- Confirm receipt of this letter by Monday 14 August 2023.
- Carefully consider the information provided below.
- Provide your submission to the TGA by **12 noon** on **Thursday 31 August 2023** as to why regulatory action should not be taken in relation to the non-compliant advertising on the online platforms set out below.

Notice of intention to take enforcement action

- The TGA is considering whether escalated enforcement action should be taken in relation to alleged unlawful advertising which appeared on the Naturally Elevated, Canwell Pty Ltd and/or Farma Group online platforms (including websites and social media pages), for which Naturally Elevated appears to be responsible.
- 8. The websites of concern include:
 - a. the website at https://elevatedextracts.com.au/
 - b. the website at https://farmagroup.com.au/
 - c. the website at https://canwell.com.au/

(collectively, the Websites).

- 9. The social media pages of concern include:
 - a. the Instagram account on https://www.instagram.com/canwellaustralia/, and
 - b. the Facebook account on https://www.facebook.com/canwellaustralia/

(collectively, the Social Media pages).

- 10. The enforcement action being considered by the TGA may include:
 - a. giving you infringement notices under section 42YK of the *Therapeutic Goods Act 1989* (the Act) as an alternative to court action, or
 - b. preparing the matter for court either under section 42Y of the Act, or by preparing a brief to the Commonwealth Director of Public Prosecutions.
- 11. The enforcement action being considered may not be limited to the examples provided in this letter, and may extend to any other unlawful advertising of any kind.
- 12. Naturally Elevated's submissions will be taken into account by a delegate of the Secretary of the Australian Government Department of Health and Aged Care before a decision is made.
- 13. Please note that information about any compliance action taken against Naturally Elevated may be published on the TGA website under subsection 61(5A) of the Act, consistent with our usual practice.

Advertising therapeutic goods

14. Under the Act, a person who advertises or causes the advertising of therapeutic goods on certain circumstances may be liable for criminal sanctions (s 42DL of the Act)

and/or civil penalties (s 42DLB(1) of the Act). These circumstances include where the advertisement:

- a. contains a <u>prohibited representation</u>, being a reference to a particular condition like mental illnesses, such as depression and anxiety, and no TGA approval has been given.¹
- contains a <u>restricted representation</u>, being a reference to a to a serious form of a disease, condition, ailment or defect, such as Multiple Sclerosis and epilepsy, and no TGA approval has been given.²
- refers to substances, or therapeutic goods containing substances, included in Schedule 3, 4 or 8 to the <u>current Poisons Standard</u>, such as cannabis or cannabidiol, and no exception applies.
- d. contains a statement, pictorial representation or design suggesting or implying the goods have been recommended or approved by a government, such as 'TGA approved'.³

Summary of alleged contraventions

- 15. We identified prohibited representations, restricted representations, references to substances included in Schedule 3, 4 or 8 of the current Poisons Standard, and representations about government approvals, on the Websites and the Social Media pages which we allege contravene the advertising provisions in the Act.
- 16. Specifically, we allege that the Websites contained:
 - **prohibited and/or restricted representations** statements which refer to specific serious medical conditions such as Chron's Disease, Parkinson's Disease, Restless Leg Syndrome, Epilepsy, Multiple Sclerosis, Anorexia, Autism, Cerebral Palsy, and Endometriosis.
 - representations about government approvals statements which suggest or imply that medicinal cannabis products have been approved or recommended by a government or government authority, such as the statement made that Naturally Elevated trading as Elevated Extract products "[...] conform with the Therapeutic Goods 'Standard for Medicinal Cannabis' (TGO 93) Order 2017'.
 - references to substances included in Schedule 3, 4 or 8 of the current Poisons Standard - statements which promote the supply of medicinal cannabis products by stating "World-Class Medicinal Cannabis oils, isolates and tinctures that are ethically sourced and fairly priced."
- In addition, we allege that the advertisements on the Social Media pages referred to serious medical conditions, such as Anxiety, PTSD and Cancer (*prohibited representations*).

¹ See subsection 42DLB(1) of the Act, where subsection 42DLB(2) applies; and subsection 42DL(1) of the Act where subsection 42DL(5) applies.

² See subsection 42DLB(1) of the Act, where subsection 42DLB(4) applies; and subsection 42DL(1) of the Act, where subsection 42DL(7) applies.

³ See subsection 42DLB(1) of the Act where subsection 42DLB(6) applies; and subsection 42DL(1) of the Act where subsection 42DL(9) applies.

Important Information

- 18. The import, export, manufacture, supply and advertising of therapeutic goods in Australia is subject to the requirements of the *Therapeutic Goods Act 1989* and the *Therapeutic Goods Regulations 1990*. Please read the <u>TGA guidance</u> on therapeutic goods advertising.
- 19. Contraventions of the advertising requirements under the Act and the Advertising Code can result in criminal penalties of up to \$1.1 million or imprisonment for individuals, or \$5.5 million for corporations, or civil penalties of up to \$1.375 million for individuals or \$13.75 million for corporations. An entity who aids, abets, counsels, procures or induces another person (i.e. by providing incentives) to contravene the Act may also be liable under the Act.⁴
- 20. A person who advertises or causes the advertising of therapeutic goods in the above circumstances may also be liable for civil penalties under section 42DLB of the Act.
- 21. It is strongly recommended that you seek independent legal advice or the assistance of a regulatory affairs consultant to help you to comply with your regulatory obligations while you operate in the therapeutic goods space, and to respond to this letter. Please refer to the TGA's website at <u>http://www.tga.gov.au/regulatory-affairs-consultants</u> for information about regulatory consultants.
- 22. Naturally Elevated may also wish to refer to the following guidance provided by the TGA for Advertising guidance for businesses involved in medicinal cannabis products

Please contact S22 by email at <u>advertising.compliance@tga.gov.au</u> or phone S22 if you wish to discuss this matter further.

Yours sincerely

s22

S22 , Advertising and Product Investigations Section Regulatory Compliance Branch Therapeutic Goods Administration

⁴ Section 42YC of the Act.

From:	s22
To:	Advertising Compliance
Cc:	s22 ; s22 ; s22
Subject:	RE: IMPORTANT: Letter from the Therapeutic Goods Administration for Naturally Elevated Pty Ltd (TGA ref: AC-000000014762) [SEC=OFFICIAL]
Date:	Thursday, 31 August 2023 1:27:09 PM
Attachments:	image001.png TGA REP 23-001 - part 1.pdf TGA REP 23-001 - part 2.pdf

REMINDER: Think before you click! This email originated from outside our organisation. Only click links or open attachments if you recognise the sender and know the content is safe.

Good afternoon,

Please find attached our official response and request for subsequent action. Please Note: Due to size, it is spread across two attachments.

We appreciate the support during this time. Further, we look forward to working with you closer to ensure future compliance.

With thanks,



P.S. Please accept our apologies, acknowledging this is now 90 minutes past deadline (I had a typo in your email address).

From: Advertising Compliance <advertising.compliance@tga.gov.au> **Sent:** Thursday, August 10, 2023 4:57 PM

To: \$22<s22</th>@elevatedextracts.com.au>Cc: \$22<s22</td>@Health.gov.au>

Subject: IMPORTANT: Letter from the Therapeutic Goods Administration for Naturally Elevated Pty Ltd (TGA ref: AC-000000014762) [SEC=OFFICIAL] **Importance:** High

Dear <mark>s22</mark>

Please find attached correspondence from the Therapeutic Goods Administration.

Please carefully read the letter and provide receipt of this email by Monday 14 August 2023.

You are welcome to make submissions as to why regulatory action **should not** be taken and can do so by **12 noon** on **Thursday 31 August 2023.**

Please do not hesitate to contact me should you have any further queries.

Kind Regards,

S22 – Advertising and Product Investigation Section Regulatory Compliance Branch

Australian Government, Department of Health and Aged Care PO Box 100, Woden ACT 2606, Australia

P: **\$22** | e: **\$22** <u>@health.gov.au</u>

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Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109



To: **S2**

Advertising and Product Investigations Section Regulatory Compliance Branch Therapeutic Goods Administration

Cc: S22 advertising.compliance@tga.gov.au

Via: email and registered express post

TGA Reference: AC-000000014762 Naturally Elevated Reference: TGA/REP/23-001

30 August 2023

Dear S22

Naturally Elevated response to TGA letter. *TGA Reference: AC-000000014762* Opportunity to provide submissions as to why regulatory action should not be taken in relation to the alleged unlawful advertising of therapeutic goods

Thank you for your letter and supporting information, dated 10 August 2023.

BACKGROUND

Naturally Elevated takes the TGA allegations seriously relating to breaches in advertising of medicinal cannabis products.

Naturally Elevated genuinely appreciates the TGA providing supportive information and giving opportunity for Naturally Elevated to provide a submission as to why regulatory action should not be taken in relation to the alleged unlawful advertising of therapeutic goods.

Naturally Elevated content writers have attended TGA advertising seminars and education sessions.

SYSTEMATIC REVIEW

Naturally Elevated has performed a comprehensive systematic review of business operational systems and processes relating to advertising of therapeutic goods and services. This has included legal advice and engaging an external regulatory affairs consulting firm - ^{547E(d)} – registered with the Australian Medicinal Cannabis Association.

Naturally Elevated throughout the systematic review has referenced the TGA document Advertising guidance for businesses involved in medicinal cannabis products; v1.0 Oct 2019

CHANGE CONTROLS

Appendices A-I details the documents and records used by Naturally Elevated to investigate and make controlled changes to the content in words and pictures across all websites and social media – to make good any breaches in compliance with advertising legislation, as administered by the TGA, including:

prohibited and/or restricted representations;



Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109

representations about Government approvals; and

• references to substances included in Schedule 2, 3, 4 or 8 of the current Poisons Standard. The Appendices included at the end of this letter are intended to provide a transparent dossier of documents, as evidence of the systematic review and controlled changes made to the Naturally Elevated websites and social media pages – to make good the deficiencies – as listed in the TGA letter in Appendix J.

OUR PLEA and OUR PLEDGE

It is with respect and deep humility that we request the TGA does not enforce any infringement notices, nor penalties, nor public communications about the historic breaches of advertising by Naturally Elevated, as alerted by the TGA in points *i*. and *ii*. below:

- *i. infringement notices under section 42YK of the Therapeutic Goods Act 1989 (the Act) as an alternative to court action; or*
- *ii.* preparing the matter for court either under section 42Y of the Act, or by preparing a brief to the Commonwealth Director of Public Prosecutions.

Our plea

The reasons that Naturally Elevated requests that the TGA does not enforce penalties are listed in our plea and our pledge, as follows:

- 1. Naturally Elevated has worked with the TGA to make good the alleged advertising breaches;
- 2. Naturally Elevated wishes to engage the TGA for guidance and clarification on an ongoing basis;
- 3. Naturally Elevated has already had significant negative financial impact and lost productivity making good the deficiencies identified by the TGA, with support from the TGA, legal advisors, and regulatory affairs consultancy firm;
- 4. Naturally Elevated if we were to receive an infringement notice and public notification will likely feel the negative financial impact on the business to the extent that cost cuts by redundancies would likely need to occur. This would result in adverse impact on the livelihoods of individuals, their families and communities; and
- Naturally Elevated as demonstrated in Appendices A-I have made systematic changes to the business operations resulting in changes to websites and social media sites, to fully comply with the TGA <u>Advertising guidance for businesses involved in medicinal cannabis products</u>; v1.0 Oct 2019.

Our pledge

- Naturally Elevated pledges to continue to implement periodic cycles of continuous quality improvement to its business operations – including advertising compliance – to always ensure product quality, clinical efficacy and patient safety along the supply chain;
- 7. Naturally Elevated has provided education and training to its executives, managers on staff on compliance with the TGA <u>Advertising guidance for businesses involved in medicinal cannabis</u>



Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109

products; v1.0 Oct 2019 to avoid prohibited representations and restricted representations; and

8. Naturally Elevated has added this incident and subsequent activities to our 'Lessons Learnt Log', which is a project management tool.

In addition to that, a request has been put through to the developers for changes and a commitment to have it done via the 16th of September. We also have the brand new site launching in 4-5weeks time whereby content management will be done in-house and more streamlined to cater for these scenarios.... which will never happen again.

Notwithstanding the above and, to ensure our ongoing compliance, I would like to request an audience with you and/or any relevant team members.

The objective of said meeting would be to align on any remaining "grey" areas and confirm best practice in navigating said topics.

We would be willing to travel to you, host you at our office or execute a simple video call.

Please contact us for further information or clarification as needed.

With kind regards,



Naturally Elevated Pty Ltd Unit 19 67 Bancroft Road PINKENBA QLD 4008

ACN 636 315 109

** APPENDICES follow on next pages **

NATURALLY

Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109

Appendix A
DR – Deviation Report DR/QC/23-001

Source document: SOP-03 Document copyright	ation Report 8-00 Deviations			
Document Appro	vals			
Approved by	Signature	Position		Date
Author - Contiming the te	echnical content of this documen		_	
SZZ	The sectorical content of the	S22		21-Aug-2023
s22	\$22	s22		21-Aug-2023
Quality - Confirming comp	plance of this document with the	Pharmaceutical Manager	ent System a	the second se
s22	s22	s22		21-Aug-2023
Effective Date	21-Aug-2023	Review Date		01-Aug-2025
TGA alerted Elevate with Advertising and	d Extracts and Canwell by			
•	Promotion of non-approv	ed medicines, medica	al cannabis	k
SECTION 2: Deviati	ion Type		-	12.5
Investigator's name:	ion Type \$22	Department:	Quality	& Compliance
Investigator's name: Deviation type: Medi Act 1989 on website Deviation from pro Deviation within fa services/environmen	ion Type s22 cinal cannabis advertising and social media. coedure INon-confor- product/result aciity/ IS Deviation	Department: or promotion non-co ming material/ sidata from regulations	Quality mpliance v D Plan	& Compliance with Therapeutic Good ned deviation erse trend detected
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FFM-038-01

1

NATURALLY

Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109

Form - Deviation Report

Source document: SOP-038-00 Deviations

Document copyright

(Mandatory for any	Risk assessment number: deviation with SQuIPP Impact)	RA/QC/23-001	
Completed by (Name)	Position	Signature	Date
s22	s22	s22	22-Aug-2023

SECTION 3: Deviation Level

Has a risk assessment been completed for the deviation?

☑ Yes - see attached record number: 001

No – justification: No SQuIPP impact (product Safety, Quality, Identity, Potency, and Purity) or Other (specify):

Linked deviation	ns – Dev I	Ds: n/a			
Deviation level:	100-100-20	o sanka managatnanit	Excela	tion to senior management	El Critical Escalation to senior management mandatory
Escalated to ex	ecutive -	date: 14/08/2023		Escalated to:S22	Naturally Elevated
Quality Representativ	re (Name)	Position		Signature	Date
s22		s22		s22	25-Aug-2023

Inve	estigation plan	0	Not required
Inve	estigation action	Resp. person	Due date
1.	Full review TGA communications and allegations	-00	21-Aug-2023
2.	DR - process deviation report	SZZ	25-Aug-2023
3.	CAPA - corrective action & preventative action		25-Aug-2023
4.	RCA – root cause analysis		25-Aug-2023
5.	Develop summary of TGA medicinal advertising rules CAN do & CAN'T do		21-Aug-2023
6.	Develop procedure for periodic CY Q review of Naturally Elevated online materials to demonstrate compliance with TGA advertising rules		25-Aug-2023
7.	TR - update training records for staff involved in 1-8		25-Aug-2023
8.	Write response to TGA with Appendices 1-7		28-Aug-2023
1.	Effectiveness check 1-8 (mandatory)		29-Aug-2023

FRM-038-01

Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109

NATURALLY

Form - Deviation Report

Source document: SOP-038-00 Deviations

	ources of information to review.			
•	TGA letters and other communication	ns.		
	All websites and social media by Na	turally Elevated Canw	el On-Schedule	Farma
	References listed in the in-house ch			
ĩ	1. ss 42DL(10); Therapeutic Goods A	Conference of the second second second	is provident of a	
	 ss 42DL(12); Therapeutic Goods A 			
	3. Australian Regulatory Guidelines		tic Goods ARGATO	
	4. Advertising guidance for business			
	therapeutic goods advertising req	uirements; Therapeutic	Goods Administrat	ion, Version 1.0,
	October 2019.	an		
	5. Part 8, Therapeutic Goods (Therap		Code) Instrument .	2021
	6. Part 1, Schedule 2, Therapeutic Go			
	ss 42DLB(6); Therapeutic Goods A	ct 1989		
D	etailed investigation plan attached?	Yes; section 4	Not required	
C	opies of relevant records attached?	© Yes	D Not required	
Tr	rend analysis and historical assess	nent		
-	ate range of historical review.			
D	ate range of historical review. Attended previous seminars run by t	he TGA on advertising		
1.2	Attended previous seminars run by t Attended seminars run by the MCIA	dinic sessions.		
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D. 1.2.3.	Attended previous seminars run by the MCIA Review and edits of websites materi gained from 1 and 2. st any previous events related to this of 1. st any trends related to this deviation: 1. ther comments:	dinic sessions. al aligned with regulato	ry compliance kno	wledge and insight
D 1.23	Attended previous seminars run by the MCIA Review and edits of websites materi gained from 1 and 2. st any previous events related to this of 1. st any trends related to this deviation: 1. ther comments:	dinic sessions. al aligned with regulato	ry compliance kno	wiedge and insights

SECTION 5: Finalise the i	nvestigation	
Determine root cause		Not required
Root cause analysis record	001	
Root cause category:	C Method	Materials
	D Measurement	C1 Machines
	El People	L1 Environment

FRM-038-01

R01

Page 3 of 6

Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109

Form - Deviation Report Source document: SOP-038-00 Deviations Document copyright Summary of root cause: The following root cause analysis (RCA) methodology was applied with subsequent findings: 1. Identify and darify the problem. TGA allegations of non-compliance. 2. Breakdown and analyse the problem by visiting the websites and cross-referencing to the TGA alegators. 3. "5 Why's" investigation of the overall system and individual processes to determine why, the '5 Why's' investigation of the overall system and individual processes to determine why, the problems – listed as TGA allegations – had occurred RCA findings by SHZ Consultant Naturally Equivaled website content author and authorizer is the same person. Naturally Elevated – at the time of online publication – did not have access to a person to review websites content for full regulatory compliance. Naturally Elevated – with best intentions – based on review of TGA Advertising and Promotion guidelines and after attending TGA and MCIA educational seminars, thought that their website content was compliant with the TGA requirements. **Corrections and Corrective actions** D Not required Are corrections required to address to the result of the deviation? CI No 2 Yes - list corrections required, including responsible person and due date: to review website material content and recommend edits and deletions. 1 to review and edit recommended edits for regulatory compliance. to review 1 and 2, and approve changes for web developers to make final 23 change and S22 to re-check for final review of pre-live website contents. to review 4 and approve changes for web developers to make final changes for 4 5 updated websites 'go-live'. Are corrective actions required to permanently address the root cause of the deviation? E Yes - CAPA number: CAPA/QC/23-001 No – justification: Completed by (Name) Date 25-Aug-2023 Date Ity Representative (N 25-Aug-2023 **SECTION 6: Closeout** Final impact assessment List any impacts of the deviation on related batches or products (including those in the marketplace), results, locations or systems not already included:

Quality of products unaffected.
 Websites shutdown resulted in significant loss of revenue.
 Added financial costs for external stakeholders engagement to fix root cause of process failure by

process re-design. 4. Loss in business productivity by diverting resources to fix websites content for compliance.

List supplier(s) of suspect raw materials.

n/a

FRM-038-01

ROT

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NATURALLY

Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109

Form – Deviation Report

Source document: SOP-038-00 Deviations

Document copyright Residual impact on: □ Safety □ Quality □ Identity □ Potency □ Purity ⊠ No SQuIPP impact Justification: 1. Not applicable. Product guality unaffected. 2. Non-conformance with advertising and promotion as enforced by the TGA. Detailed impact assessment report attached: Ø Yes; see section 8 □ Not required Were the corrective actions effective? 1 Yes D No If no, describe the outcome and proposed further actions to eliminate the deviation: n/a Final batch disposition status: 1. Not applicable. Product quality unaffected. 2. Non-conformance with advertising and promotion as enforced by the TGA. Guality Representative (Name) Position signature Date 25-Aug-2023

SECTION 7: Cancellation	or Extension		and the second	
Type of action: n/a	⊡Ca	ncellation	Extension	
□ Approved □ Rejected. F n/a	Reason for rejection	n		
Quality Representative (Name)	Position	Signature	Date	
na	n/a	n'a	e'a	

DOCUMENT END



Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109

Form – Deviation Report

Source document: SOP-038-00 Deviations

Document copyright

Change History

Version Number	Date	Change Number	Description of Changes
01	Mar-2023	N/a	Initial document

Template reference: TMP-003-04_Form Template (Portrait)_r01

FRM-038-01

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Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109

Appendix B

CAPA – Corrective Actions & Preventative Actions CAPA/QC/23-001

Form - Corrective and Preventative Action (CAPA)

Document Approv	vals			
Approved by	Signature	Position	1	Date
Author - Confirming the tex	chrical content of this document	nt l	_	
s22	s22	s22		21-Aug-2023
Document Owner - Confirm	ning the technical content of th			
\$22	s22	s22		21-Aug-2023
Qualty - Contiming compl	lance of this document with the	e Pharmaceutical	Quality Dyster	n and/or Regulatory Compliance
522		522		21-Aug-2023
Effective Date	21-Aug-2023	Review	Date	01-Aug-2025
SECTION 1: CAPA d	letails			Sector Property in
CAPA ID: CAPA/QC/	23/001	Date in	itiated: 23-	Aug-2023
Initiator's name:S22	2	Depart	ment: Qual	ity & Compliance
2 S22 to 3. s22 to changes.	review website material review and edit recomm review 1 and 2, and app version 1 and 2, and app	ended edits fo prove changes	r regulatory for web de	y compliance. evelopers to make final
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2 522 to 3 522 to changes. 4 522 an 5 522 an 5 522 to updated websites 1s this CAPA linked to Are there other open in Have batches been in Does this CAPA affec CAPA criticality. (2) C Completed by (Name) 522	review and edit recommon review 1 and 2, and appendent 2, and appendent 2, and approve of a Quality System recommon related CAPAs? Inpacted? It product registration? Critical IMajor Position S22 Position S22	tended edits fo prove changes heck for final re shanges for we d? No No No No Minor signet S22	r regulatory for web de wiew of pro- b develope Q Yes - Q Yes - Ves Proposed ure	v compliance. evelopers to make final e-live website contents. rs to make final changes for Ref number: CAPA/QC/23/00/ CAPA number: n/a date of closure: 20-Aug-2023 Date 23-Aug-2023 Date
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00	Investigation and legal compliance
	System review with web developers
	Staff awareness and training updates
	Quality and regulatory compliance
mments: n/a	

FRM-039-01

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R01



Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109

Form - Corrective and Preventative Action (CAPA)

Source document: SOP-039-00 Corrective and Preventive Actions

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SE	CTION 3: Implementation plan		
Ro	ot cause analysis record:		
Se	e Deviation Report (30-Aug-2023)		
Se	e Root Cause Analysis Report (30-Aug-2023)		
Pre	obable root cause:		
Th	e following root cause analysis (RCA) methodology w	as applied with subse	quent findings:
	 Breakdown and analyse the problem by visiting allegations. "5 Why's" investigation of the overall system and problems – listed as TGA allegations – had occut RCA findings by service Consultant: Naturally Elevated website content auth b. Naturally Elevated – at the time of onlin to review websites content for full regula 	d individual processes urred. Ior and authorizer is t e publication – did no atory compliance.	to determine why, the he same person. t have access to a person
	 Naturally Elevated – with best intentions Promotion guidelines and after attendin thought that their website content was of 	g TGA and MCIA edu	cational seminars,
Im	Promotion guidelines and after attendin	g TGA and MCIA edu	cational seminars,
-	Promotion guidelines and after attendin thought that their website content was o	g TGA and MCIA edu	cational seminars,
-	Promotion guidelines and after attendin thought that their website content was o plementation tasks sks	g TGA and MCIA edu compliant with the TG	cational seminars, A requirements.
Ta 1.	Promotion guidelines and after attendin thought that their website content was o plementation tasks sks to review website material content and recommend	g TGA and MCIA edu compliant with the TG	A requirements.
Ta 1. 2.	Promotion guidelines and after attendin thought that their website content was o plementation tasks sks to review website material content and recommend edits and deletions to review website material content and recommend	g TGA and MCIA edu compliant with the TG	Due Date
Ta 1. 2.	Promotion guidelines and after attendin thought that their website content was of elementation tasks sks to review website material content and recommend edits and deletions to review website material content and recommend edits and deletions to review 1 and 2, and approve changes for web	g TGA and MCIA edu compliant with the TG	Due Date 25-Aug-2023 28-Aug-2023

CAPA will address websites for contents complaint with the requirements outlined by the TGA letter 10-Aug-2023 listing allegations of non-compliance. Excerpt of letter as a screenshot below:

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Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109



Source document. SOP-039-00 Corrective and Preventive Actions

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	ary of alleged contraventions
15	We identified prohibited representations, restricted representations, references to substances included in Schedule 3, 4 or 8 of the current Poisons Standard, and representations about government approvals, on the Websites and the Social Media pages which we allege contravene the advertising provisions in the Act.
16	Specifically, we allege that the Websites contained
	 prohibited and/or restrict ed representations - statements which refer to specific serious medical conditions such as Chron's Disease, Parkinson's Disease, Restless Leg Syndrome, Epilepsy, Multiple Sclerosis, Anorexia, Autism, Cerebral Palsy, and Endometriosis.
	 representations about government approvals - statements which suggest or imply that medicinal cannabis products have been approved or recommended by a government or government authority, such as the statement made that Naturally Elevated trading as Elevated Extract products "[] conform with the Therapeutic Goods 'Standard for Medicinal Cannabis' (TGO 93) Order 2017'.
	 references to substances included in Schedule 3, 4 or 8 of the current Poisons Standard - statements which promote the supply of medicinal cannabis products by stating "World-Class Medicinal Cannabis oils, isolates and tinctures that are ethically sourced and fairly priced."
17.	In addition, we allege that the advertisements on the Social Media pages referred to serious medical conditions, such as Anxiety, PTSD and Cancer (prohibited representations).
1. T e A 2. F	how the CAPA addresses root cause: the CAPA will drive a systematic review of systems, process and webpages content, includin dits and deletions and authorisations – before website go-live before close of business 30- ug-2023. ull review of TGA communications and allegations of corporate non-compliance. ull review with website developers of online content. authorised immediate shut down of websites, social media. authorised full review and edit to remove of online content. authorised full review and edit to remove of online content.
7. Fe	ebste pages to ensure compliance with TGA Advertising and Promotion rules. (Consultancy firm engaged for investigation, review and commendations for changes to website content – to ensure full TGA compliance with dvertising and Promotion.
7. re A	If E(d) consultancy firm engaged for investigation, review and commendations for changes to website content – to ensure full TGA compliance with
7. Fre A t any fir t of soo	VE(d) consultancy firm engaged for investigation, review and commendations for changes to website content – to ensure full TGA compliance with dvertising and Promotion. nitations of the CAPA or areas out of scope:



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NATURALLY

Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109

Form - Corrective and Preventative Action (CAPA)

Source document, SOP-039-00 Corrective and Preventive Actions

SE	SECTION 3: Implementation plan	
4.	Systems and process changes for website edits, review and authorisation live'.	is to publish website 'go-
5.	Periodic review every 3 months of websites content compliance.	
Lis	List regulatory clauses the CAPA addresses:	
the 20	The <u>Advertising guidance for businesses involved with medicinal cannabis pro therapeutic goods advertising requirements; Therapeutic Goods Administratio 2019 as specified in the <u>Therapeutic Goods Act 1989 (22 January 2019) - SU</u> at the time of publishing the Guidance document by the TGA (Oct 2019).</u>	n, Version 1.0, October
1.	 ss 42DL(10): Therapeutic Goods Act 1989 (22 January 2019) 1.1. "This subsection applies to the advertisement if it refers to substances substances, included in Schedule 3, 4 or 8 to the current Poisons Sta Appendix H of the current Poisons Standard, other than a reference a government or government authority (not including a foreign gover government authority)." 	andard but not in authorised or required by
2	 ss 42DL(12); Therapeutic Goods Act 1989 (22 January 2019) This subsection applies to the advertisement if it refers to therapeuti entered in the Register and that are prescribed by the regulations for subsection, other than a reference authorised or required by a govern authority (not including a foreign government or foreign government) 	the purposes of this nment or government
3.	3. Australian Regulatory Guidelines for Advertising Therapeutic Goods, ARG	ATG
4.	 Advertising guidance for businesses involved with medicinal cannabis pro therapeutic goods advertising requirements: Therapeutic Goods Administ October 2019. 	
5.	5. Part 8, Therapeutic Goods (Therapeutic Goods Advertising Code) Instrum	nent 2021;
6.	6. Part 1, Schedule 2, Therapeutic Goods Regulations 1990; and	
7.	 ss 42DLB(6); Therapeutic Goods Act 1989 (22 January 2019) 7.1. "This subsection applies to the advertisement if it contains a stateme 	nt nictorial
	representation or design suggesting or implying the goods have beer approved by or on behalf of a government or government authority (i government or foreign government authority), other than: (a) a statement of the availability of the goods as a pharm (b) a statement, pictorial representation or design authority government or government authority (not including a fi	n recommended or including a foreign acceutical benefit; or sed or required by a
	foreign government authority); or	oreign govennment or
	(c) a statement, pictorial representation or design prescrib the purposes of this paragraph."	bed by the regulations for
Co	Copies of relevant records attached? Xes I Not req	uired
Att	Attach the risk assessment to this form - RA ID: RA/QC/23-001	
-	Risk controls are required?	lo
Ris		
	Comments:	

FRM-038-01

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Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109



Form – Corrective and Preventative Action (CAPA)

Source document: SOP-039-00 Corrective and Preventive Actions

SECTION 3: Implementation plan			
 S22 to review 1 and 2 and approve d S22 and S22 to re-check for S22 to review 4 and approve changes updated websites 'go-live'. 	final review of p	re-live web	site contents.
Effectiveness measures			
Effectiveness measures are required:	X Yes	No No	
Effectiveness to be managed with a CAPA:	X Yes	No No	
Raising effectiveness CAPAs included in implementation tasks?	X Yes	□ No	
Effectiveness measure	Resp.		Due Date
Check implementation plan steps actioned			28-Aug-2023
Check approved website edits changed	-57		29-Aug-2023
Check execs updated on all steps in CAPA execution			30-Aug-2023
Communication plan			
List all areas/staff (stakeholders) who are impacted by Company executives, middle mangers and staff.	y the CAPA acti	ons:	
Communications plan to execute changes: 1. Changes review and stress test to TGA Advertisi 2. Training updates & awareness to staff on advertisi 3. No changes without regulatory compliance officer 4. Director to approve all website edits, additions an	sing, promotion a r review and app	and educat proval.	on.
Notify all stakeholders of the proposed CAPA:	X Yes - date	: 21-Aug-2	023

SECTION 4: Pre-implem	entation approval		A STATE OF	
CAPA is approved to con	nmence:		🛛 Yes	No No
Comments: Executive approval to exe	ecute as above Sections	5.		
Completed by (Name)	Position	8Ignature	Date	
s22			21-Aug-2023	
Quality Approval (Name)	Position	Signature	Date	
s22			21-Aug-2023	
SECTION 5: Implement	Actions			
All documentation is com	plete and appropriate:		🛛 Yes	□ No
CAPA evidence is preser	t and correct:		X Yes	No No
Quality System reference All updated and complete		9	Initiated 21-Aug-2023	Closed (Yes/No

FRM-039-01

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Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109

Form – Corrective and Preventative Action (CAPA)

Source document. SOP-039-00 Corrective and Preventive Actions

Document copyright **SECTION 5: Implement Actions** 1. review website material content and recommend edits and YES 25-Aug-2023 deletions 2. re-check 1. 28-Aug-2023 YES YES 3. review 1 and 2, and approve changes for web developers to make 25-Aug-2023 final change 4. re-check for final review of pre-live website contents 28-Aug-2023 YES 5. executive review 4 and approve changes for web developers to YES 29-Aug-2023 make final changes for updated websites 'go-live' Summarise the outcome of the CAPA: 1. Full systematic review of problems 2. Controlled changes 3. Controlled checks of content 4. Controlled cross-checks of content 5. Staff training implemented 6. Reported to executive Effectiveness check Is CAPA effectiveness during implementation ⊠ Effective Passable Ineffective effective? If no, describe the outcome and proposed further actions to eliminate the root cause: n/a The CAPA implementation is complete and ready for approval and closeout Completed by (Name) Position algoatum Date 22 25-Aug-2023

SECTION 6: Closeou	t			
CAPA outcome accept	table?		🛛 Yes	No No
Implementation plan w	as followed?		🛛 Yes	No No
All evidence is attache	d (reports, data or re-	cords etc.) DR, RCA, CAPA	X Yes	No No
Were the corrective ac	tions effective?	Ongoing monitoring	Xes Yes	No No
All quality system reco	rds are complete (CA	PA, CC, training etc.)?	X Yes	No No
All quality system reco	rds meet requiremen	ts of the CAPA plan?	Xes Yes	No No
All implementation risk	s were appropriately	managed?	X Yes	No No
Final batch disposition	Not applicable. Proc	ducts quality unaffected.		
Closed by (Name)	Position	Signature	Date	
522			29-Aug-2	023

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Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109

Form - Corrective and Preventative Action (CAPA)

Source document: SOP-039-00 Corrective and Preventive Actions

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ocorrow cancenation (or Extension		
Type of action: 🗌 Cancella	tion Extensi	ion	
Reason: n/a			Date notified: n/a
Requested by: n/a			Date: n/a
Approved Rejected.	reason tor reject	auri.	
Quality Representative (Name)	Position	8ignature	Data

DOCUMENT END

FRM-039-01



Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109

Form - Corrective and Preventative Action (CAPA)

Source document: SOP-039-00 Corrective and Preventive Actions

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Version Number	Date	Change Number	Description of Changes
01	Mar 2023	N/A	Initial document

-04_Form Template (Portrait)_

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Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109



Appendix C

RCA – Root Cause Analysis RCA/QC/23-001

Form - Root Cause Analysis

Source document: SOP-065-00 Root Cause Analysis

		o mont

Approved by	Signature	Position	Date
Author - Confirming the t	echnical content of this document	t	
s22			21-Aug-2023
Document Owner - Confi	ming the technical content of th	is document	
\$22			21-Aug-2023
Quality - Contiming com	plance of this document with the	Pharmaceutical Quality Dystem	and/or Regulatory Compliance
s22			21-Aug-2023
Effective Date	21-Aug-2023	Review Date	01-Aug-2025

SECTION 1: Approach				
Investigator:	s22			
Team member(s):	S22 □ N/A			
RCA number:	RCA/QC/23-001			
Parent quality record:	n/a. All details in this form and attached forms listed i 'Supporting Information'	below section,		
Date of problem:	01/08/2021 to 31/08/2022 As per TGA letter from 26/05/2023 - TGA ref# AC-000000	014762		
Date RCA conducted:	21-Aug-2023			
Supporting information				
Document reference	Title	Copy attached?		
FRM-038-01	Form – Deviation Report	⊠Yes ⊡No		
FRM-039-01	Form - Corrective and Preventative Action (CAPA)	⊠Yes □No		
FRM-043-01	Form – Quality & Compliance Risk Assessment	⊠Yes ⊡No		
AC-000000014762	TGA Letter; 10 August 2023 S22 Advertising and Product Investigations Section Regulatory Compliance Branch	⊠Yes ⊡No		

FRM-095-01

R01

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Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109

Form - Root Cause Analysis

Source document: SOP-065-00 Root Cause Analysis

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Problem Details

Problem description:

Advertising and promotion non-compliance allegations received from TGA. Excerpt of letter below:

Summary of alleged contraventions

- 15. We identified prohibited representations, restricted representations, references to substances included in Schedule 3, 4 or 8 of the current Poisons Standard, and representations about government approvals, on the Websites and the Social Media pages which we allege contravene the advertising provisions in the Act.
- 16. Specifically, we allege that the Websites contained:
 - prohibited and/or restricted representations statements which refer to specific serious medical conditions such as Chron's Disease, Parkinson's Disease, Restless Leg Syndrome, Epilepsy, Multiple Sclerosis, Anorexia, Autism, Cerebral Palsy, and Endometriosis.
 - representations about government approvals statements which suggest or imply that medicinal cannabis products have been approved or recommended by a government or government authority, such as the statement made that Naturally Elevated trading as Elevated Extract products "[...] conform with the Therapeutic Goods "Standard for Medicinal Cannabis" (TGO 93) Order 2017".
 - references to substances included in Schedule 3, 4 or 8 of the current Poisons Standard - statements which promote the supply of medicinal cannabis products by stating "World-Class Medicinal Cannabis oils, isolates and finctures that are ethically sourced and fairly priced."
- In addition, we allege that the advertisements on the Social Media pages referred to serious medical conditions, such as Anxiety, PTSD and Cancer (prohibited representations).

Background information: (include sequence of events, any deviations to the approved procedures;

Ist existing policy, procedures, guidelines that if followed may have had prevented the quality issue(problem encountered or that may require review)

Probable root cause:

The following root cause analysis (RCA) methodology was applied with subsequent findings:

- 1. Identify and clarify the problem. TGA allegations of non-compliance.
- Breakdown and analyse the problem by visiting the websites and cross-referencing to the TGA allegations.
- "5 Why's" investigation of the overall system and individual processes to determine why the problems – listed as TGA allegations – had occurred.
- RCA findings by S4/E Consultant:
 - Naturally Elevated website content author and authorizer is the same person.
 Naturally Elevated at the time of online publication did not have access to a person to review websites content for full regulatory compliance.
- Naturally Elevated with best intentions based on review of TGA Advertising and Promotion guidelines and after attending TGA and MCIA educational seminars, thought that their website content was compliant with the TGA requirements.

FRM-085-01

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Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109

Form - Root Cause Analysis

Source document: SOP-065-00 Root Cause Analysis Document copyright

SECTION 2: RCA details			
RCA tool used:	Yes		
Analysis attached?	⊠ Yes □ No		
	See documents listed in section 1.		
Most probable root cause (including justification for findings)	The following root cause analysis (RCA) methodology was applied with subsequent findings:		
	1. Identify and clarify the problem. TGA allegations of non-compliance.		
	Breakdown and analyse the problem by visiting the websites and cross-referencing to the TGA allegations.		
	 5 Why's' investigation of the overall system and individual processes to determine why, the problems – listed as TGA allegations – had occurred 		
	4. RCA findings by 47E Consultant		
	 Naturally Elevated website content author and authorizer is the same person. 		
	 Naturally Elevated – at the time of online publication – did not have access to a person to review websites content for full regulatory compliance. 		
	c. Naturally Elevated – with best intentions – ba Advertising and Promotion guidelines and after a MCIA educational seminars, thought that their w compliant with the TGA requirements.	attending TGA and	
CAPA ID:	CAPA/QC/23-001	D N/A	

FRM-086-01





Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109

Form - Root Cause Analysis

Source document: SOP-065-00 Root Cause Analysis Document copyright

SECTION 3: Approvals			
Completed by (Name)	Position	Signature	Date
s22			25-Aug-2023
RCA Quality Lead (Name)	Position	tignature	Date
s22			29-Aug-2023
Executive review (Name)	Position		Date
s22		SLL	29-Aug-2023
Executive approval (Name)	Position		Date
s22			29-Aug-2023

DOCUMENT END

FRM-066-01

Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109



Form - Root Cause Analysis

Source document: SOP-065-00 Root Cause Analysis

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	And In Cold Street of
Change	History

Version Number	Date	Change Number	Description of Changes
01	Mar-2023	N/A	Initial document

Template reference: TMP-003-04_Form Template (Portrait)_r01

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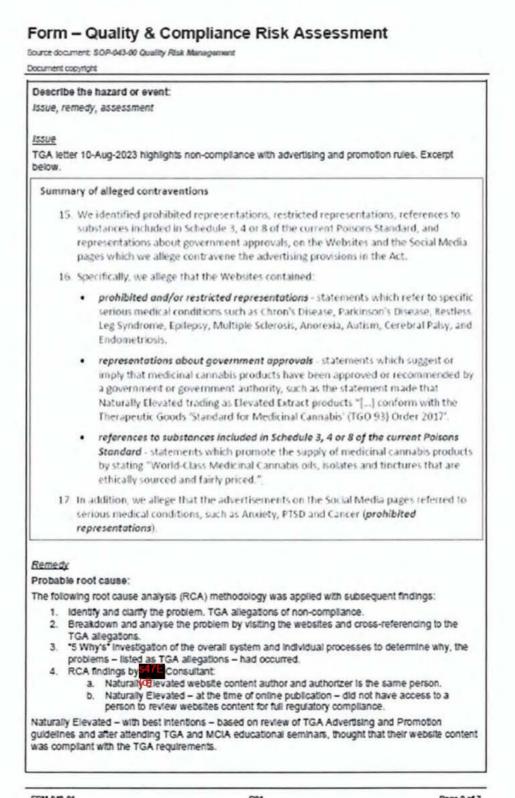
Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109

Appendix D

RA - Risk Assessment RA/QC/23-001

s22	Date 21-Aug-2023 21-Aug-2023 System and/or Regulatory Compliance 21-Aug-2023 01-Aug-2025
22 Conjument Cauter – Confirming the technical content of this document 22 Calaity – Confirming compliance of this document with the Pharmaceutical Galaity 522	21-Aug-2023 System and/or Regulatory Compliance 21-Aug-2023
22 Quality - Continuing compliance of this document with the Pharmaceulical Quality S222	System and/or Regulatory Compliance 21-Aug-2023
Quality - Continuing compliance of this document with the Pharmaceutical Quality	21-Aug-2023
s22	
	01-409-2025
Risk Description	
Risk Assessment ID No. RA/QC/23-001 Risk assessment team <mark>S22</mark>	Date: 24-Aug-2023
Reduced prescriber and patient access. Business operations by lack of clarity on how to proceed to er Associate document (CC, CAPA, audit, etc.): See attached Deviation Report Corrective Action and Preventive Actions Root Cause Analysis TGA Letter 10-Aug-2023	nsure regulatory compliance.

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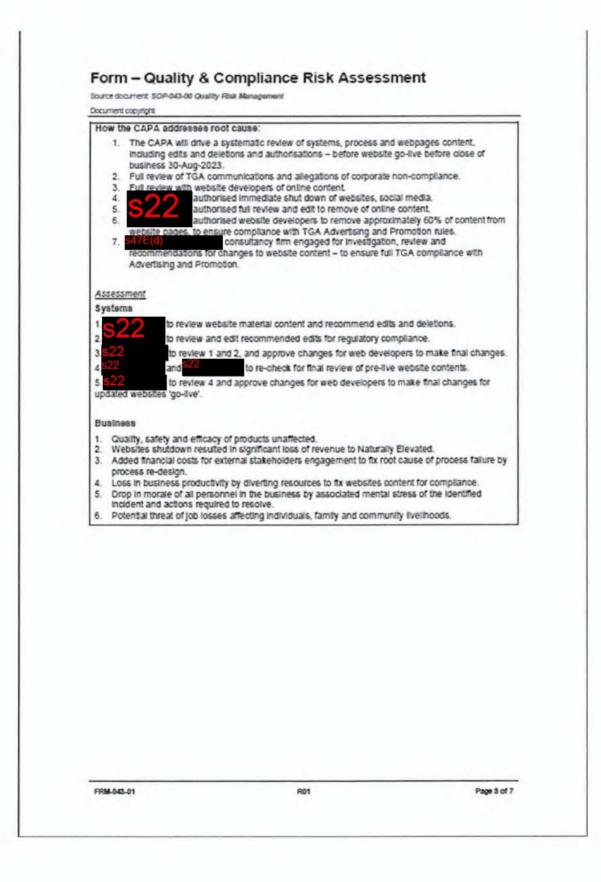
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Form – Quality & Compliance Risk Assessment

Source document: SOP-043-00 Quality Risk Managament

Docume	HL GOD	214,83

Risk A	Assessment		
What	are the risks associated wi	th the hazard/event described	above?
	is there an impact on fir	al product quality?	
	Direct impact	Indirect Impact	🖾 No impact
	Is there an Impact on th	e patient safety?	
	Direct Impact	Indirect Impact	🖾 No Impact
A LAN	is there an impact on m	anufacturing or process efficie	ancy?
	Direct Impact	Indirect Impact	🖾 No Impact
Severity	is there an impact on th	e validated state?	
	Direct Impact	Indirect Impact	🖾 No Impact
	2. Threat to business of 2.1. job losses affect	or direct impacts: ormance as allegations imposed i financial penalty imposed by TG ing livelihoods of individuals, fam f company if information reported	A, which may lead to: illes and community.

Does	s the hazard/event impact 1 or more batches? h#:	□ Yes	⊠ No
Doer	e the hazard/event impact batches already released? h#.	□ Yes	⊠ No
	e the hazard/event impact 1 or more products? ucts:	□ Yes	S No
	a the hazard/event cause a systemic problem? mbe:	⊠ Yes	D No
of the	artising non-conformance as alleged by the TGA, may be the result e company's misinterpretation of the Therapeutic Goods Act rtising rules.		
Has n/a	the hazard/event occurred before? If yes, how frequently?	🗆 Yes	⊠ No

Page 4 of 7

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Form – Quality & Compliance Risk Assessment

Source document: SOP-043-00 Quality Risk Management

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e.		e risk controls already in plac yes, describe the existing con		zard/event?	Yes 🖾 No		
Detection		e risk controls already in plac used by the hazard/event? If y			Yes 🖾 No		
-	Cla	assily the risk:	Low	Medium	🛛 High		
	(if the answer to any question in Severity was 'Direct Impact' or Occurrence was 'Yes' or Detection was 'No' the risk cannot be Low.)						
	Justity the risk classification:						
Evaluation	1.	TGA has reported alleged non Therapeutic Goods Act of med on the TGA ARTG.					
-a-	2. The risk if the TGA enforces penalties may be:						
đ	2.1. \$100's fines to the business up to \$1.1M						
	2.2. Imprisonment of the company executive up to 5 years.						
		2.3. Implementation of cost sa affected their livelihood, fi	avings by making redunda amilies and communities.		otice, adversely		
		A 4 we want to be deliver with a	business as seen to do	the suble			
		2.4. adverse reputation of the	business as perceived by	y the public.			

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Page 6 of 7

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Risk Control			
STATE ACCURACE SALE	itrols are recommen		- Anna
and the second sec		f advertising and promotion	
		a site for advertising compiled social media by a pomin	iance ated company representative
	esa of control be mea		ated company representative
1. Nominated comp		control all content relating i	to advertising and promotion on
2. Write and update			and promotion content of all
representative ev	very 4 months, followe	ites and social media by a d up by report to the compa	any Executive.
Engagement with	TGA to guide compli	ance with advertising and p	promotion rules.
What residual risk re measures are imple	emains after control mented?	S Low	🗆 Medium 🛛 High
Justification:			
			reporting to ensure systems are websites and social media.
Comounts with the	e regui emento, do Spe	ecified in the Therapeutic G	COOS HOL TROP.
Approval Approval by (pame)	Signatura	Position	Date
Approved by (name)	Signature	Position	Date
Approved by (name)	the second se	Position	Date 29-Aug-2023
Approved by (name) Department Manager or Ex S22	ieo.eve	Position	
Approved by (name) Department Manager or Ex 222	ieo.eve	Position	29-Aug-2023
Approved by (name)	ieo.eve	Position	
Approved by (name) Department Manager or Ex S22	recutive slance – initial review		29-Aug-2023
Approved by (name) Department Manager or Ex 222	recutive slance – initial review	Position	29-Aug-2023
Approved by (name) Department Manager or Ex S22	recutive slance – initial review		29-Aug-2023
Approved by (name) Department Manager or Ex 222	recutive slance – initial review		29-Aug-2023
Approved by (name) Department Manager or Ex 222	recutive slance – initial review		29-Aug-2023
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Approved by (name) Department Manager or Ex 222	recutive slance – initial review		29-Aug-2023
Approved by (name) Department Manager or Ex S22	recutive slance – initial review		29-Aug-2023
Approved by (name) Department Manager or Ex S22	recutive slance – initial review		29-Aug-2023
Approved by (name) Department Manager or Ex S22	recutive slance – initial review		29-Aug-2023
Approved by (name) Department Manager or Ex S22	recutive slance – initial review		29-Aug-2023



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Form – Quality & Compliance Risk Assessment

Source document: SOP-043-00 Quality Risk Management

Document copyright Change History

Version Number	Date	Change Number	Description of Changes
01	Mar 2023	N/A	

Template reference: TMP-QA-0103 Form Template (Portralt) v001

FRM-043-01

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Logbook - Deviation Report

Annualty			
Approved by	Signature	Position	Date
Author - Confirming the technical of	content of this document		
522			21-Aug-2023
n an	SCIENCIA CORTERAL OF THIS COCUMENT		
22			
			21-Aug-2023
	This document with the Camily System and/or Ro	ovisioni commission	
Quality = Confirming completion e			
S22			21-Aug-2023
Chailty = Continued contrilance of 22	21-Aug-2023	Review Date	21-Aug-2023 01-Aug-2025

Logbook cover sheet

Equipment/Item description:	n/a	Equipment ID:	n/a
Equipment location:	Na	Department:	Quality & Compliance
Logbook No:	DR-001	Date of issue:	21-Aug-2023
Issued by (name)	Signature	Position	Dete
\$22			21-Aug-2023
Reviewed by (name)	Signature	Position	Date
s22			21-Aug-2023

DOC ID RD1 Page 1 of 2

Appendix E

DR log – Deviation Report





Logbook - Deviation Report

Document copyright

Deviation Report ID	Deviation description	Date initiated	Quality Representative - sign	Quality close out date
Dr./ac/23-001	NON- COMPLIANCE WITH TGA	21-1-6-202	s22	25-106-2023
	ADVERTISTUG AND REQMOTION			
	Rules, AS NOTIFIED BY TGA.			
	(0			
Manager (sign)			Date 25. 08. 2023	
Quality (sign)			Data 25. 08. 2023	
		CUMENT END		
DOC ID		R01		Page 2 of



Logbook - CAPA - Corrective Actions & Preventative Actions

Document Approvals			
Approved by	Signature	Position	Data
Author - Confirming the technical	contant of this document		
			21-Aug-2023
	a lactrical contant of this document		
s22			21-Aug-2023
Custory - Continuing companies to	I FRE GOLLEGERT WEIT FOR CLASSIC SYSTEM AND LY FIG	culationy controllence	
s22			21-Aug-2023
Effective Date	21-Aug-2023	Review Date	01-Aug-2025

Logbook cover sheet

Department consistents

Equipment/Item description:	rvia -	Equipment ID:	nia
Equipment location:	n/a	Department;	Quality & Compliance
Logbook No:	CAPA-001	Date of issue:	21-Aug-2023
Issued by (name)	Signature	Position	Date
			21-Aug-2023
Reviewed by (name)	Signature	Pesition	Date
			21-Aug-2023

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Page 1 of 2

Appendix F

CAPA log - Corrective Actions & Preventative Actions

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Logbook - CAPA - Corrective Actions & Preventative Actions

Document copyright

CAPA ID	CAPA description	Date Initiated	Quality Representative - sign	Quality close out date
CARA/QC/23-001	NOU-COMPLIANCE WITH TGA	21-100-2023	0	29-14-2023
	ADVERTISING AND PROMOTION RULE,			
	AS MATIFIED POR TEA.			
				1
				1
Manager (sign)	S	1	Date 29- AUG - 2023	
Quality (sign)	N		Date 29-406-2023	
	DC	CUMENT END		1
DOCID		RD1		Page 2 of 2



Logbook - RCA - Root Cause Analysis

Document Approvals			
Approved by	Signature	Position	Date
Author - Contimina the technical	contant of this document	and the second	
522			21-Aug-2023
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522			21-Aug-2023
		a datum patrolinase	
SZZ			21-Aug-2023
Effective Date	21-Aug-2023	Raview Date	01-Aug-2025

Logbook cover sheet

Equipment/item description:	nis	Equipment ID:	nia
Equipment location:	r/a	Department	Quality & Compliance
Logbook No:	RCA-001	Date of Issue	21-Aug-2023
issued by (name)	Signature	Position	Data
			21-Aug-2023
Reviewed by (name)	Signature	Position	Date
			21-Aug-2023
			N

Appendix G

RCA log – Root Cause Analysis

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Page 1 of2

Logbook - RCA - Root Cause Analysis

Ducument copyright

RCAID	RCA description	Date Initiated	Quality Representative - sign	Quality close out date
RCA/QC/22-001	NON- COMPLIANCE WITH TELA	21-1-2023	s22	29-426-2023
	AD WERTISING AND PRONUTION RULES			
	AS NOTIFIES BY TEA.			
		-		
		1		+
		1		
		1		
Manager (sign)		1	Data 29- 16- 2023	
Quality (sign)			Date 29-16-2023	
		OCUMENT END		
DOCID		ROI		Page 2 of 2

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Appendix H

RA log – Risk Assessment

Logbook - RA - Quality & Compliance Risk Assessment

Document Approvals Approved by Signature Position Data Author -- Cardiming the technical content of this door 21-Aug-2023 Contraction in the second second 21-Aug-2023 Quality - Confirming compliance of this docum of with the Cur 21-Aug-2023 Effective Date 21-Aug-2023 **Review Date** 01-Aug-2025 . Logbook cover sheet Equipment/tem description: r/a Equipment ID: n/a Equipment location: r/a Department: Quality & Compliance Logbook No: RA-001 Date of Issue: 21-Aug-2023 issued by (name) Signature Position Date 21-Aug-2023 Reviewed by (name) Signature Position Date 21-Aug-2023 DOCID R01 Page 1 of 2

Logbook - RA - Quality & Compliance Risk Assessment

Document copyright

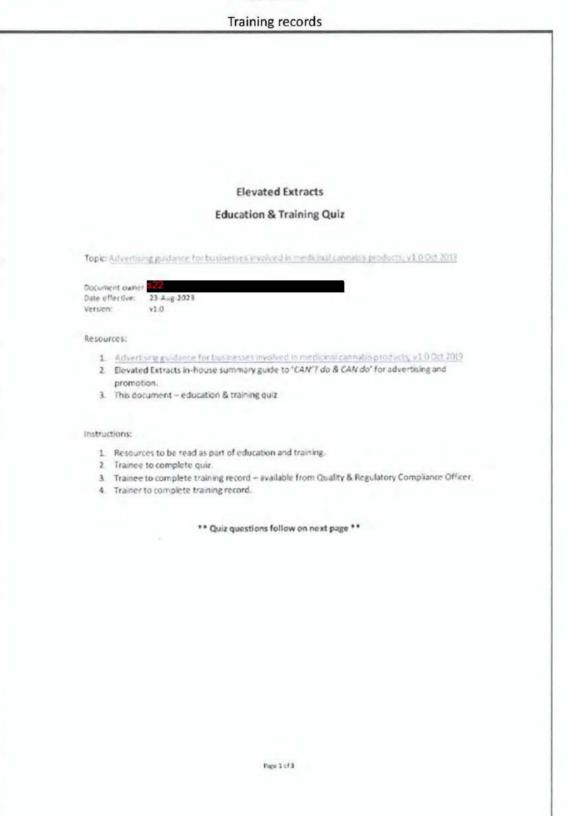
RA Report ID	RA description	Date initiated	Quality Representative - sign	Quality close out date
RA/QC 123-001	NON-COMPLIANCE WITH TGA	21.446-2023	s22	29- AUG - 2022
	ADJERTISING AND PROMOTION RULES.			
	AS NOTIFIED BY TGA.			
Manager (sign)	()		Date 29-AUG- 2023	
Quality (sign)			Data 29 - AUG - 2023	
	D D	OCUMENT END	Terrer (Contra	
DOCID		R01		Page 2 of



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Appendix I



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QUIZ QUESTIONS STAFF NAME S22 DATE: 39/08/23
 Naturally Elevated (NE) and its companies can talk to the general public about medicinal cannabis for
the purposes of general education? a. Yes – because NE is qualified to talk about its stocked medicinal cannabis brand products in
detail to anyone.
b. Yes - because NE can provide supportive education, as long as they don't talk about any specific products that may influence patient choice by advertising or promotion.
c. No – because they are not registered doctors and only doctors can talk to patients about
medicinal cannabis.
d. No – because the Australian Law does not allow distributors to talk to patients.
2. If NE is alleged to be in breach of TGA advertising rules, the penalty can be in the region of
approximately:
 a. 5100 + no jail b. 51,000 + 1 month jail
c. \$10,000 + 1 year jail
(d) \$1,000,000 + 5 years jat
3. The TGA does not welcome distributors of medicines to ask for guidance on medicinal contrability
advertising and promotion.
 TRUE - because the TGA is a Government organisation whose role it is to collect money from fires.
b. TRUE - because the TGA is busy focussing on helping pharmaceutical companies with high risk
medicines management like chemotherapy and antibiotics.
FALSE – because the TGA role is to ensure all companies working in the medicinal cannabia industry has support to ensure medicines product quality, clinical efficacy and patient safety.
d. FALSE - because the TGA does not see the importance of distributors in the medicinal carinable
supply chain
4 A patient who has a prescription for a medicinal cannabia product calls GN-SCHEDULE for advice on how
to get the prescription filled and the expiry date of stock in the warehouse. The DM-SCHEDULE staff can
talk to the patient and answer the questions about that specific product. a TRUE – because the TGA sees ON SCHEDULE staff as experts in medicinal cannabis therapy.
(b) TRUE - because the TGA allows ON SCHEDULE staff to talk to patients that have been
prescribed a product stocked by ON-SCHEDUCE
 TRUE – because all ON-SCHEDULE staff are AMPRA registered healthcare professionals. FALSE – because distributors cannot talk to patients about their medicines.
5. The Elevated Extracts (EE) website can include information on stocked products by brand name, form
(e.g. flower, oil, vapes), price, quality statement of "TGA approved" and pricing to help the patient make
the best informed decision.
 TRUE – because EE his a duty of care to provide the best possible care. TRUE – because the TGA welcomes help in educating the public about medicinal cannobis.
(c) FALSE - because the Therapeutic Goods Act 1989 does not allow unapproved medicines to be
advertised or promoted to the public.
d FALSE – because only doctors, norses and pharmacists websites can advertise and promote unapproved medicines directly to the public.
** ANSWERS on next page **
Page 2 c13



Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109



ANSWERS

 b – because it's OK to talk in general without specifically mentioning branded products for advertising or promoting.

2. d - fines can be close to \$1,000,000 or higher and may include a 5 year jail sentence.

3. c – because the TGA role is to ensure product quality, clinical efficacy and patient safety across the supply chain for all medicines, including medicinal cannabis.

4. b – because the (i) patient has been already prescribed the product, (ii) the questions don't influence the patient's choice of therapy through illegal advertising and promotion, and (iii) the questions won't affect the clinical outcome for the patient.

5. c – because no Schedule 4 Prescription Only Medicines and no Schedule 8 Controlled Drugs – including unapproved medicines – cannot be advertised or promoted to the public by law. This will influence the patients choice. The choice of therapy is a decision for the prescribing doctor and patient to decide together. However, if an AHPRA registered healthcare professional (e.g. doctor, nurse, pharmacist) asks questions about specific products stocked by the group of Naturally Elevated (NE) companies – then the NE staff can talk openly about stocked products with the healthcare professional – including products by brand name, form (e.g. flower, oil, vapes), price, and quality.

NOTE – the TGA does not "approve" medicines for use. Medicines are either "Registered on the ARTG" or are "unapproved". Never use the term "TGA approved".

DOCUMENT END



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	raining Form sop-cos-co Training rti					
Document ID & version	Document Title / Subject of Training	Type of Training (tick baxes)	Trainee (sign & date)	Trainer (sign & date)	Comments (o.g. PASS)	
TGA- adv(2019 v1.0	Adomining guidance for uninteen in worked in mission cannels and up of VE Oct 2019 Address of the optimized of the optimized addressing & promotion rules	Gr Read & understand Dr On the job Gr Outz completed	\$22 29[08/23	29-445 - 2023	Poss	
	 In-house guiz to assess learning 					
			-00			
Quality review	v by Name S22		Signature S22		Date: 23-Aug-2023	

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Form - Training Form

Source document: SOP-085-00 Training Document copylight

Approved by	Signature	Position	Date
Author - Confirming the Isolationia	sortere of this document		
s22			23-Aug-2023
DOCUMENT OWNER - CONTINUES IN	Inclosed content of Tile Googneets		
s22			23-Aug-2023
Callenty - Continning contenance of	This document with the Quality Tryslem and/or Re-	gulatory Compliance	
s22			23-Aug-2023
Effective Date	23-Aug-2023	Review Date	01-Aug-2025

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Page 1 of 3





Source document, SOP-005-00 Document copyright	Training			
Change History		DOCUMENT EN	D	
Version Number	Date	Change Number	Description of Changes	
01	Mar 2023	N/A	New document.	



Elevated Extracts
Education & Training Quiz
Topic: Advertising guidance for businesses involved in medicinal cannabis products; v1.0 Oct 2019
Document owner: S22
Date effective: 23-Aug-2023
Version: x1.0
Resources:
 Advertising guidance for businesses involved in medicinal cannabio products: v1.0.0ct 2019 Elevated Extracts in-house summary guide to 'CAN'T do & CAN do' for advertising and
 Elevated Extracts in-house summary goide to CAR 7 ab a CAR 60 for advertising and promotion.
 This document – education & training guiz
Instructions:
 Resources to be read as part of education and training. Trainee to complete quiz.
 Trainee to complete training record – available from Quality & Regulatory Compliance Officer; Trainer to complete training record.
** Quiz questions follow on next page **



Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109

QUIZ QUESTIONS



- DATE 30-8-23
- Naturally Elevated (NE) and its companies can talk to the general public about medicinal cannabia for the purposes of general education?
 - Ves because NE is qualified to talk about its stocked medicinal cannabis brand products in detail to anyone.
 - (b) Yes because NE can provide supportive education, as long as they don't talk about any specific products that may influence patient choice by advertising or promotion.
 - No because they are not registered doctors and only doctors can talk to patients about medicinal cannabis.
 - d. No because the Australian Law does not allow distributors to talk to patients.
- If NE is alleged to be in breach of TGA edvertising rules, the penalty can be in the region of approximately:
 - a \$100 no tail
 - b. \$1,000 + 1 month jail
 - c. \$10,000 + 1 year jail
 - (\$1,000,000 + 5 years tail
 - C STROOMOOR S YEARS IN
- The TGA does not welcome distributors of medicines to ask for guidance on medicinal cannabis advertising and promotion.
 - a TRUE because the TGA is a Government organisation whose role it is to collect money from times.
 - b. TRUE because the TGA is busy focussing on helping pharmaceutical companies with high risk medicines management like chemotherapy and antibiotics.
 - FALSE because the TGA role is to ensure all companies working in the medicinal cannabis industry has support to ensure medicines product quality, clinical efficacy and patient safety.
 - d. FALSE because the TGA does not see the importance of distributors in the medicinal cannabis supply chain.
- 4. A patient who has a prescription for a medicinal cannabis product calls ON-SCHEDULE for advice on how to get the prescription filled and the expiry date of stock in the warehouse. The ON-SCHEDULE staff can talk to the patient and answer the questions about that specific product.
 - TRUE because the TGA sees ON SCHEDULE staff as experts in medicinal cannabis therapy.
 TRUE because the TGA allows ON SCHEDULE staff to talk to patients that have been
 prescribed a product stocked by ON-SCHEDULE.
 - c. TRUE because all ON SCHEDULE staff are AHPRA registered healthcare professionals.
 - d. FALSE because distributors cannot talk to patients about their medicines.
- 5 The Elevated Extracts (EE) website can include information on stocked products by brand name, form (e.g. flower, oil, vapes), price, quality statement of "TGA approved" and pricing to help the patient make the best informed decision.
 - a TRUE because EE has a duty of care to provide the best possible care.
 - b. TRUE because the TGA welcomes help in educating the public about medicinal canabis.
 - FALSE because the Thempeutic Goods Act 1989 does not allow unapproved medicines to be advertised or promoted to the public.
 - 6. FALSE because only doctors, nurses and pharmacists websites can advertise and promote unapproved modicines directly to the public.

** ANSWERS on next page **

Page 2 cf 3



Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109

ANSWERS

 b – because it's OK to talk in general without specifically mentioning branded products for advertising or promoting.

2. d - fines can be close to \$1,000,000 or higher and may include a 5 year jail sentence.

 c – because the TGA role is to ensure product quality, clinical efficacy and patient safety across the supply chain for all medicines, including medicinal cannabis.

4. b – because the (i) patient has been already prescribed the product, (ii) the questions don't influence the patient's choice of therapy through illegal advertising and promotion, and (iii) the questions won't affect the clinical outcome for the patient.

5. c – because no Schedule 4 Prescription Only Medicines and no Schedule 8 Controlled Drugs – including unapproved medicines – cannot be advertised or promoted to the public by law. This will influence the patients choice. The choice of therapy is a decision for the prescribing doctor and patient to decide together. However, if an AHPRA registered healthcare professional (e.g. doctor, nurse, pharmacist) asks questions about specific products stocked by the group of Naturally Elevated (NE) companies – then the NE staff can talk openly about stocked products with the healthcare professional – including products by brand name, form (e.g. flower, oil, vapes), price, and quality.

NOTE – the TGA does not "approve" medicines for use. Medicines are either "Registered on the ARTG" or are "unapproved". Never use the term "TGA approved".

DOCUMENT END

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Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109

Form - Training Form

Document Approvals			
Approved by	Signature	Position	Date
Author - Continuing the technical of	contant of Bile document		
s22			23-Aug-2023
Document Owner - Continuing Bar	Inclusions content of this document		
s22			23-Aug-2023
Quelty - Continuing compliance of	f this document with the County Soutem and/or Ro-	culatory Compilance	
s22			23-Aug-2023
Effective Date	23-Aug-2023	Review Date	01-Aug-2025

	raining Form				
Do cument copyrig	1				
Document ID & version	Document Title / Subject of Training	Type of Training (lick boxes)	Trainee (sign & date)	Trainer (sign & date)	Comments (e.g. PASS)
TGA- adv2019 v1.0	 Advertisin _ juidanse for businesses involved in medicinal carrieble groduds, v1.0 Od 2019 	9 Ruad & understand 13 gft the job	s22	s22	Paris
	2. In-house summary TGA advertising & promotion rules 3. In-house quiz to assess te aming	O Quiz completed		30 -08 - 2023	
Quality review	w by Name <mark>S22</mark>		Signature: S22		Date: 23-Aug-2023



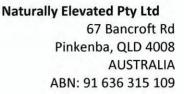
Source document .SOP-005-00 Document copyright	reasury			
Change History		DOCUMENT EN	D	
Version Number	Date	Change Number	Description of Changes	
01	Mar 2023	NA	New document.	



	Elevated Extracts
	Education & Training Quiz
Topic:	Advertising guidance for businesses involved in medicinal cannable products; v1.0.0ct 2019
	ent owner S22
Versice	Mective: 23-Aug-2023 N: v1.0
Resou	rces:
1.	Advertising guidance for businesses involved in medicinal cannabis products; v1.0 Oct 2019
2	Elevated Extracts in-house summary guide to 'CAN'T do & CAN do' for advertising and promotion.
3.	This document – education & training quiz
Instru	ctions:
1	Resources to be read as part of education and training.
2.	Trainee to complete quiz.
	Trainee to complete training record – available from Quality & Regulatory Compliance Officer. Trainer to complete training record.
	** Quiz questions follow on next page **



6 <u>9</u> 9 al las
QUIZ QUESTIONS STAFF NAME: S22 DATE: 30/8/23
 Naturally Elevated (NE) and its companies can talk to talk general public about medicinal cannabis for the purposes of general education?
 a. Yes – because NE is qualified to talk about its stocked medicinal cannabis brand products in
detail to anyone.
Wes - because NE kan provide supportive education, as long as they don't talk about any
specific products that may influence patient choice by advertising or promotion
 No – because they are not registered doctors and only doctors can talk to patients about
medicinal carnabis.
d No – because the Australian Law does not allow distributors to talk to patients.
3. HAS in should to be in branch of PCA extensions using the weards, one has being the sectors of
 If NE is alleged to be in breach of TGA advertising rules, the penalty can be in the region of approximately.
a. \$100 + no jai
b. \$1,000 + 1 month jail
c. \$10,000 + 1 year jail
(1) \$1,000,000 + 5 years jail
 The TGA does not welcome distributors of medicines to ask for guidance on medicinal cannabis
advertising and promotion a. TRUE – because the TGA is a Government organisation, whose role it is to collect money from
fines
b. TRUE - because the TGA is busy focussing on helping pharmaceutical companies with high risk
medicines management like chemotherapy and antibiotics.
(c.) FALSE - because the TGA role is to ensure all companies working in the medicinal cannabis
industry has support to ensure medicines product quality, clinical efficacy and patient safety.
 FALSE – because the TGA does not see the importance of distributors in the medicinal cannabis
supply chain
4. A patient who has a prescription for a medicinal cannabis product calls ON SCHEDULE for advice on how
to get the prescription filed and the expiry date of stock in the warehouse. The ON-SCHEDULE staff can
talk to the patient and answer the questions about that specific product.
a. TRUE – because the TGA sees ON-SCHEDURE staff as experts in medicinal cannabis therapy.
(5) TRUE - because the TGA allows ON SCHEDULE staff to talk to patients that have been
prescribed a product stocked by ON SCHEDULE.
c. TRUE – because all ON-SCHEDULE staff are AHPRA registered healthcare professionals.
 FALSE – because distributors cannot talk to patients about their medicines.
5. The Elevated Extracts (EE) website can include information on stocked products by brand name, form
 The Elevated Extracts (EE) website can include information on atokied products by an anomaly, form (e.g. flower, oil, vapes), price, quality statement of "IGA approved" and pricing to help the patient make
the best informed decision.
a. TRUE - because EE has a duty of care to provide the best possible care.
b. TRUE - because the TGA welcomes help in educating the public about medicinal cannabis.
(c) FALSE - because the Theraprubic Goods Act 1989 does not allow unapproved medicines to be
advertised or promoted to the public.
 FALSE – because only doctors, nurses and pharmacists websites can advertise and promote
unapproved medicines directly to the public.



NATURALLY

ANSWERS

 b – because it's OK to talk in general without specifically mentioning branded products for advertising or promoting.

2. d - fines can be close to \$1,000,000 or higher and may include a 5 year jail sentence.

3. c – because the TGA role is to ensure product quality, clinical efficacy and patient safety across the supply chain for all medicines, including medicinal cannabis.

4. b – because the (i) patient has been already prescribed the product, (ii) the questions don't influence the patient's choice of therapy through illegal advertising and promotion, and (iii) the questions won't affect the clinical outcome for the patient.

5. c – because no Schedule 4 Prescription Only Medicines and no Schedule 8 Controlled Drugs – including unapproved medicines – cannot be advertised or promoted to the public by law. This will influence the patients choice. The choice of therapy is a decision for the prescribing doctor and patient to decide together. However, if an AHPRA registered healthcare professional (e.g. doctor, nurse, pharmacist) asks questions about specific products stocked by the group of Naturally Elevated (NE) companies – then the NE staff can talk openly about stocked products with the healthcare professional – including products by brand name, form (e.g. flower, oil, vapes), price, and quality.

NOTE – the TGA does not "approve" medicines for use. Medicines are either "Registered on the ARTG" or are "unapproved". Never use the term "TGA approved".

DOCUMENT END

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Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109

	Elevated Extracts
	Education & Training Quiz
Topic	Advertising guidance for businesses involved in medicinal cannabis products; v1.0 Oct 2019
	en owner <mark>\$22</mark>
	ent owner S22 fective: 23-Aug-2023
Version	v10
Resou	roes:
1.	Advertising guidance for businesses involved in medicinal cannabis products, v1.0 Oct 2019
2	Elevated Extracts in-house summary guide to 'CAN'T do & CAN do' for advertising and
3	promotion. This document – education & training quiz
instruc	tions:
	Resources to be read as part of education and training.
	Trainee to complete quiz. Trainee to complete training record – available from Quality & Regulatory Compliance Officer.
	Trainee to complete training record – available from Quality & Regulatory Compliance Officer. Trainer to complete training record.
	** Quiz questions follow on next page **



Page 1 of 3

Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109

QUIZ QUESTIONS

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STAFF NAME: SZZ

DATE: 28-AUG-2023

- Naturally Elevated (NE) and its companies can talk to the general public about medicinal cannabis for the purposes of general education?
 - Yes because NE is qualified to talk about its stocked medicinal cannabis brand products in detail to anyone.
 - (b) Yes because NE can provide supportive education, as long as they don't talk about any specific products that may influence patient choice by advertising or promotion.
 - c. No because they are not registered doctors and only doctors can talk to patients about medicinal cannabis.
 - d. No because the Australian Law does not allow distributors to talk to patients.
- If NE is alleged to be in breach of TGA advertising rules, the penalty can be in the region of approximately:
 - a. \$100 + no jail
 - b. \$1,000 + 1 month jail
 - c. \$10,000 + 1 year jail
 - (d) \$1,000,000 + 5 years jail
- The TGA does not welcome distributors of medicines to ask for guidance on medicinal cannabis advertising and promotion.
 - TRUE because the TGA is a Government organisation whose role it is to collect money from fines.
 - TRUE because the TGA is busy focussing on helping pharmaceutical companies with high risk medicines management like chemotherapy and antibiotics.
 - FALSE because the TGA role is to ensure all companies working in the medicinal cannabis industry has support to ensure medicines product quality, clinical efficacy and patient safety.
 - d. FALSE because the TGA does not see the importance of distributors in the medicinal cannabis supply chain.
- 4. A patient who has a prescription for a medicinal cannabis product calls ON-SCHEDULE for advice on how to get the prescription filled and the expiry date of stock in the warehouse. The ON-SCHEDULE staff can talk to the patient and answer the questions about that specific product.
 - a. TRUE because the TGA sees ON-SCHEDULE staff as experts in medicinal cannabis therapy.
 - TRUE because the TGA allows ON-SCHEDULE staff to talk to patients that have been prescribed a product stocked by ON-SCHEDULE.
 - c. TRUE because all ON-SCHEDULE staff are AHPRA registered healthcare professionals.
 - d. FALSE because distributors cannot talk to patients about their medicines.
- The Elevated Extracts (EE) website can include information on stocked products by brand name, form (e.g. flower, oil, vapes), price, quality statement of "TGA approved" and pricing to help the patient make the best informed decision.
 - a. TRUE because EE has a duty of care to provide the best possible care.
 - b. TRUE because the TGA welcomes help in educating the public about medicinal cannabis.
 - G FALSE because the Therapeutic Goods Act 1989 does not allow unapproved medicines to be advertised or promoted to the public.
 - d. FALSE because only doctors, nurses and pharmacists websites can advertise and promote unapproved medicines directly to the public.

** ANSWERS on next page **

Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109



ANSWERS

 b – because it's OK to talk in general without specifically mentioning branded products for advertising or promoting.

2. d - fines can be close to \$1,000,000 or higher and may include a 5 year jail sentence.

 c – because the TGA role is to ensure product quality, clinical efficacy and patient safety across the supply chain for all medicines, including medicinal cannabis.

4. b – because the (i) patient has been already prescribed the product, (ii) the questions don't influence the patient's choice of therapy through illegal advertising and promotion, and (iii) the questions won't affect the clinical outcome for the patient.

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TGA- adv2019 v1.0	Advertigiona audience for businesses motions in modicing carrently products, v1.0 Oct 2019 in-house summary TGA solvertaing & promotion rules in-house quitz to assess learning	SI Read & understand SI On the job SI Quiz completed	<mark>522</mark> 28-445- 2023	<mark>\$22</mark> 28-443- 2023	P##	
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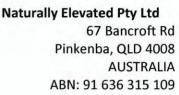
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Change History						
Version Number	Date	Change Number	Description of Changes			
01	Mar 2023 IS_Form Template (Lendscape)_r0	N/A	New document.			



Elevated Extracts
Education & Training Quiz
Topic Advertising guidance for businesses involved in medicinal cannabis products; v1.0 Oct 2019
Document owner S22 Date effective: 23-Aug-2023 Version: v1.0
Resources:
 <u>Advertising guidance for businesses involved in medicinal cannabis products; v1.0 Oct 2019</u> Elevated Extracts in-house summary guide to 'CAN'T do & CAN do' for advertising and promotion.
 This document – education & training guiz
Instructions:
 Resources to be read as part of education and training. Trainee to complete quir.
 Trainee to complete training record – available from Quality & Regulatory Compliance Officer. Trainer to complete training record.
** Quiz questions follow on next page **

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r TRUE – because all ON-SCHEDULE staff are AHPRA registered healthcare professionals.
 ALSE – because distributors cannot talk to patients about their medicines.
5. The Elevated Extracts (EE) website can include information on stocked products by brand name, form
(e.g. flower, oil, vapes), price, quality statement of "TGA approved" and pricing to help the patient make
the best informed decision.
 a. TRUE – because EE has a duty of care to provide the best possible care. b. TRUE – because the TGA welcomes help in educating the public about medicinal cannabis.
(C) FALSE - because the Theropeutic Goods Act 1989 does not allow unapproved medicines to be
advertised or promoted to the public.
d. FALSE - because only doctors, nurses and pharmacists websites can advertise and promote
unapproved medicines directly to the public.



ANSWERS

 b – because it's OK to talk in general without specifically mentioning branded products for advertising or promoting.

2. d - fines can be close to \$1,000,000 or higher and may include a 5 year jail sentence.

3. c – because the TGA role is to ensure product quality, clinical efficacy and patient safety across the supply chain for all medicines, including medicinal cannabis.

4. b – because the (i) patient has been already prescribed the product, (ii) the questions don't influence the patient's choice of therapy through illegal advertising and promotion, and (iii) the questions won't affect the clinical outcome for the patient.

5. c – because no Schedule 4 Prescription Only Medicines and no Schedule 8 Controlled Drugs – including unapproved medicines – cannot be advertised or promoted to the public by law. This will influence the patients choice. The choice of therapy is a decision for the prescribing doctor and patient to decide together. However, if an AHPRA registered healthcare professional (e.g. doctor, nurse, pharmacist) asks questions about specific products stocked by the group of Naturally Elevated (NE) companies – then the NE staff can talk openly about stocked products with the healthcare professional – including products by brand name, form (e.g. flower, oil, vapes), price, and quality.

NOTE – the TGA does not "approve" medicines for use. Medicines are either "Registered on the ARTG" or are "unapproved". Never use the term "TGA approved".

DOCUMENT END



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Quality review	by Name <mark>s22</mark>		Signature: S22		Date: 23-Aug-2023



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Mar 2023	N/A	New document.	
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Elevated Extracts
Education & Training Quiz
Topic: Advertising guidance for businesses involved in medicinal cannabis products; v1.0 Oct 2019
Document owner S22
Date effective: 23-Aug-2023
Version: v1.0
Resources:
1. Advertising guidance for businesses involved in medicinal cannabis products, v1.0 Oct 2019
 Elevated Extracts in-house summary guide to 'CAN'T do & CAN do' for advertising and promotion.
 This document – education & training quiz
Instructions:
1. Resources to be read as part of education and training.
 Trainee to complete quiz. Trainee to complete training record – available from Quality & Regulatory Compliance Officer.
 Trainer to complete training record.
** Quiz questions follow on next page **



QUIZ QUESTIONS STAFF NAME: SZZ DATE 29/9/23
QUIZ QUESTIONS STAFF NAME DATE: 29/9/23
1. Naturally Elevated (NE) and its companies can talk to the general public about medicinal cantable for
the purposes of eveneral education?
 a. Yes - because NE is gualified to talk about its stocked medicinal cannabis brand products in
detail to anyone.
(b) Yes - because NE can provide supportive education, as long as they don't talk about any
specific products that may influence patient choice by advertising or promotion.
c. No – because they are not registered doctors and only doctors can tak to patients about
 No – because they are not registered doctors and only doctors can tak to property down medicinal cantable.
 Mo - because the Australian Law does not allow distributors to talk to patients.
 No = because the Host and Law both not allow displacements to take to provide.
2. If NE is alleged to be in breach of TGA advertising rules, the penalty can be in the region of
approximately:
a 5100 + no jall
b. \$1,000 + 1 month (all \$10,000 + 1 month) all
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3. The TGA does not welcome distributors of medicines to ask for guidance on medicinal consubis
advertising and promotion. a TRUE – because the TGA is a Government organisation whose role it is to collect money from
fires.
D. TRUE - because the TGA is busy focussing on helping pharmaceutical companies with high risk
medicines management like chemotherapy and antibiotics. (c) FALSE - because the TGA role is to ensure all companies working in the medicinal contrabis
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to get the prescription filled and the expiry date of stock in the warehouse. The ON-SCHEDULE staff can
talk to the patient and answer the questions about that specific product.
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 FALSE – because distributors cannot talk to patients about their medicines.
5. The Elevated Extracts (EE) website can include information on stocked products by brand name, form
le.g. flower, oil, vapes), price, quality statement of "TGA approved" and pricing to help the patient make
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advertised or promoted to the public.
d. FALSE – because only doctors, nurses and phermecists websites can advertise and promote
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** ANSWERS on next page **
Fagz 2 of 3



Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109

ANSWERS

 b – because it's OK to talk in general without specifically mentioning branded products for advertising or promoting.

2. d - fines can be close to \$1,000,000 or higher and may include a 5 year jail sentence.

 c – because the TGA role is to ensure product quality, clinical efficacy and patient safety across the supply chain for all medicines, including medicinal cannabis.

4. b – because the (i) patient has been already prescribed the product, (ii) the questions don't influence the patient's choice of therapy through illegal advertising and promotion, and (iii) the questions won't affect the clinical outcome for the patient.

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NOTE – the TGA does not "approve" medicines for use. Medicines are either "Registered on the ARTG" or are "unapproved". Never use the term "TGA approved".

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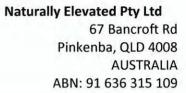
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Form - Training Form

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01	Mar 2023	N/A	New document.	

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Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109

	Elevated Extracts
	Education & Training Quiz
Toris	Advertising guidance for businesses involved in medicinal cannabis products; v1 0 Oct 2019
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	Advertising guidance for businesses involved in medicinal cannabisp roducts, v1.0 Oct 2019 Elevated Extracts in-house summary guide to 'CAN'T do & CAN do' for advertising and
2	promotion.
3.	This document - education & training guiz
Instruc	tions:
1	Resources to be read as part of education and training.
2.	Trainee to complete quiz.
	Trainee to complete training record - available from Quality & Regulatory Compliance Officer
4.	Trainer to complete training record.

Page 1 of 3

Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109

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		e NE is qualified to talk	about its stocked m	edicinal cannabis t	arand products in
	for a born				
	specific prod	e NE can provide suppl lucts that may influence	prove education, as i a patient choice by a	dvertising of prom	otion.
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	medicinal ca				
	u. No - Decaus	e the Australian Law do	es not allow distribu	tors to talk to patie	ents.
2.	If NE is alleged to be i	n breach of TGA advert	ising rules, the penal	Ity can be in the re	gion of
	approximately: a. \$100 + no jai				
	b. \$1,000 + 1 m				
	C \$10,000 + 1				
	(d) \$1,000,000 ·	5 years jail			
3.	The TGA does not we	come distributors of m	edicines to ask for gu	uidance on medicir	al cannabis
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	medicines m	anagement like chemos	therapy and antibioti	ics.	
	industry has	use the TGA role is to a support to ensure med	insure all companies icines product quality	working in the me	dicinal cannabis and nations safety
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	prescribed a	product stocked by ON	SCHEDULE.		
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Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109



ANSWERS

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2. d - fines can be close to \$1,000,000 or higher and may include a 5 year jail sentence.

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Form - Training Form

Document ID & version	Document Title / Subject of Training	Type of Training (fick boxes)	Trainee (sign & date)	Trainer (sign & date)	Comments (e.g. PASS)
TGA- adv/2019 v1.0	Advertising guidance for businesses insolved in medicinal canobis grodunts, vf. 0, Oct 2019 In-house summary TGA advertising & promotion rules In-house quie to assess learning	of Read & understand of On the job Quar completed	5 22 2412/23	<mark>\$22</mark> 2918/23	Res
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01	Mar 2023	N/A	New document.	



Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109

Appendix J

Letter from TGA Reference: AC-000000014762



Australian Government

Department of Health and Aged Care Therapeutic Goods Administration



Naturally Elevated Pty Ltd ACN 636 315 109 Unit 19 67 Bancroft Road PINKENBA QLD 4008

Email and express post: S22 @elevatedextracts.com.au

Our Reference: AC-000000014762

10 August 2023



Opportunity to provide submissions as to why regulatory action should not be taken in relation to the alleged unlawful advertising of therapeutic goods

- The Therapeutic Goods Administration (TGA) has previously written to your business, Naturally Elevated Pty Ltd (Naturally Elevated) in relation to the alleged unlawful advertising of therapeutic goods, specifically medicinal cannabis products.
- Our previous correspondence provided you with notice of the TGA's concerns about the advertising of medicinal cannabis products and provided education on some of the relevant regulatory requirements in relation to the advertising of therapeutic goods with reference to the civil penalty and criminal offence provisions and relevant legislation.
- Consistent with <u>how we manage advertising compliance</u> and the information found at <u>compliance actions and outcomes</u>, an escalation of regulatory action is being considered, relevantly because 'the alleged breach is such that there is a likely impact on the consumers' ability to use therapeutic goods safely or appropriately'.
- The TGA's previous correspondence concerned the advertising of therapeutic goods, so an escalation of regulatory action in relation to your advertising of medicinal cannabis products is now appropriate.
- 5. The purpose of this letter is to provide Naturally Elevated with an opportunity to make submissions before a decision is made about whether escalated enforcement action should be taken in relation to alleged unlawful advertising which appeared on online platforms for which Naturally Elevated appears to be responsible.

PO Box 100 Woden ACT 2606 ABN 40 939 406 804 Phone: 1800 020 653 or 02 6289 4124 Fax: 02 6203 1605 Email: info@tga.gov.au https://www.tga.gov.au

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Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109

You are welcome to make submissions as to why regulatory action should not be taken and can do so by 12 noon on Thursday, 31 August 2023.

Action required

- Confirm receipt of this letter by Monday 14 August 2023.
- · Carefully consider the information provided below.
- Provide your submission to the TGA by 12 noon on Thursday 31 August 2023 as to why regulatory action should not be taken in relation to the non-compliant advertising on the online platforms set out below.

Notice of intention to take enforcement action

- The TGA is considering whether escalated enforcement action should be taken in relation to alleged unlawful advertising which appeared on the Naturally Elevated, Canwell Pty Ltd and/or Farma Group online platforms (including websites and social media pages), for which Naturally Elevated appears to be responsible.
- 8. The websites of concern include:
 - a. the website at https://elevatedextracts.com.au/
 - b. the website at https://farmagroup.com.au/
 - c. the website at https://canwell.com.au/

(collectively, the Websites).

- 9. The social media pages of concern include:
 - a. the Instagram account on https://www.instagram.com/canwellaustralia/, and
 - b. the Facebook account on https://www.facebook.com/canwellaustralia/
 - (collectively, the Social Media pages).
- 10. The enforcement action being considered by the TGA may include:
 - a. giving you infringement notices under section 42YK of the Therapeutic Goods Act 1989 (the Act) as an alternative to court action, or
 - b. preparing the matter for court either under section 42Y of the Act, or by preparing a brief to the Commonwealth Director of Public Prosecutions.
- The enforcement action being considered may not be limited to the examples provided in this letter, and may extend to any other unlawful advertising of any kind.
- Naturally Elevated's submissions will be taken into account by a delegate of the Secretary of the Australian Government Department of Health and Aged Care before a decision is made.
- Please note that information about any compliance action taken against Naturally Elevated may be published on the TGA website under subsection 61(5A) of the Act, consistent with our usual practice.

Advertising therapeutic goods

 Under the Act, a person who advertises or causes the advertising of therapeutic goods on certain circumstances may be liable for criminal sanctions (s 42DL of the Act)



Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109

and/or civil penalties (s 42DLB(1) of the Act). These circumstances include where the advertisement:

- contains a <u>prohibited representation</u>, being a reference to a particular condition like mental illnesses, such as depression and anxiety, and no TGA approval has been given.¹
- contains a <u>restricted representation</u>, being a reference to a to a serious form of a disease, condition, ailment or defect, such as Multiple Sclerosis and epilepsy, and no TGA approval has been given.²
- c. refers to substances, or therapeutic goods containing substances, included in Schedule 3, 4 or 8 to the <u>current Poisons Standard</u>, such as cannabis or cannabidiol, and no exception applies.
- contains a statement, pictorial representation or design suggesting or implying the goods have been recommended or approved by a government, such as 'TGA approved'.³

Summary of alleged contraventions

- 15. We identified prohibited representations, restricted representations, references to substances included in Schedule 3, 4 or 8 of the current Poisons Standard, and representations about government approvals, on the Websites and the Social Media pages which we allege contravene the advertising provisions in the Act.
- 16. Specifically, we allege that the Websites contained:
 - prohibited and/or restricted representations statements which refer to specific serious medical conditions such as Chron's Disease, Parkinson's Disease, Restless Leg Syndrome, Epilepsy, Multiple Sclerosis, Anorexia, Autism, Cerebral Palsy, and Endometriosis.
 - representations about government approvals statements which suggest or imply that medicinal cannabis products have been approved or recommended by a government or government authority, such as the statement made that Naturally Elevated trading as Elevated Extract products "[...] conform with the Therapeutic Goods 'Standard for Medicinal Cannabis' (TGO 93) Order 2017'.
 - references to substances included in Schedule 3, 4 or 8 of the current Poisons Standard - statements which promote the supply of medicinal cannabis products by stating "World-Class Medicinal Cannabis oils, isolates and tinctures that are ethically sourced and fairly priced."
- In addition, we allege that the advertisements on the Social Media pages referred to serious medical conditions, such as Anxiety, PTSD and Cancer (prohibited representations).

¹ See subsection 42DLB(1) of the Act, where subsection 42DLB(2) applies, and subsection 42DL(1) of the Act where subsection 42DL(5) applies.

² See subsection 42DLB(1) of the Act, where subsection 42DLB(4) applies; and subsection 42DL(1) of the Act, where subsection 42DL(7) applies.

³ See subsection 42DLB(1) of the Act where subsection 42DLB(6) applies; and subsection 42DL(1) of the Act where subsection 42DL(9) applies.

NATURALLY

Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109

Important Information

- The import, export, manufacture, supply and advertising of therapeutic goods in Australia is subject to the requirements of the *Therapeutic Goods Act 1989* and the *Therapeutic Goods Regulations 1990*. Please read the <u>TGA guidance</u> on therapeutic goods advertising.
- 19. Contraventions of the advertising requirements under the Act and the Advertising Code can result in criminal penalties of up to \$1.1 million or imprisonment for individuals, or \$5.5 million for corporations, or civil penalties of up to \$1.375 million for individuals or \$13.75 million for corporations. An entity who aids, abets, counsels, procures or induces another person (i.e. by providing incentives) to contravene the Act may also be liable under the Act.⁴
- 20. A person who advertises or causes the advertising of therapeutic goods in the above circumstances may also be liable for civil penalties under section 42DLB of the Act.
- 21. It is strongly recommended that you seek independent legal advice or the assistance of a regulatory affairs consultant to help you to comply with your regulatory obligations while you operate in the therapeutic goods space, and to respond to this letter. Please refer to the TGA's website at <u>http://www.tga.gov.au/regulatory-affairs-consultants</u> for information about regulatory consultants.
- Naturally Elevated may also wish to refer to the following guidance provided by the TGA for Advertising guidance for businesses involved in medicinal cannabis products

Please contact \$22 by email at advertising.compliance@tga.gov.au or phone \$22 if you wish to discuss this matter further.

s22

S22 Advertising and Product Investigations Section Regulatory Compliance Branch Therapeutic Goods Administration

⁴ Section 42YC of the Act.

NATURALLY

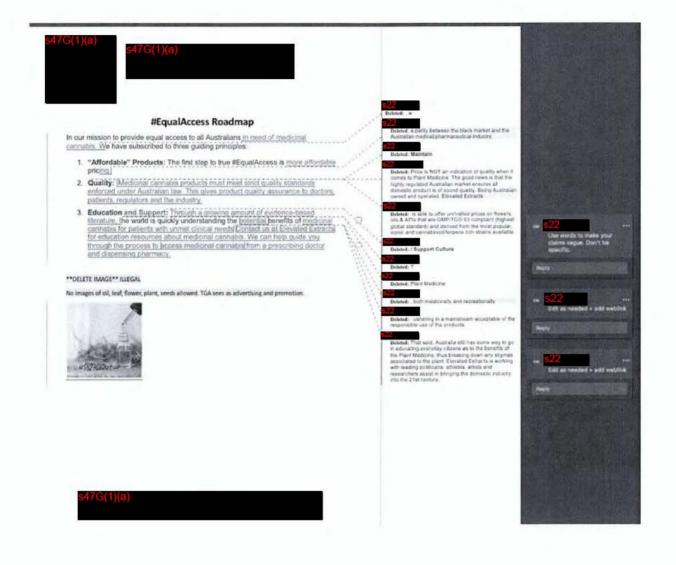
Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109

Appendix K

Draft edits of web pages

	(47) (3(1) a) Elevated Extracts websites of for	Fermatted: Post: 14 pt. tood S20 Fermatted: Post: 14 pt. Bodd	
	empliance with TGA Advertising and	Promotion 'rules' S22 Formatted: Ford, 14 pt. Bold	
Review date: Review by:	26-Aug-2023 \$22 \$47G(1)(a) \$22 \$47G(1)(a) \$2	2	
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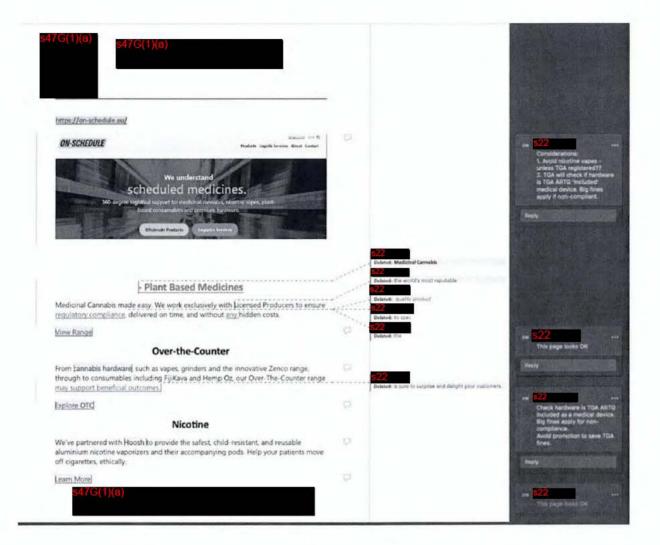






s47G(1)(a) s47G(1)(a)		
How to access Plant Based Medicines		
9	S22 Divident D S22 Divident is multi only	
Confirm Eligibility Your prescribing doctor will discurs treatment options with you to help decide if medicinal cannabis is right for you. Your doctor may need to apply for regulationy approval. This is a quick process. Check if you're eligible here.	S22 Diskede prescribe treatment based or evidence and year risk factors. If Plant Medicine is suitable for your condition	
	Deletell. # 5/2 Deletell: #11	
Book With A Doctor If approved, your doctor will write a prescription for your treatment. Your doctor will then be able to send your approval and prescription to a pharmacy or can order your prescribed product.		
Q3		
Montor Your breatment It is important to check in regularly with your doctor to discuss whether <u>medianal</u> <u>cannable</u> is working for you, or if you are experiencing any side effects. <u>Your doctor</u> with your support, can manage dosing and choice of medicines.	S22 Dekkel: Part Medicine	
END of WEBPAGE		
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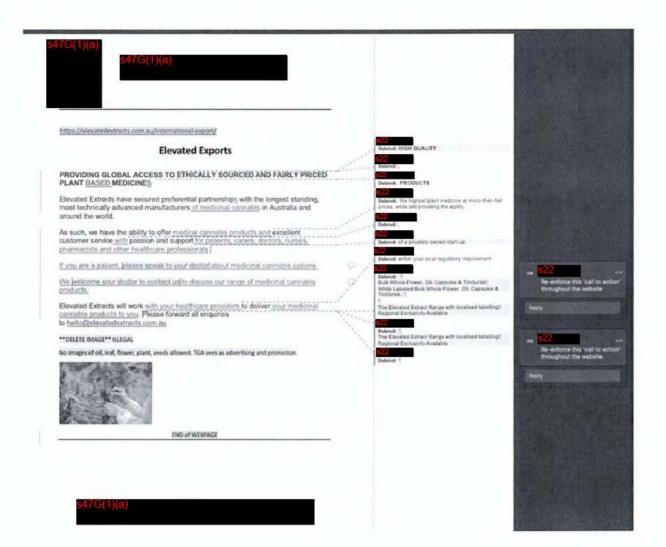


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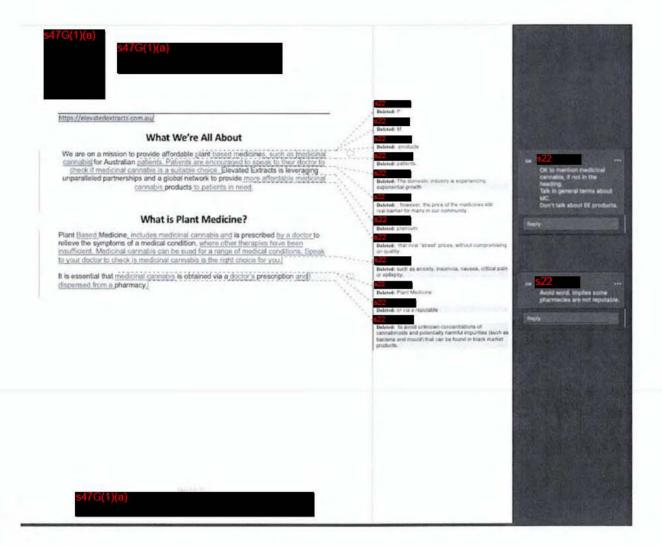
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NATURALLY

Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109

Appendix L.

Elevated Extracts in-house checklist of advertising "CAN'T do and CAN do"

	Medicinal Cannabis Advertising & Promotion in Australia
	What a business or advocate CAN'T do & CAN do
DEFINITIONS	"Advertising medicinal cannabis to the public is prohibited" by law 1.2
publish the 'rea	sing is any statement, picture design, product label or package or any other material including media ed that is intended to promote the use or supply of medicinal cannabis. Advertising is based on how sonable consumer' views the content being promoted – it is not the subjective intention of the er. This is how the TGA decides and fines advertising breaches.
	ncludes, TV radio, posters, displays, websites, emails, blogs, discussion forum, social media, workshops wation sessions to the public.
ROLES & RESPON	ISIBILITIES
TGA cor	trols who can advertise to the public and AHPRA registered healthcare professionals.
ODC co	ntrols security under licence and permit for cultivation, manufacture, research, imports & exports.
State &	Territory Department of Health controls health & safety by suppling licences to operate.
	& State Health Ombudsman regulates health services including the advertising of health services 133 of the Health Practitioner Regulation National Law.
Busines	s must comply with the TGA advertising code or risk heavy fines in \$100%'s.
CAN'T do	
	dvertising allowed in any way of any substances contained in Schedule 3, 4 or 8 of the Poisons including pictures or pictorial representations of finished products, bulk flower or the cannabis plant.
	promotion of medicinal cannabis, and medicinal cannabis brands or products, including any t may promote the use or supply of an unapproved therapeutic good.
constitute the paccessing medi	A considers the use of the phrase "medicinal cannabis" displayed prominently in published material to promotion of unapproved therapeutic goods, as well as statements that direct the reader to a means of cinal cannabis or material that contains testimonials about the benefits of using medicinal cannabis to edical conditions.
	spany or business name, trading name, product name, abbreviation, acronyms, es or any other reference that will make the public think of medicinal cannabis in any way will likely a breach.
and the second	usiness name or product name must not make people think of medicinal cannabis, including cronym, colloquial name, images or any other way that will make the public think of medicinal
to public ~ no cannabis.	website content, including link to external site that promotes the use or supply of medicinal
< to public - a pa	atient support group can't promote or encourage members to seek medicinal cannabis.
The second se	not make any superlative statements with respect to the quality of an unapproved therapeutic good ality, finest grown, etc.).
to public - can indication or o	not make any representations in relation to the use of medicinal cannabis to treat any specific ondition $^{5.6}$
	not make any representation implying that the therapeutic goods have been recommended or r on behalf of any Government Agency. ⁷ (e.g. TGA, or TGA approved prescribers).
until such time	not provide any specific details about a medicinal cannabis product, including educational materials, as the individual receiving the materials is <u>qualified as a patient</u> accessing that specific product.
original: 14-Aug-20	23 <u>\$22 \$47G(1)(a)</u> 26 Page 1:d 2 V10



Naturally Elevated Pty Ltd 67 Bancroft Rd Pinkenba, QLD 4008 AUSTRALIA ABN: 91 636 315 109

CAN do

- OK to promote <u>health services</u> involving medicinal cannabis e.g. "talk to your doctor about plant based medicines".
- OK to advertise exclusively to AHPRA registered <u>health professionals</u> after those AHPRA professionals have registered via your website or alternative registration method e.g. doctor, nurse, pharmacist, physio, subject to the material being educational material with respect to the medicine.
- OK if factual and balanced statement about medicinal cannabis that <u>does not promote</u> the use or supply³. 'Factual' information is published in medical journal articles, or genuine news.
- OK to refer to medicinal cannabis products in general terms in the context of general information about a range of other treatments.
- OK if information does not emphasise benefits of medicinal cannabis over other treatments.
- OK if patient support group circulates <u>full 'factual' information</u> about medicinal cannabis to its members, about 'possible' benefits and 'possible' side effects – and told <u>'talk to your doctor about the treatment options</u>'.

REFERENCES

- 1 ss 42DL(10); Therapeutic Goods Act 1989
- 2. ss 420L(12); Therapeutic Goods Act 1989
- 3. Australian Regulatory Guidelines for Advertising Therapeutic Goods, ARGATG
- Advertising religions for businesses involved with medicinal cantable products Comolving with therapeutic goods advertising requirements; Therapeutic Goods Administration, Version 1.0, October 2019.
- 5. Part 8, Therapeutic Goods (Therapeutic Goods Advertising Code) Instrument 2021
- 6. Part 1, Schedule 2, Therapeutic Goods Regulations 1990
- 7. ss 42DLB(6); Therapeutic Goods Act 1989

DOCUMENT END

original: 14-Aug-2023 review: 01-Aug-2026

Page 1of 2

2 s47G(1)(a)

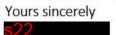
DOCUMENT END

From:	Advertising Compliance
То:	S22 Dnaturallyelevated.com.au
Bcc:	s22
Subject:	IMPORTANT: Letter and infringement notices from the Therapeutic Goods Administration to s22 - AC-000000014762
Date:	Monday, 18 September 2023 3:23:00 PM
Attachments:	image001.png
	Letter and Infringement notices from the Therapeutic Goods Administration to <u>\$22</u> dated 18
	September 2023.pdf
Importance:	High

Dear s22

Please find attached a letter and 2 infringement notices to **\$22** dated 18 September 2023.

We ask that you confirm receipt of our correspondence by 19 September 2023.



Advertising and Product Investigation Section | Regulatory Compliance Branch Australian Government, Department of Health and Aged Care Therapeutic Goods Administration

Location: Level 2 North 27 Scherger Drive, Fairbairn 2600 Phone: <u>\$22</u>

PO Box 100, Woden ACT 2606

www.tga.gov.au

The Department of Health and Aged Care acknowledges First Nations peoples as the Traditional Owners of Country throughout Australia, and their continuing connection to land, sea and community. We pay our respects to them and their cultures, and to all Elders both past and present.

Important: This transmission is intended only for the use of the addressee and may contain confidential or legally privileged information. If you are not the intended recipient, you are notified that any use or dissemination of this communication is strictly prohibited. If you receive this transmission in error, please notify the author immediately and delete all copies of this transmission.



Australian Government

Department of Health and Aged Care Therapeutic Goods Administration



Our Reference: AC-000000014762

By Express Post and by e-mail: <u>\$22</u> @naturallyelevated.com.au

18 September 2023

Dear <mark>s22</mark>

Infringement notices given to <a>S22

Infringement notice	Amount	Payment due by
TGAIN- AC-000000014762/2023-9	\$3,300	16 October 2023
TGAIN- AC-000000014762/2023-10	\$3,300	16 October 2023
Total	\$6,600	

- I refer to our previous correspondence in this matter, including our letters to Naturally Elevated Pty Ltd (Naturally Elevated) dated 16 March 2023, 26 May 2023 and 10 August 2023, and Naturally Elevated's submissions dated 31 August 2023.
- 2. I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act).
- I have decided to give 2 infringement notices to \$22
 (you) under Part 5A-2 of the Act on the basis that I reasonably believe that you contravened subsection 42DLB(1) of the Act, where subsections (2) and (7) applied. The infringement notices are enclosed with this letter. It is important that you carefully read the infringement notices and the information contained in them.
- 4. Infringement notices are an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in each notice. If you decide not to pay the infringement notices, court proceedings may be brought against you.
- 5. You should be aware that the maximum civil penalty that a court can impose on an individual for a single contravention of subsection 42DLB(1) of the Act is 5,000 penalty units. For the alleged contraventions described in the notices, this amounts to \$1,375,000.
- 6. **Part D** of each of the infringement notices includes information on how to pay the penalty amount, how to request an extension of the compliance period for payment of the infringement notices, or how to request that the infringement notices be withdrawn. If you wish to make any such request I recommend that you provide supporting documentation, which may include financial records.
- 7. For more information about infringement notices, please see the following resources on the Therapeutic Goods Administration's (TGA) website:
 - a. Information about infringement notices

PO Box 100 Woden ACT 2606 ABN 40 939 406 804 Phone: 1800 020 653 or 02 6289 4124 Fax: 02 6203 1605 Email: <u>info@tga.gov.au</u> <u>https://www.tga.gov.au</u>

b. Infringement notices issued by the Therapeutic Goods Administration.¹

Delegate comments

- 8. While I am not required to provide reasons for giving you infringement notices under the Act, I offer the following comments to assist you to understand why I have decided to take this action.
- 9. The TGA is taking strong regulatory action to ensure compliance with the advertising requirements of the Act across the medicinal cannabis industry. In 2022-23, the TGA issued over 100 infringement notices (totalling over \$1 million) to medicinal cannabis entities, including clinics and individuals, for the alleged unlawful advertising of medicinal cannabis.²
- 10. The Court has stated that ignorance of, or inexperience with the requirements of the Act, is not an excuse for non-compliance.³ It is your responsibility as someone who engages in a business involving the use of therapeutic goods and the provision of health services to be aware of and ensure that you comply with the Act.⁴
- 11. Naturally Elevated and you were first made aware of the advertising requirements under the Act on 16 March 2023. In our letter, Naturally Elevated was asked to review the advertising, and address any compliance issues, on all platforms for which Naturally Elevated is responsible, noting that the TGA reserved our rights in relation to any further non-compliance that we identified. I note that on 17 March 2023 you confirmed receipt of our letter.
- 12. Despite our guidance provided to Naturally Elevated, we have obtained evidence that the below Canwell platforms continued to advertise medicinal cannabis unlawfully from at least 16 March 2023 until mid-June 2023:
 - a. <u>https://www.canwell.com.au/</u>
 - b. <u>https://www.instagram.com/canwellaustralia/</u>; and
 - c. https://www.facebook.com/Canwell-Australia-109055414757594.
- 13. Each day that a non-compliant advertisement remains visible may be a further contravention of the Act. More information about the alleged contraventions is outlined in the infringement notices.
- 14. We consider that you advertised medicinal cannabis or caused the advertisements described in the infringement notices for the following reasons:
 - a. You are a director of Naturally Elevated.
 - b. In its response to a section 45AB notice issued to it on 26 May 2023, Naturally Elevated stated that you instructed all online publication and digital content on the Canwell platforms and you are responsible for the decision-making process relating to the approval of the publication on the Canwell platforms.
- 15. We identified over 40 contraventions of the advertising provisions across the Naturally Elevated platforms, including the above Canwell platforms. These potentially unlawful advertisements remained online for an extended period of time between March and June 2023, after we wrote to Naturally Elevated. In particular, we consider that the Canwell platforms promoted the use or

¹ <u>https://www.tga.gov.au/how-we-regulate/compliance-and-product-testing/non-compliance/compliance-and-enforcement-hub/compliance-actions-and-outcomes/information-about-infringement-notices and https://www.tga.gov.au/infringement-notices.</u>

² <u>https://www.tga.gov.au/products/unapproved-therapeutic-goods/medicinal-cannabis-hub/medicinal-cannabis-news-and-updates.</u>

³ Secretary, Department of Health v Enviro Tech Holdings Pty Ltd [2022] FCA 865 at paragraph 76.

⁴ <u>Secretary, Department of Health v Oxymed Australia Pty Ltd [2021] FCA 1518</u> at paragraph 251.

supply of medicinal cannabis, which is an unapproved prescription medicine, and contained a large number of prohibited and restricted representations made about medicinal cannabis.

- 16. Taking into account the alleged contraventions, Naturally Elevated's submissions dated 31 August 2023 and changes made to Canwell platforms since June 2023, I have decided to give you 2 infringement notices. I consider that giving you 2 infringement notices is an appropriate and proportionate enforcement response given the above factors.
- 17. While it remains open to me, I have not given you infringement notices for all alleged contraventions of the Act that we identified. This includes alleged contraventions which:
 - a. were subject to an infringement notice given to Naturally Elevated
 - b. occurred on the Elevated Extracts website and the Farma Group website⁵
 - c. arose from the use of the same restricted or prohibited representation on another social media post or page of the Canwell website, and
 - d. occurred on each day for which a non-compliant advertisement remained visible from 16 March to 19 June 2023.

Additional steps you should take

- 18. You should immediately take steps to ensure that any compliance issues, including any beyond the scope of this letter and accompanying notices are identified and resolved.
- 19. The TGA reserves its rights to take enforcement action in relation to any future non-compliance we identify, without further notice to you. As stated in our previous correspondence, we strongly recommend that you review all platforms for which you are responsible, including Naturally Elevated's Google Business Profile page, to ensure they do not promote a class of therapeutic good (such as prescription medicines) to avoid being liable for further contraventions of the Act. We also recommended you seek independent legal advice or the assistance of a regulatory affairs consultant to help you to comply with your regulatory obligations.
- 20. If you have any questions, please contact \$22 by email at advertising.compliance@tga.gov.au or phone \$22 .

Yours sincerely



s22

Delegate of the Secretary of the Australian Government Department of Health and Aged Care Regulatory Compliance Branch Therapeutic Goods Administration

⁵ https://elevatedextracts.com.au/, and https://farmagroup.com.au/.



Australian Government

Department of Health and Aged Care

Therapeutic Goods Administration



By Express Post and By Email: S22 @naturallyelevated.com.au Infringement Notice Number: TGAIN-AC-000000014762/2023-9

Date given: 18/09/2023

Penalty total: \$3,300

Payment due: 16/10/2023

Enquiries: <u>\$22</u> Telephone: <u>\$22</u> Email: <u>advertising.compliance@tga.gov.au</u>

INFRINGEMENT NOTICE GIVEN TO

SEE

PART A: Infringement Notice given by

s22

Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice to **\$22** has contravened section 42DLB(1) of the Act, where subsection 42DLB(7) applied.

The details of the alleged contravention are that:

On 28 April 2023, **S22** did advertise, or caused the advertising of a therapeutic good, being medicinal cannabis, on the web page located at <u>https://www.canwell.com.au/</u>.

The advertisement referred to goods containing substances included in Schedule 3, 4 or 8 to the current Poisons Standard, such as cannabis, but not in Appendix H of the current Poisons Standard, other than a reference authorised or required by a government or government authority.

An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty that a court could impose on an individual for a single contravention of section 42DLB(1) is 5,000 penalty units. For the above alleged contravention, this amounts to \$1,375,000.⁶

The amount payable under this notice is \$3,300. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.



CREDIT CARD



ELECTRONIC FUNDS TRANSFER

Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received. Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on **(02) 6289 1095**. Please include the infringement notice number TGAIN-AC-000000014762/2023-9 as reference to identify your payment. Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-000000014762/2023-9 in the description of your transfer and allow two business days for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

⁶ A penalty unit for a contravention alleged to have occurred on or after 1 January 2023 and on or before 30 June 2023 is \$275 (section 4AA of the *Crimes Act 1914*). The value of a penalty unit for a contravention alleged to have occurred on or after 1 July 2023 is \$313.

PART D: Information about this Infringement Notice

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. You should state in your application why you require an extension and provide supporting documentation such as balance sheets, statements of cash flow, profit and loss statements, and/or bank statements for the last 12 months. Requests can be made by sending them directly to:

- <u>advertising.compliance@tga.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, civil penalty proceedings may be brought against you for the alleged contravention described in this notice.

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been issued to companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of the lapsing of the compliance period for the notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No further payment can be accepted for a lapsed notice, however the Secretary may at their discretion extend the compliance period to allow payment to be made. If you pay the penalty amount payable under this notice after the compliance period has lapsed (and the compliance period is not extended), you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents, such as balance sheets, statements of cash flow, profit and loss statements, and/or bank statements for the last 12 months.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

- advertising.compliance@tga.gov.au; or
- PO Box 100; WODEN ACT 2609

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

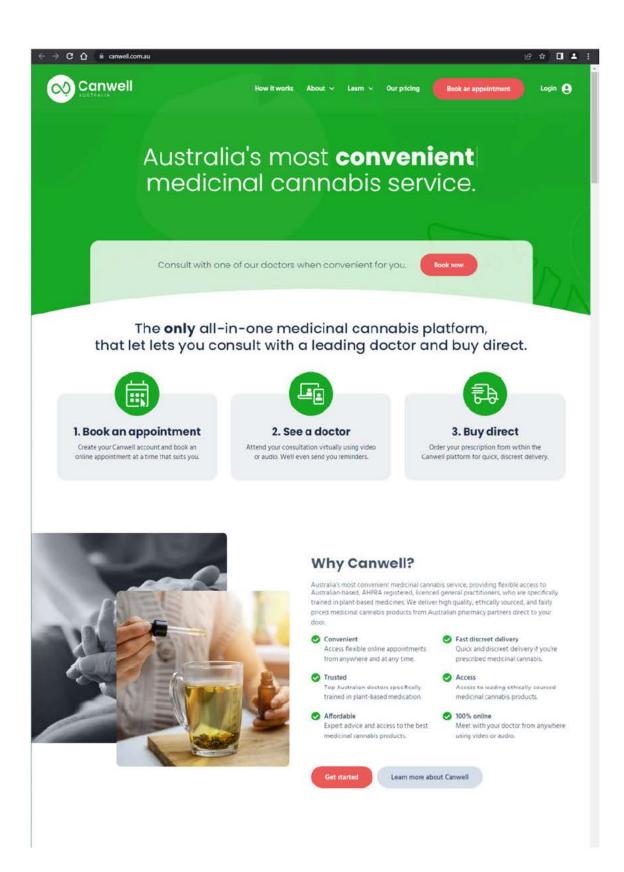


s22

Delegate of the Secretary of the Australian Government Department of Health and Aged Care Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

Date: 18 September 2023

ANNEXURE A:



See what others have to say

Excellent \star 🛧 🛧 🕫 4.6 based on 468 reviews

2 months ago

Claudia * * * * *

<

I was really impressed with the whole process! Having anxiety I was worried about the whole process of booking an appointment, talking to the Dr and purchasing my CBD oil! I feel reassure... 2 months ago

Jason * * * * *
Great service , and nice to have a
doctor that acutally wants to hear, and
to help a patient . Something that is
rare in todays GP's

Tracey * * * * * Very understanding Dr.

Ross * * * * *

2 months ago

Very informative and helpful. Gave me lots of options and suggestions, quick and easy service. Looking forward to see where this health journey goes.

2 months ago

>

OREVIEWS

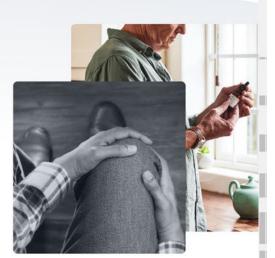
Why consider medical cannabis?

A growing number of Australians are turning to medicinal cannabis to complement or often replace 'conventional' medicines.

Studies report that medical cannabis provides possible benefits for several conditions and is used to treat many symptoms, including pain, nausea, anxiety, and insomnia.

In addition, by helping to maintain homeostasis within the body, the Endocannabinoid System (ESC) and cannabis play a critical role in regulating immune response, mood, appetite, metabolism and memory.





Medicinal cannabis can help with

Chronic Pain and Medicinal Cannabis



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ប Inflammation and medicinal cannabis



Parkinson's and Medicinal Cannabis



Syndrome and Medicinal Cannabis



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Medicinal Cannabis



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Cannabis

Glaucoma and Medicinal Cannabis

7 Seizures and Medicinal Cannabis

<u>ئ</u>ر Chemo Induced Nausea and Medicinal Cannabis





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Ó, Non-Cancer Pain and Medicinal Cannabis

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Appetite Stimulation and Medicinal Cannabis

Frequently Asked Questions

	Is cannabis legal in Australia?
>	Who can sell cannabis in Australia?
>	How do I know if I'm eligible for medicinal marijuana?
>	Do I need a referral to apply for medicinal marijuana?
>	Can I register a family member or friend?
>	My child is under 18, are they still eligible for treatment?
	View all

Learn more about medical cannabis







liestyle	August 09, 2022 3 m	innute read	July 07, 2022 5 n Generic cannabis	ninule read
The History of 420		e Sweetheart of the nnabis Industry	Whole Flow	er: A Growing Industry
Read more in Cannabis resources	News			
	s22			
	Speak with a tor	o Australian doctor n	ow	
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Be in the know wi Subscribe for our latest articles a Keep in touch 1800 273 824 hello@canwell.com.au Contact us	ed C Affordable	Fast discreet delive Vour ema Vour ema Vour guide to medicinal cannabis The benefits of cannabis Symptoms / Canditions Cannabis resources News	il address How it works Process Pricing Register Eligibility test	Subscribe Get started



Australian Government

Department of Health and Aged Care Therapeutic Goods Administration



By Express Post and By Email: S22 @naturallyelevated.com.au Infringement Notice Number: TGAIN-AC-000000014762/2023-10

Date given: 18/09/2023

Penalty total: \$3,300

Payment due: 16/10/2023

Enquiries: <mark>\$22</mark> Telephone: <mark>\$22</mark> Email: <u>advertising.compliance@tga.gov.au</u>

INFRINGEMENT NOTICE GIVEN TO

PART A: Infringement Notice given by

s22

Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice to **S22** under Part 5A-2 of the Act on the basis that I reasonably believe that **S22** has contravened section 42DLB(1) of the Act, where subsection 42DLB(2)(a) applied.

The details of the alleged contravention are that:

On 29 March 2023, **S22** did advertise, or caused the advertising of therapeutic goods, being medicinal cannabis, on the web page located at https://www.instagram.com/p/ChBhnIMO4is/.

The advertisement contained a prohibited representation about the goods, being a reference to cancer. No permission under section 42DK of the Act was in force in relation to the prohibited representation.

An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty that a court could impose on an individual for a single contravention of section 42DLB(1) is 5,000 penalty units. For the above alleged contravention, this amounts to \$1,375,000.⁷

The amount payable under this notice is \$3,300. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

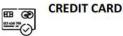
Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.



CHEQUE



Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received. Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN-AC-000000014762/2023-10 as reference to identify your payment.



ELECTRONIC FUNDS TRANSFER

Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-000000014762/2023-10 in the description of your transfer and allow two business days for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

⁷ A penalty unit for a contravention alleged to have occurred on or after 1 January 2023 and on or before 30 June 2023 is \$275 (section 4AA of the *Crimes Act 1914*). The value of a penalty unit for a contravention alleged to have occurred on or after 1 July 2023 is \$313.

PART D: Information about this Infringement Notice

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. You should state in your application why you require an extension and provide supporting documentation such as balance sheets, statements of cash flow, profit and loss statements, and/or bank statements for the last 12 months. Requests can be made by sending them directly to:

- <u>advertising.compliance@tga.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, civil penalty proceedings may be brought against you for the alleged contravention described in this notice.

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been issued to companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of the lapsing of the compliance period for the notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No further payment can be accepted for a lapsed notice, however the Secretary may at their discretion extend the compliance period to allow payment to be made. If you pay the penalty amount payable under this notice after the compliance period has lapsed (and the compliance period is not extended), you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents, such as balance sheets, statements of cash flow, profit and loss statements, and/or bank statements for the last 12 months.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

- <u>advertising.compliance@tga.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

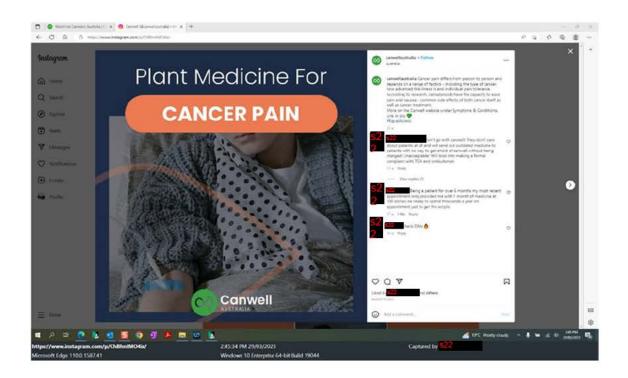


s22

Delegate of the Secretary of the Australian Government Department of Health and Aged Care Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

Date: 18 September 2023

ANNEXURE A:



From:	Advertising Compliance
To:	s22 @naturallyelevated.com.au
Cc:	s22 @naturallyelevated.com.au
Bcc:	s22
Subject:	IMPORTANT: Letter and infringement notices from the Therapeutic Goods Administration to Naturally Elevated Pty Ltd - AC-000000014762
Date:	Monday, 18 September 2023 3:23:00 PM
Attachments:	image001.png Letter and Infringement notices from the Thrapeutic Goods Administration to Naturally Elevated Pty Ltd dated 18 September 2023.pdf
Importance:	High

Dear s22

Please find attached a letter and 8 infringement notices to Naturally Elevated Pty Ltd dated 18 September 2023.

We ask that you confirm receipt of our correspondence by 19 September 2023.

Yours sincerely



Advertising and Product Investigation Section | Regulatory Compliance Branch Australian Government, Department of Health and Aged Care Therapeutic Goods Administration

Location: Level 2 North 27 Scherger Drive, Fairbairn 2600 Phone: <u>\$22</u>

PO Box 100, Woden ACT 2606

www.tga.gov.au

The Department of Health and Aged Care acknowledges First Nations peoples as the Traditional Owners of Country throughout Australia, and their continuing connection to land, sea and community. We pay our respects to them and their cultures, and to all Elders both past and present.

Important: This transmission is intended only for the use of the addressee and may contain confidential or legally privileged information. If you are not the intended recipient, you are notified that any use or dissemination of this communication is strictly prohibited. If you receive this transmission in error, please notify the author immediately and delete all copies of this transmission.



Australian Government

Department of Health and Aged Care Therapeutic Goods Administration

Our Reference: AC-00000014762

Naturally Elevated Pty Ltd ACN 636 315 109 Unit 19 67 Bancroft Road PINKENBA QLD 4008

By Express Post and By Email:

s22 @naturallyelevated.com.au cc: s22 @naturallyelevated.com.au

18 September 2023

Dear <mark>S22</mark>

Infringement notices given to Naturally Elevated Pty Ltd

Infringement notice	Amount	Payment due by
TGAIN- AC-000000014762/2023-1	\$16,500	16 October 2023
TGAIN- AC-000000014762/2023-2	\$16,500	16 October 2023
TGAIN- AC-000000014762/2023-3	\$16,500	16 October 2023
TGAIN- AC-000000014762/2023-4	\$16,500	16 October 2023
TGAIN- AC-000000014762/2023-5	\$16,500	16 October 2023
TGAIN- AC-000000014762/2023-6	\$16,500	16 October 2023
TGAIN- AC-000000014762/2023-7	\$16,500	16 October 2023
TGAIN- AC-000000014762/2023-8	\$16,500	16 October 2023
	Total \$132,000	

- I refer to our previous correspondence in this matter, including our letters to Naturally Elevated Pty Ltd (Naturally Elevated) dated 16 March 2023, 26 May 2023 and 10 August 2023, and Naturally Elevated's submissions dated 31 August 2023.
- 2. I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act).
- 3. I have decided to give 8 infringement notices to Naturally Elevated under Part 5A-2 of the Act on the basis that I reasonably believe that it contravened subsection 42DLB(1) of the Act, where subsections (2), (4) and (7) applied. The infringement notices are enclosed with this letter. It is important that you carefully read the infringement notices and the information contained in them.
- 4. Infringement notices are an opportunity for Naturally Elevated to pay an amount as an alternative to having court proceedings brought against it in relation to the alleged contravention described in each notice. If Naturally Elevated decides not to pay the infringement notices, court proceedings may be brought against it.

- 5. Naturally Elevated should be aware that the maximum civil penalty that a court can impose on a corporation for a single contravention of subsection 42DLB(1) of the Act is 50,000 penalty units. For the alleged contraventions described in the notices, this amounts to \$13,750,000.
- 6. Part D of each of the infringement notices includes information on how to pay the penalty amount, how to request an extension of the compliance period for payment of the infringement notices, or how to request that the infringement notices be withdrawn. If Naturally Elevated wishes to make any such request I recommend that it provides supporting documentation, which may include financial records.
- 7. For more information about infringement notices, please see the following resources on the Therapeutic Goods Administration's (TGA) website:
 - a. Information about infringement notices
 - b. Infringement notices issued by the Therapeutic Goods Administration.¹

Delegate comments

- 8. While I am not required to provide reasons for giving you infringement notices under the Act, I offer the following comments to assist you to understand why I have decided to take this action.
- 9. The TGA is taking strong regulatory action to ensure compliance with the advertising requirements of the Act across the medicinal cannabis industry. In 2022-23, the TGA issued over 100 infringement notices (totalling over \$1 million) to medicinal cannabis entities, including clinics, for the alleged unlawful advertising of medicinal cannabis.²
- 10. The Court has stated that ignorance of, or inexperience with the requirements of the Act, is not an excuse for non-compliance.³ It is Naturally Elevated's responsibility as a business involved with the use of therapeutic goods and the provision of health services to be aware of and comply with the Act.⁴
- 11. In deciding to give infringement notices, I have considered:
 - a. Naturally Elevated's compliance history including previous education and guidance provided to Naturally Elevated in relation to advertising requirements,
 - b. the seriousness and extent of the alleged contraventions, and
 - c. Naturally Elevated's financial position and written submissions received on 31 August 2023.
- 12. More information about the alleged contraventions is outlined in the infringement notices.
- 13. Naturally Elevated was first made aware of the advertising requirements under the Act on 16 March 2023. In that letter from the TGA, Naturally Elevated was asked to review the advertising, and address any compliance issues, on all platforms for which Naturally Elevated is responsible, noting that the TGA reserved our rights in relation to any further non-compliance that we identified.
- 14. Despite guidance provided to it, we have obtained evidence that Naturally Elevated allegedly continued to advertise medicinal cannabis unlawfully on platforms for which it is responsible from at least 16 March 2023 until mid-June 2023, including:

¹ <u>https://www.tga.gov.au/how-we-regulate/compliance-and-product-testing/non-</u> <u>compliance/compliance-and-enforcement-hub/compliance-actions-and-outcomes/information-about-</u> <u>infringement-notices</u> and <u>https://www.tga.gov.au/infringement-notices</u>.

² <u>https://www.tga.gov.au/products/unapproved-therapeutic-goods/medicinal-cannabis-hub/medicinal-</u>cannabis-news-and-updates.

³ Secretary, Department of Health v Enviro Tech Holdings Pty Ltd [2022] FCA 865 at paragraph 76.

⁴ <u>Secretary, Department of Health v Oxymed Australia Pty Ltd [2021] FCA 1518</u> at paragraph 251.

- a. <u>https://www.canwell.com.au/</u>
- b. <u>https://www.instagram.com/canwellaustralia/;</u> and
- c. https://www.facebook.com/Canwell-Australia-109055414757594.
- 15. Each day that a non-compliant advertisement remains visible may be a separate contravention of the Act.
- 16. I am concerned about the extent and seriousness of the alleged unlawful advertising which appeared across the above Canwell platforms, and other Naturally Elevated websites, such as Elevated Extracts and Farma Group websites.⁵
- 17. We identified over 40 contraventions of the advertising provisions across the Naturally Elevated and Canwell online platforms. These potentially unlawful advertisements remained online for an extended period of time between March and June 2023, after we wrote to Naturally Elevated. In particular, we consider that the Canwell platforms promoted the use or supply of medicinal cannabis, which is an unapproved prescription medicine, and contained a large number of prohibited and restricted representations made about medicinal cannabis.
- 18. Having considered the above factors, the changes made to Naturally Elevated's platforms since June 2023 and its submissions, I have decided to give Naturally Elevated 8 infringement notices.
- 19. While it remains open to me, I have not given Naturally Elevated infringement notices for all alleged contraventions of the Act that we identified. This includes alleged contraventions which:
 - a. occurred on the Elevated Extracts website and the Farma Group website,
 - b. arose from the use of the same restricted or prohibited representation across Canwell-branded platforms, and
 - c. occurred on each day for which a non-compliant advertisement remained visible between 16 March and 19 June 2023.
- 20. I consider that 8 infringement notices are appropriate and proportionate in this case given the above factors and Naturally Elevated's financial position. In its response to the section 45AB notice issued to it on 26 May 2023, Naturally Elevated's Profit and Loss statement for 2022-23 indicates that it received a gross revenue of approximately S11C(1)(b) in that year.

Additional steps you should take

- 21. Naturally Elevated should immediately take steps to ensure that any compliance issues, including any beyond the scope of this letter and accompanying notices are identified and resolved.
- 22. The TGA reserves our right to take enforcement action in relation to any future non-compliance we identify, without further notice to you. As stated in our previous correspondence with you, we strongly recommend that Naturally Elevated review all of its platforms, including its Google Business Profile page, to ensure they do not promote a class of therapeutic good (such as prescription medicines) to avoid being liable for further contraventions of the Act. We also recommended you seek independent legal advice or the assistance of a regulatory affairs consultant to help you to comply with your regulatory obligations.

⁵ <u>https://elevatedextracts.com.au/</u>, and <u>https://farmagroup.com.au/</u>.

23. If you have any questions, please contact \$22 by email at advertising.compliance@tga.gov.au or phone \$22

Yours sincerely



Delegate of the Secretary of the Australian Government Department of Health and Aged Care Regulatory Compliance Branch Therapeutic Goods Administration



Australian Government

Department of Health and Aged Care Therapeutic Goods Administration

Naturally Elevated Pty Ltd ACN 636 315 109 Unit 19 67 Bancroft Road PINKENBA QLD 4008

By Express Post and By Email: 522 @naturallyelevated.com.au cc: 522 @naturallyelevated.com.au Infringement Notice Number: TGAIN-AC-000000014762/2023-1

Date given: 18/09/2023

Penalty total: \$16,500

Payment due: 16/10/2023

Enquiries:

Telephone: S

Email: advertising.compliance@tga.gov.au

INFRINGEMENT NOTICE GIVEN TO Naturally Elevated Pty Ltd

PART A: Infringement Notice given by

\$22

Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice to Naturally Elevated Pty Ltd (Naturally Elevated) under Part 5A-2 of the Act on the basis that I reasonably believe that Naturally Elevated has contravened section 42DLB(1) of the Act, where subsection 42DLB(2)(a) applied.

The details of the alleged contravention are that:

On 28 April 2023, Naturally Elevated did advertise, or caused the advertising of a therapeutic good, being medicinal cannabis, on the web page located at <u>https://www.canwell.com.au/</u>.

The advertisement contained a prohibited representation about the good, being a reference to post traumatic stress disorder. No permission under section 42DK of the Act was in force in relation to the prohibited representation.

PO Box 100 Woden ACT 2606 ABN 40 939 406 804 Phone: 1800 020 653 or 02 6289 4124 Fax: 02 6203 1605 Email: <u>info@tga.gov.au</u> <u>https://www.tga.gov.au</u> The maximum penalty that a court could impose on a company for a single contravention of section 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$13,750,000.⁶

The amount payable under this notice is \$16,500. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.



CHEQUE



Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received. Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on **(02) 6289 1095.** Please include the infringement notice number TGAIN-AC-000000014762/2023-1 as reference to identify your payment.



ELECTRONIC FUNDS

Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-000000014762/2023-1 in the description of your transfer and allow two business days for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

⁶ A penalty unit for a contravention alleged to have occurred on or after 1 January 2023 and on or before 30 June 2023 is \$275 (section 4AA of the *Crimes Act 1914*). The value of a penalty unit for a contravention alleged to have occurred on or after 1 July 2023 is \$313.

PART D: Information about this Infringement Notice

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. You should state in your application why you require an extension and provide supporting documentation such as balance sheets, statements of cash flow, profit and loss statements, and/or bank statements for the last 12 months. Requests can be made by sending them directly to:

- <u>advertising.compliance@tga.gov.au</u>; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, civil penalty proceedings may be brought against you for the alleged contravention described in this notice.

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been issued to companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of the lapsing of the compliance period for the notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No further payment can be accepted for a lapsed notice, however the Secretary may at their discretion extend the compliance period to allow payment to be made. If you pay the penalty amount payable under this notice after the compliance period has lapsed (and the compliance period is not extended), you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents, such as balance sheets, statements of cash flow, profit and loss statements, and/or bank statements for the last 12 months.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

- <u>advertising.compliance@tga.gov.au</u>; or
- PO Box 100; WODEN ACT 2609

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.



s22

Delegate of the Secretary of the Australian Government Department of Health and Aged Care Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

18 September 2023



See what others have to say

Excellent 🔆 🛪 🚖 🏌 🕴 4.6 based on 468 reviews

2 months ago

Claudia = + + + +

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I was really impressed with the whole process! Having anxiety I was worried about the whole process of booking an appointment, talking to the Dr and purchasing my CBD oil! I feel reassure... 2 months ago

Jason 🔹 🔹 🔹 🔹	Tracey • • • •
Great service, and nice to have a doctor that acutally wants to hear, and to help a patient. Something that is rare in todays GP's	Very understanding Dr.

Ross + + + + +

2 months ago

Very informative and helpful. Gave me lots of options and suggestions, quick and easy service. Looking forward to see where this health journey goes.

OREVIEWS.

2 months ago

>

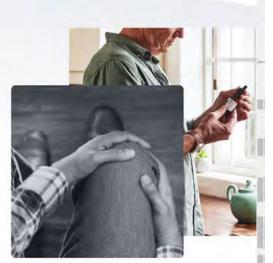
Why consider medical cannabis?

A growing number of Australians are turning to medicinal cannabis to complement or often replace 'conventional' medicines.

Studies report that medical cannabls provides possible benefits for several conditions and is used to treat many symptoms, including pain, nausea, anxiety, and insomnia.

In addition, by helping to maintain homeostasis within the body, the Endocannabinoid System (ESC) and cannabis play a critical role in regulating immune response, mood, appetite, metabolism and memory.





Medicinal cannabis can help with



O S Auto Immune Diseases and Medicinal Cannabis

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3 Fibromyalgia and Medicinal Cannabis



Inflammation and medicinal cannabis



Restless Leg Syndrome and Medicinal Cannabis



Insomnia and Medicinal Cannabis

-1-



Post Traumatic Stress Disorder (PTSD) and Medicinal Cannabis

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Medicinal Cannabis

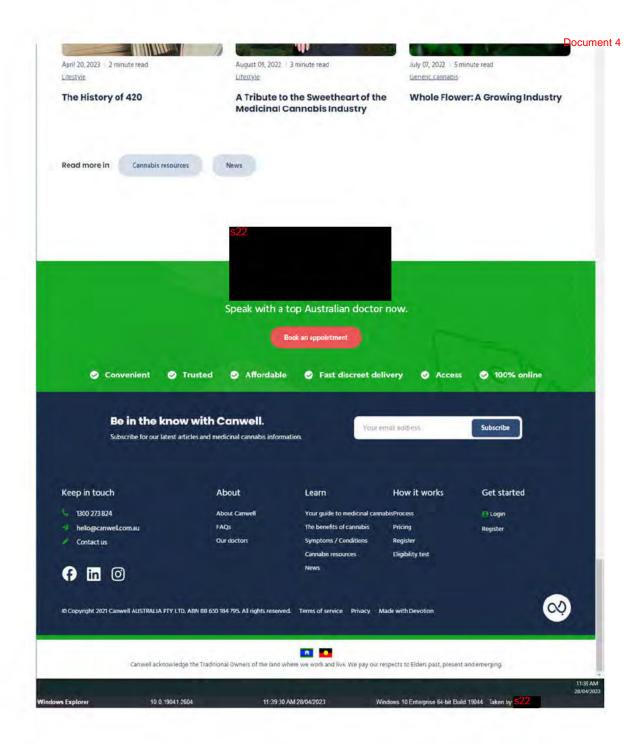






Cannabis	Glaucoma and Medicinal Cannabis	Seizures and Medicinal Cannabis	Chemo Induced Nausea and Medicinal Cannabis	Arthritis and Medicinal Cannabis	Cerebral Palsy and Medicinal Cannabis
	Anxiety and Medicinal Cannabis	Endometriosis and Medicinal Cannabis	Non-Cancer Pain and Medicinal Cannabis	Appetite Stimulation and Medicinal Cannabis	
	Fr > Is cannabis legal in .	equently Asl	ked Questic	ons	
		ois in Australia? n eligible for medicinal marijua to apply for medicinal marijua			
	Can I register a fami My child is under 18	ly member or friend? , are they still eligible for treat View			
	Learn	more about		nnabis	







Australian Government

Department of Health and Aged Care Therapeutic Goods Administration

Naturally Elevated Pty Ltd ACN 636 315 109 Unit 19 67 Bancroft Road PINKENBA QLD 4008

By Express Post and By Email: S22 @naturallyelevated.com.au cc: S22 @naturallyelevated.com.au Infringement Notice Number: TGAIN-AC-000000014762/2023-2

Date given: 18/09/2023

Penalty total: \$16,500

Payment due: 16/10/2023

Enquiries:

Telephone:

Email: advertising.compliance@tga.gov.au

INFRINGEMENT NOTICE GIVEN TO Naturally Elevated Pty Ltd

PART A: Infringement Notice given by

s22

Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice to Naturally Elevated Pty Ltd (Naturally Elevated) under Part 5A-2 of the Act on the basis that I reasonably believe that Naturally Elevated has contravened section 42DLB(1) of the Act, where subsection 42DLB(2)(a) applied.

The details of the alleged contravention are that:

On 28 April 2023, Naturally Elevated did advertise, or caused the advertising of therapeutic goods, being medicinal cannabis, on the web page located at <u>https://www.canwell.com.au/</u>.

The advertisement contained a prohibited representation about the good, being a reference to depression. No permission under section 42DK of the Act was in force in relation to the prohibited representation.

An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

PO Box 100 Woden ACT 2606 ABN 40 939 406 804 Phone: 1800 020 653 or 02 6289 4124 Fax: 02 6203 1605 Email: <u>info@tga.gov.au</u> <u>https://www.tga.gov.au</u> The maximum penalty that a court could impose on a company for a single contravention of section 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$13,750,000.⁷

The amount payable under this notice is \$16,500. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

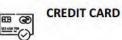
Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.



CHEQUE



Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received. Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN-AC-000000014762/2023-2 as reference to identify your payment.



ELECTRONIC FUNDS TRANSFER

Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-000000014762/2023-2 in the description of your transfer and allow two business days for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

⁷ A penalty unit for a contravention alleged to have occurred on or after 1 January 2023 and on or before 30 June 2023 is \$275 (section 4AA of the *Crimes Act 1914*). The value of a penalty unit for a contravention alleged to have occurred on or after 1 July 2023 is \$313.

PART D: Information about this Infringement Notice

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. You should state in your application why you require an extension and provide supporting documentation such as balance sheets, statements of cash flow, profit and loss statements, and/or bank statements for the last 12 months. Requests can be made by sending them directly to:

- <u>advertising.compliance@tga.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, civil penalty proceedings may be brought against you for the alleged contravention described in this notice.

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been issued to companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of the lapsing of the compliance period for the notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No further payment can be accepted for a lapsed notice, however the Secretary may at their discretion extend the compliance period to allow payment to be made. If you pay the penalty amount payable under this notice after the compliance period has lapsed (and the compliance period is not extended), you will be refunded the amount paid. Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents, such as balance sheets, statements of cash flow, profit and loss statements, and/or bank statements for the last 12 months.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

- advertising.compliance@tga.gov.au; or
- PO Box 100; WODEN ACT 2609

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.



s22

Delegate of the Secretary of the Australian Government Department of Health and Aged Care Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

Date: 18 September 2023



See what others have to say

Excellent 🔆 🛧 🛧 🖈 🚺 4.6 based on 468 reviews

Tracey 💌 🛊 🛊 🛊

Claudia = 🔶 👷 🔶 😦

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Jason 🔸 🛊 🛊 🍁

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2 months ago

Ross + + + + +

2 months ago

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> 2 months ago OREVIEWS.

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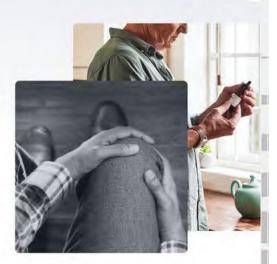
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A growing number of Australians are turning to medicinal cannabis to complement or often replace 'conventional' medicines.

Studies report that medical cannabis provides possible benefits for several conditions and is used to treat many symptoms, including pain, nausea, anxiety, and insomnia.

In addition, by helping to maintain homeostasis within the body, the Endocannabinoid System (ESC) and cannabis play a critical role in regulating immune response, mood, appetite, metabolism and memory.





Medicinal cannabis can help with



Medicinal Cannabis Med



Epilepsy and

Medicinal Cannabis

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3 Chron's Disease / Ulcerative Colitis and Medicinal Cannabis



Inflammation and medicinal cannabis



Parkinson's and Medicinal Cannabis



Restless Lea Syndrome and Medicinal Cannabis



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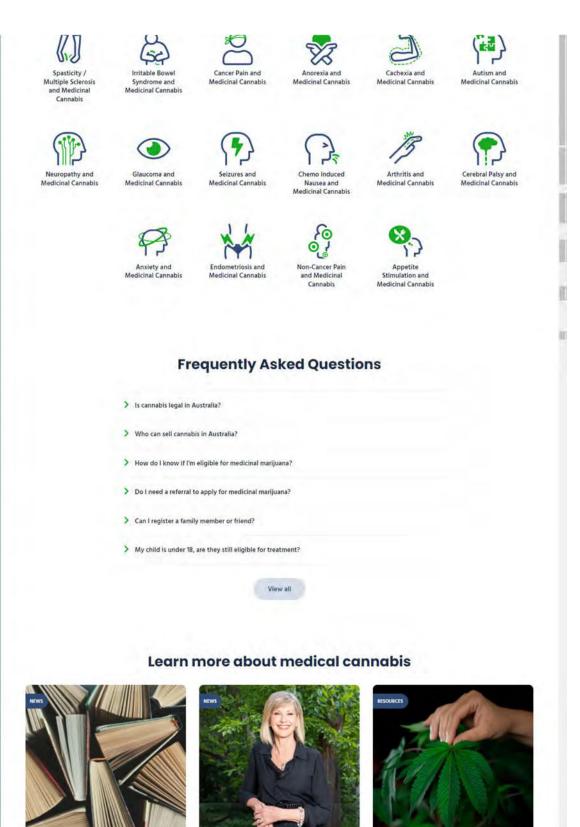


Medicinal Cannabis









The History of 420	A Tribute to	o the Sweetheart of the	Whole Flowe	r: A Growing Industry
The history of 420		Cannabis Industry	Whole Howe	1.A Glowing industry
Read more in Cannabis resour	rces News			
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	Speak with a	top Australian doctor r	iow.	
	(Book an appointment		
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Be in the kno Subscribe for our latest Subscribe for our latest United to the subscribe for our latest Neep in touch Hologocanwel.com.au Contact us	www.ith Canwell. articles and medicinal cannabis inform About About Canwell FAQS Our doctors	Nation Learn Your guide to medicinal cannab The benefits of cannabis Symptoms / Conditions Cannabis resources News	Al address How it works isProcess Pricing Register Eligibility test	Subscribe Get started



Australian Government

Department of Health and Aged Care Therapeutic Goods Administration

Naturally Elevated Pty Ltd ACN 636 315 109 Unit 19 67 Bancroft Road PINKENBA QLD 4008

By Express Post and By Email: S22 @naturallyelevated.com.au cc: S22 @naturallyelevated.com.au Infringement Notice Number: TGAIN-AC-000000014762/2023-3

Date given: 18/09/2023

Penalty total: \$16,500

Payment due: 16/10/2023

Enquiries:

Telephone:

Email: advertising.compliance@tga.gov.au

INFRINGEMENT NOTICE GIVEN TO

Naturally Elevated Pty Ltd

PART A: Infringement Notice given by

s22

Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice to Naturally Elevated Pty Ltd (Naturally Elevated) under Part 5A-2 of the Act on the basis that I reasonably believe that Naturally Elevated has contravened section 42DLB(1) of the Act, where subsection 42DLB(4)(a) applied.

The details of the alleged contravention are that:

On 28 April 2023, Naturally Elevated did advertise, or caused the advertising of therapeutic goods, being medicinal cannabis, on the web page located at <u>https://www.canwell.com.au/</u>.

The advertisement contained a restricted representation, being a reference to multiple sclerosis. Neither an approval under section 42DF of the Act nor a permission under section 42DK of the Act was in force in relation to the restricted representation.

An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

PO Box 100 Woden ACT 2606 ABN 40 939 406 804 Phone: 1800 020 653 or 02 6289 4124 Fax: 02 6203 1605 Email: info@tga.gov.au https://www.tga.gov.au The maximum penalty that a court could impose on a company for a single contravention of section 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$13,750,000.⁸

The amount payable under this notice is \$16,500. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.







Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received. Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN-AC-000000014762/2023-3 as reference to identify your payment.



ELECTRONIC FUNDS

Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-000000014762/2023-3 in the description of your transfer and allow two business days for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

⁸ A penalty unit for a contravention alleged to have occurred on or after 1 January 2023 and on or before 30 June 2023 is \$275 (section 4AA of the *Crimes Act 1914*). The value of a penalty unit for a contravention alleged to have occurred on or after 1 July 2023 is \$313.

PART D: Information about this Infringement Notice

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. You should state in your application why you require an extension and provide supporting documentation such as balance sheets, statements of cash flow, profit and loss statements, and/or bank statements for the last 12 months. Requests can be made by sending them directly to:

- <u>advertising.compliance@tga.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, civil penalty proceedings may be brought against you for the alleged contravention described in this notice.

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been issued to companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of the lapsing of the compliance period for the notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No further payment can be accepted for a lapsed notice, however the Secretary may at their discretion extend the compliance period to allow payment to be made. If you pay the penalty amount payable under this notice after the compliance period has lapsed (and the compliance period is not extended), you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents, such as balance sheets, statements of cash flow, profit and loss statements, and/or bank statements for the last 12 months.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

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- PO Box 100; WODEN ACT 2609

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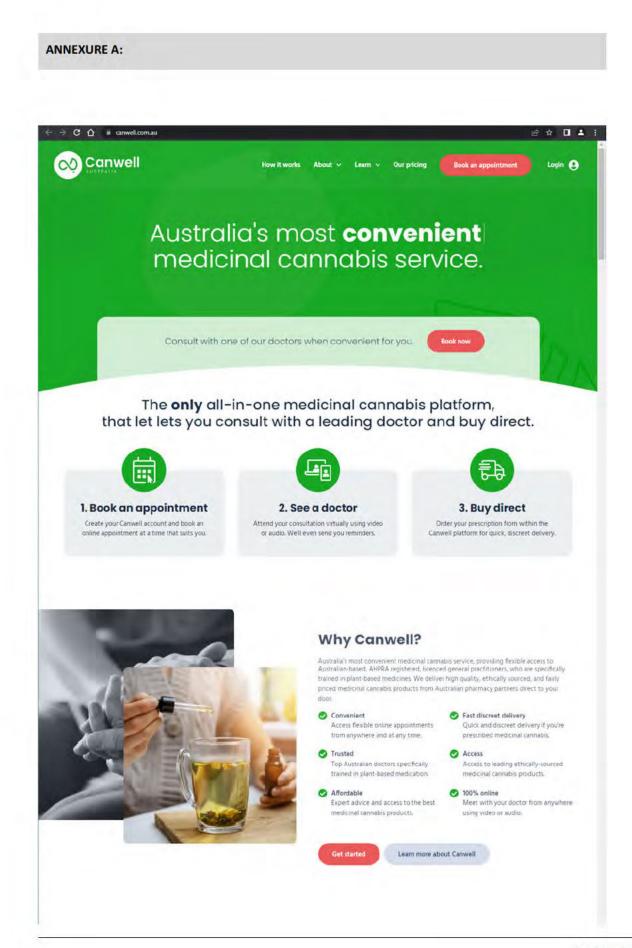
Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.



Delegate of the Secretary of the Australian Government Department of Health and Aged Care Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

Date: 18 September 2023



See what others have to say

Excellent 🦂 🚖 🚖 🦘 4.6 based on 468 reviews

2 months ago.

Claudia 📑 🔶 🔶 📌

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I was really impressed with the whole process! Having anxiety I was worried about the whole process of booking an appointment, talking to the Dr and purchasing my CBD oil! I feel reassure... 2 months ago

Jason 🗯 🛊 🛊 🍁 🍁 Great service , and nice to have a doctor that acutally wants to hear, and to help a patient . Something that is rare in todays GP's

Tracey * * * * * Very understanding Dr.

Ross • • • •

2 months ago

Very informative and helpful. Gave me lots of options and suggestions, quick and easy service. Looking forward to see where this health journey goes.

2 months ago

OREVIEWS.

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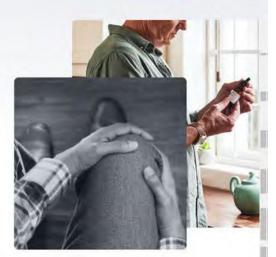
Why consider medical cannabis?

A growing number of Australians are turning to medicinal cannabis to complement or often replace 'conventional' medicines.

Studies report that medical cannabis provides possible benefits for several conditions and is used to treat many symptoms, including pain, nausea, anxiety, and insomnia.

In addition, by helping to maintain homeostasis within the body, the Endocannabinoid System (ESC) and cannabis play a critical role in regulating immune response, mood, appetite, metabolism and memory.





Medicinal cannabis can help with







Ulcerative Colitis and Medicinal Cannabis

Depression and

Medicinal Cannabis



ប Inflammation and medicinal cannabis



Medicinal Cannabis



Õ Post Traumatic Stress Disorder (PTSD) and

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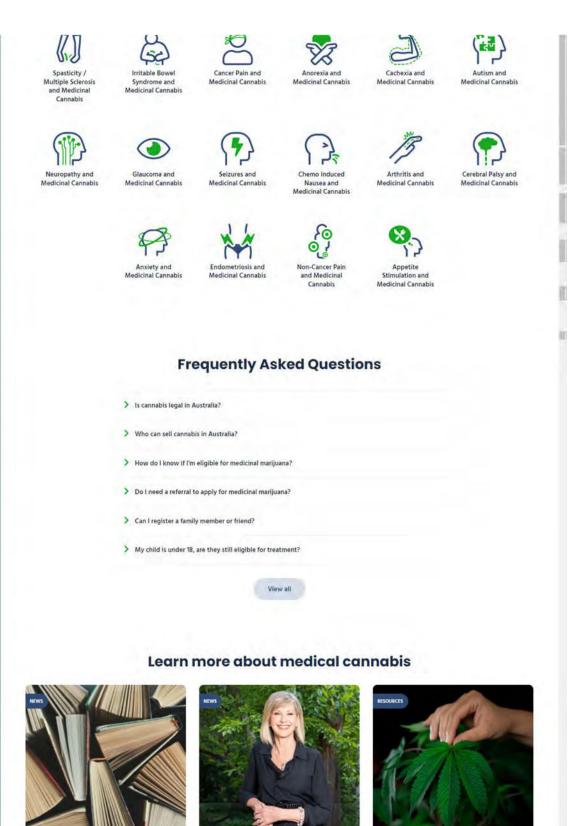
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Insomnia and Medicinal Cannabis

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The History of 420	A Tribute to	the Sweetheart of the	Whole Flowe	er: A Growing Industry
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Read more in Cannabis resour	rces News			
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Be in the kno Subscribe for our latest : Keep in touch 1300 273 824 helogocanwel.com.au Contact us	wwwith Canwell. articles and medicinal cannabis inform About About Canwell FAQS Our doctors	Fast discreet delivered of the second s	Al address How it works isProcess Pricing Register Eligiblity test	Subscribe Get started



Australian Government

Department of Health and Aged Care Therapeutic Goods Administration

Naturally Elevated Pty Ltd ACN 636 315 109 Unit 19 67 Bancroft Road PINKENBA QLD 4008

By Express Post and By Email: S22 @naturallyelevated.com.au cc: S22 @naturallyelevated.com.au Infringement Notice Number: TGAIN-AC-000000014762/2023-4

Date given: 18/09/2023

Penalty total: \$16,500.00

Payment due: 16/10/2023

Enquiries:

Telephone: S22 Email: advertising.compliance@tga.gov.au

INFRINGEMENT NOTICE GIVEN TO Naturally Elevated Pty Ltd

PART A: Infringement Notice given by

Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice to Naturally Elevated Pty Ltd (Naturally Elevated) under Part 5A-2 of the Act on the basis that I reasonably believe that Naturally Elevated has contravened section 42DLB(1) of the Act, where subsection 42DLB(4)(a) applied.

The details of the alleged contravention are that:

On 28 April 2023, Naturally Elevated did advertise, or caused the advertising of therapeutic goods, being medicinal cannabis, on the web page located at <u>https://www.canwell.com.au/</u>.

The advertisement contained a restricted representation, being a reference to endometriosis. Neither an approval under section 42DF of the Act nor a permission under section 42DK of the Act was in force in relation to the restricted representation.

An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

PO Box 100 Woden ACT 2606 ABN 40 939 406 804 Phone: 1800 020 653 or 02 6289 4124 Fax: 02 6203 1605 Email: info@tga.gov.au https://www.tga.gov.au The maximum penalty that a court could impose on a company for a single contravention of section 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$13,750,000.⁹

The amount payable under this notice is \$16,500. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.







Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received. Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN-AC-000000014762/2023-4 as reference to identify your payment.



ELECTRONIC FUNDS

Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-000000014762/2023-4 in the description of your transfer and allow two business days for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

⁹ A penalty unit for a contravention alleged to have occurred on or after 1 January 2023 and on or before 30 June 2023 is \$275 (section 4AA of the *Crimes Act 1914*). The value of a penalty unit for a contravention alleged to have occurred on or after 1 July 2023 is \$313.

PART D: Information about this Infringement Notice

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. You should state in your application why you require an extension and provide supporting documentation such as balance sheets, statements of cash flow, profit and loss statements, and/or bank statements for the last 12 months. Requests can be made by sending them directly to:

- <u>advertising.compliance@tga.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, civil penalty proceedings may be brought against you for the alleged contravention described in this notice.

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been issued to companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of the lapsing of the compliance period for the notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No further payment can be accepted for a lapsed notice, however the Secretary may at their discretion extend the compliance period to allow payment to be made. If you pay the penalty amount payable under this notice after the compliance period has lapsed (and the compliance period is not extended), you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents, such as balance sheets, statements of cash flow, profit and loss statements, and/or bank statements for the last 12 months.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

- <u>advertising.compliance@tga.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.



s22

Delegate of the Secretary of the Australian Government Department of Health and Aged Care Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

Date: 18 September 2023



See what others have to say

Excellent 🔆 🛧 🛧 🤺 4.6 based on 468 reviews

Tracey 💌 🛊 🛊 🛊

Claudia = 🔶 👷 🔶 😦

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I was really impressed with the whole process! Having anxiety I was worried about the whole process of booking an appointment, talking to the Dr and purchasing my CBD oil! J feel reassure... I months ago

Jason 🔸 🛊 🛊 🍁

Great service, and nice to have a Very understanding Dr. doctor that acutally wants to hear, and to help a patient . Something that is rare in todays GP's

2 months ago

Ross + + + + +

2 months ago

Very informative and helpful. Gave me lots of options and suggestions, quick and easy service. Looking forward to see where this health journey goes.

> 2 months ago OREVIEWS.

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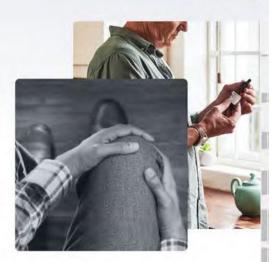
Why consider medical cannabis?

A growing number of Australians are turning to medicinal cannabis to complement or often replace 'conventional' medicines.

Studies report that medical cannabis provides possible benefits for several conditions and is used to treat many symptoms, including pain, nausea, anxiety, and insomnia.

In addition, by helping to maintain homeostasis within the body, the Endocannabinoid System (ESC) and cannabis play a critical role in regulating immune response, mood, appetite, metabolism and memory.





Medicinal cannabis can help with





Epilepsy and

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3 Chron's Disease / Ulcerative Colitis and Medicinal Cannabis



Inflammation and medicinal cannabis



Parkinson's and Medicinal Cannabis



Syndrome and Medicinal Cannabis



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Insomnia and Medicinal Cannabis



Post Traumatic Stress Disorder (PTSD) and Medicinal Cannabis

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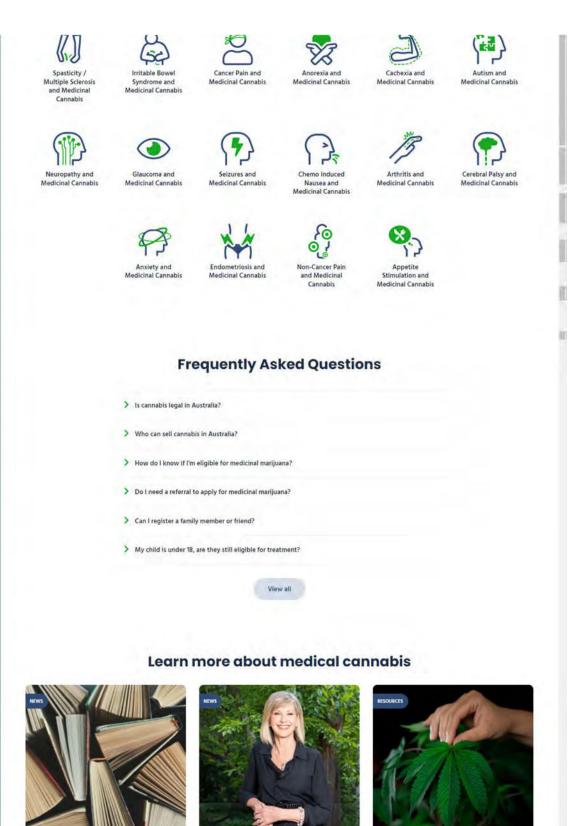
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Australian Government

Department of Health and Aged Care

Therapeutic Goods Administration

Naturally Elevated Pty Ltd ACN 636 315 109 Unit 19 67 Bancroft Road PINKENBA QLD 4008

By Express Post and By Email: S22 @naturallyelevated.com.au cc: S22 @naturallyelevated.com.au Infringement Notice Number: TGAIN-AC-000000014762/2023-5

Date given: 18/09/2023

Penalty total: \$16,500

Payment due: 16/10/2023

Enquiries:

Telephone: <mark>\$22</mark> Email: advertising.compliance@tga.gov.au

INFRINGEMENT NOTICE GIVEN TO Naturally Elevated Pty Ltd

PART A: Infringement Notice given by

s22

Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice to Naturally Elevated Pty Ltd (Naturally Elevated) under Part 5A-2 of the Act on the basis that I reasonably believe that Naturally Elevated has contravened section 42DLB(1) of the Act, where subsection 42DLB(7) applied.

The details of the alleged contravention are that:

On 28 April 2023, Naturally Elevated did advertise, or caused the advertising of therapeutic goods, being medicinal cannabis, on the web page located at <u>https://www.canwell.com.au/about/about-canwell</u>.

The advertisement referred to goods containing substances included in Schedule 3, 4 or 8 to the current Poisons Standard, such as cannabis, but not in Appendix H of the current Poisons Standard, other than a reference authorised or required by a government or government authority.

PO Box 100 Woden ACT 2606 ABN 40 939 406 804 Phone: 1800 020 653 or 02 6289 4124 Fax: 02 6203 1605 Email: info@tga.gov.au https://www.tga.gov.au An image of the advertisement taken by the Therapeutic Goods Administration is included at Annexure A to this notice.

The maximum penalty that a court could impose on a company for a single contravention of section 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$13,750,000.¹⁰

The amount payable under this notice is \$16,500. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.





Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received. Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN-AC-000000014762/2023-5 as reference to identify your payment.



ELECTRONIC FUNDS TRANSFER

Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-000000014762/2023-5 in the description of your transfer and allow two business days for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

¹⁰ A penalty unit for a contravention alleged to have occurred on or after 1 January 2023 and on or before 30 June 2023 is \$275 (section 4AA of the *Crimes Act 1914*). The value of a penalty unit for a contravention alleged to have occurred on or after 1 July 2023 is \$313.

PART D: Information about this Infringement Notice

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. You should state in your application why you require an extension and provide supporting documentation such as balance sheets, statements of cash flow, profit and loss statements, and/or bank statements for the last 12 months. Requests can be made by sending them directly to:

- <u>advertising.compliance@tga.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, civil penalty proceedings may be brought against you for the alleged contravention described in this notice.

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been issued to companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of the lapsing of the compliance period for the notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No further payment can be accepted for a lapsed notice, however the Secretary may at their discretion extend the compliance period to allow payment to be made. If you pay the penalty amount payable under this notice after the compliance period has lapsed (and the compliance period is not extended), you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents, such as balance sheets, statements of cash flow, profit and loss statements, and/or bank statements for the last 12 months.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

- <u>advertising.compliance@tga.gov.au</u>; or
- PO Box 100; WODEN ACT 2609

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

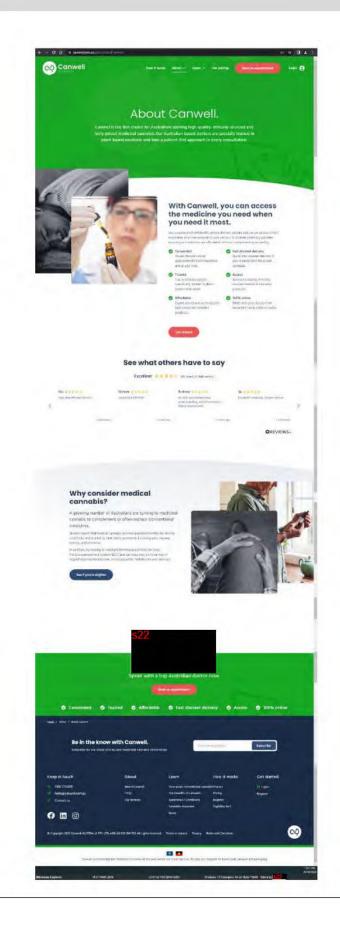


s22

Delegate of the Secretary of the Australian Government Department of Health and Aged Care Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

Date: 18 September 2023

ANNEXURE A:





Australian Government

Department of Health and Aged Care Therapeutic Goods Administration

Naturally Elevated Pty Ltd ACN 636 315 109 Unit 19 67 Bancroft Road PINKENBA QLD 4008

By Express Post and By Email: <u>@naturallyelevated.com.au</u> cc: <u>222</u>@naturallyelevated.com.au Infringement Notice Number: TGAIN-AC-000000014762/2023-6

Date given: 18/09/2023

Penalty total: \$16,500

Payment due: 16/10/2023

Enquiries:

Telephone: S22 Email: advertising.compliance@tga.gov.au

INFRINGEMENT NOTICE GIVEN TO

Naturally Elevated Pty Ltd

PART A: Infringement Notice given by

Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice to Naturally Elevated Pty Ltd (Naturally Elevated) under Part 5A-2 of the Act on the basis that I reasonably believe that Naturally Elevated has contravened section 42DLB(1) of the Act, where subsection 42DLB(4)(a) applied.

The details of the alleged contravention are that:

On 29 March 2023, Naturally Elevated did advertise, or caused the advertising of therapeutic goods, being medicinal cannabis, on the web page located at <u>https://www.facebook.com/Canwell-Australia-109055414757594</u>.

The advertisement contained a restricted representation, being a reference to chronic pain. Neither an approval under section 42DF of the Act nor a permission under section 42DK of the Act was in force in relation to the restricted representation.

PO Box 100 Woden ACT 2606 ABN 40 939 406 804 Phone: 1800 020 653 or 02 6289 4124 Fax: 02 6203 1605 Email: info@tga.gov.au https://www.tga.gov.au An image of the advertisement taken by the Therapeutic Goods Administration is included at Annexure A to this notice.

The maximum penalty that a court could impose on a company for a single contravention of section 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$13,750,000.11

The amount payable under this notice is \$16,500. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.



CHEQUE

CREDIT CARD 8B (2) 101 45M 70

Return this notice to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received.

Use your credit card to pay your notice by calling the **Collector of Relevant Monies** directly on (02) 6289 1095. Please include the infringement notice number **TGAIN-AC-**000000014762/2023-6 as reference to identify your payment.



ELECTRONIC FUNDS TRANSFER

Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-000000014762/2023-6 in the description of your transfer and allow two business days for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

¹¹ A penalty unit for a contravention alleged to have occurred on or after 1 January 2023 and on or before 30 June 2023 is \$275 (section 4AA of the Crimes Act 1914). The value of a penalty unit for a contravention alleged to have occurred on or after 1 July 2023 is \$313.

PART D: Information about this Infringement Notice

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. You should state in your application why you require an extension and provide supporting documentation such as balance sheets, statements of cash flow, profit and loss statements, and/or bank statements for the last 12 months. Requests can be made by sending them directly to:

- <u>advertising.compliance@tga.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, civil penalty proceedings may be brought against you for the alleged contravention described in this notice.

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been issued to companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of the lapsing of the compliance period for the notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No further payment can be accepted for a lapsed notice, however the Secretary may at their discretion extend the compliance period to allow payment to be made. If you pay the penalty amount payable under this notice after the compliance period has lapsed (and the compliance period is not extended), you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents, such as balance sheets, statements of cash flow, profit and loss statements, and/or bank statements for the last 12 months.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

- <u>advertising.compliance@tga.gov.au</u>; or
- PO Box 100; WODEN ACT 2609

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

Effect of withdrawal of this notice

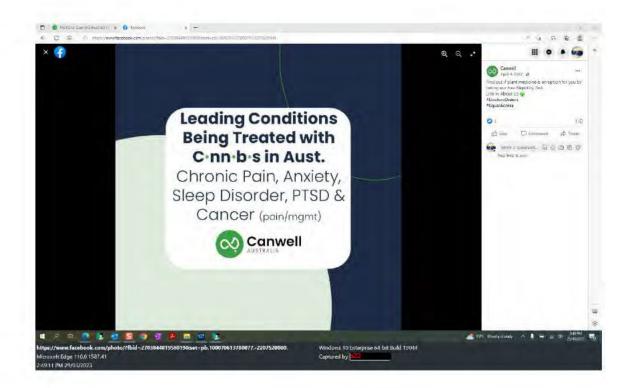
If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.



Delegate of the Secretary of the Australian Government Department of Health and Aged Care Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

Date: 18 September 2023

ANNEXURE A:





Australian Government

Department of Health and Aged Care

Therapeutic Goods Administration

Naturally Elevated Pty Ltd ACN 636 315 109 Unit 19 67 Bancroft Road PINKENBA QLD 4008

By Express Post and By Email: S22 @naturallyelevated.com.au cc: S22 @naturallyelevated.com.au Infringement Notice Number: TGAIN-AC-000000014762/2023-7

Date given: 18/09/2023

Penalty total: \$16,500

Payment due: 16/10/2023

Enquiries:

Telephone: <mark>\$22</mark> Email: advertising.compliance@tga.gov.au

INFRINGEMENT NOTICE GIVEN TO Naturally Elevated Pty Ltd

PART A: Infringement Notice given by

s22

Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice to Naturally Elevated Pty Ltd (Naturally Elevated) under Part 5A-2 of the Act on the basis that I reasonably believe that Naturally Elevated has contravened section 42DLB(1) of the Act, where subsection 42DLB(7) applied.

The details of the alleged contravention are that:

On 28 April 2023, Naturally Elevated did advertise, or caused the advertising of therapeutic goods, being medicinal cannabis, on the web page located at https://www.instagram.com/canwellaustralia/.

The advertisement referred to goods containing substances included in Schedule 3, 4 or 8 to the current Poisons Standard, such as cannabis, but not in Appendix H of the current Poisons Standard, other than a reference authorised or required by a government or government authority.

PO Box 100 Woden ACT 2606 ABN 40 939 406 804 Phone: 1800 020 653 or 02 6289 4124 Fax: 02 6203 1605 Email: info@tga.gov.au https://www.tga.gov.au An image of the advertisement taken by the Therapeutic Goods Administration is included at Annexure A to this notice.

The maximum penalty that a court could impose on a company for a single contravention of section 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$13,750,000.12

The amount payable under this notice is \$16,500. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.



CREDIT CARD 88 QD 11 444 PP

Return this notice to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received.

Use your credit card to pay your notice by calling the **Collector of Relevant Monies** directly on (02) 6289 1095. Please include the infringement notice number TGAIN-AC-00000014762/2023-7 as reference to identify your payment.



ELECTRONIC FUNDS TRANSFER

Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-000000014762/2023-7 in the description of your transfer and allow two business days for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

¹² A penalty unit for a contravention alleged to have occurred on or after 1 January 2023 and on or before 30 June 2023 is \$275 (section 4AA of the Crimes Act 1914). The value of a penalty unit for a contravention alleged to have occurred on or after 1 July 2023 is \$313.

PART D: Information about this Infringement Notice

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. You should state in your application why you require an extension and provide supporting documentation such as balance sheets, statements of cash flow, profit and loss statements, and/or bank statements for the last 12 months. Requests can be made by sending them directly to:

- <u>advertising.compliance@tga.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, civil penalty proceedings may be brought against you for the alleged contravention described in this notice.

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been issued to companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of the lapsing of the compliance period for the notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No further payment can be accepted for a lapsed notice, however the Secretary may at their discretion extend the compliance period to allow payment to be made. If you pay the penalty amount payable under this notice after the compliance period has lapsed (and the compliance period is not extended), you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents, such as balance sheets, statements of cash flow, profit and loss statements, and/or bank statements for the last 12 months.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

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- PO Box 100; WODEN ACT 2609

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

Effect of withdrawal of this notice

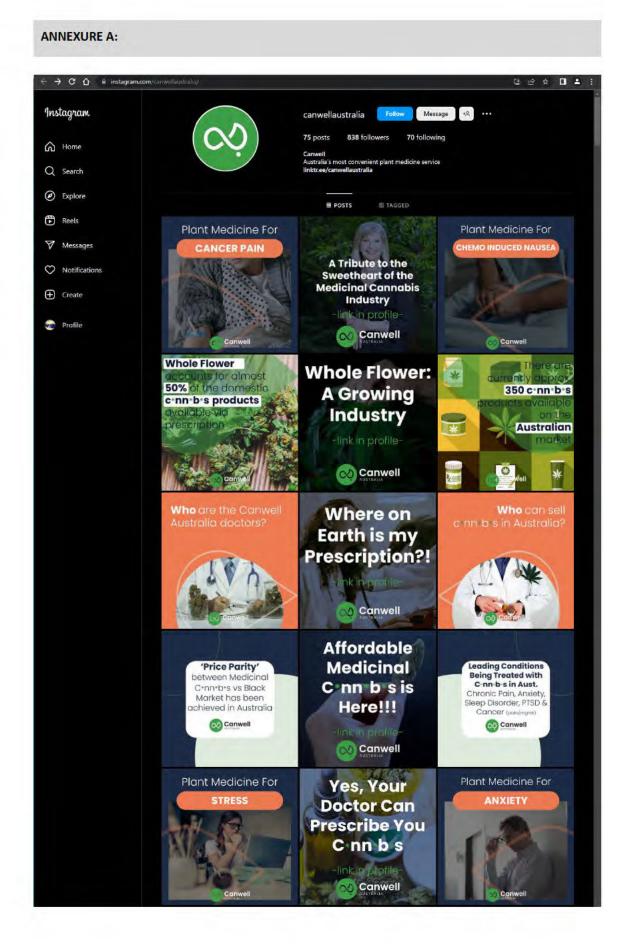
If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

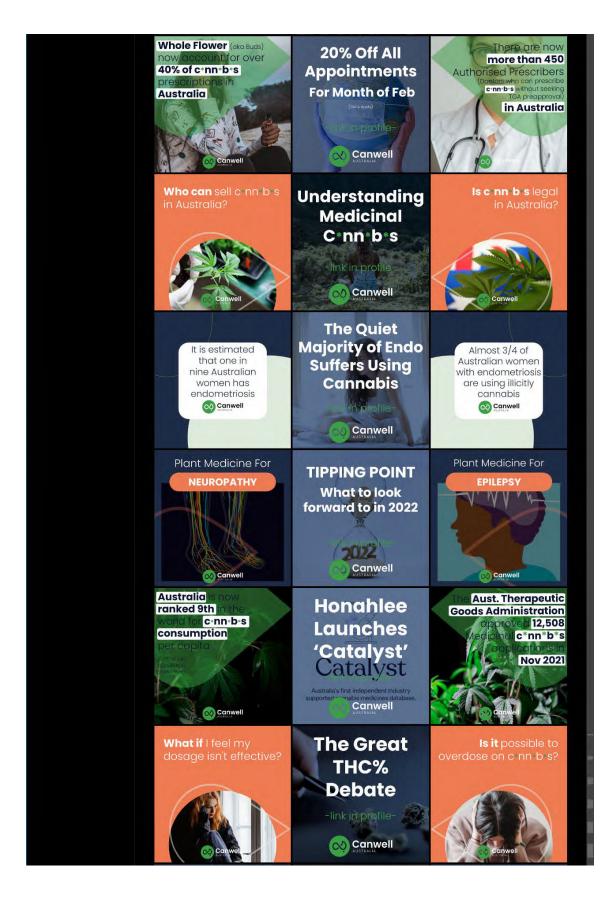


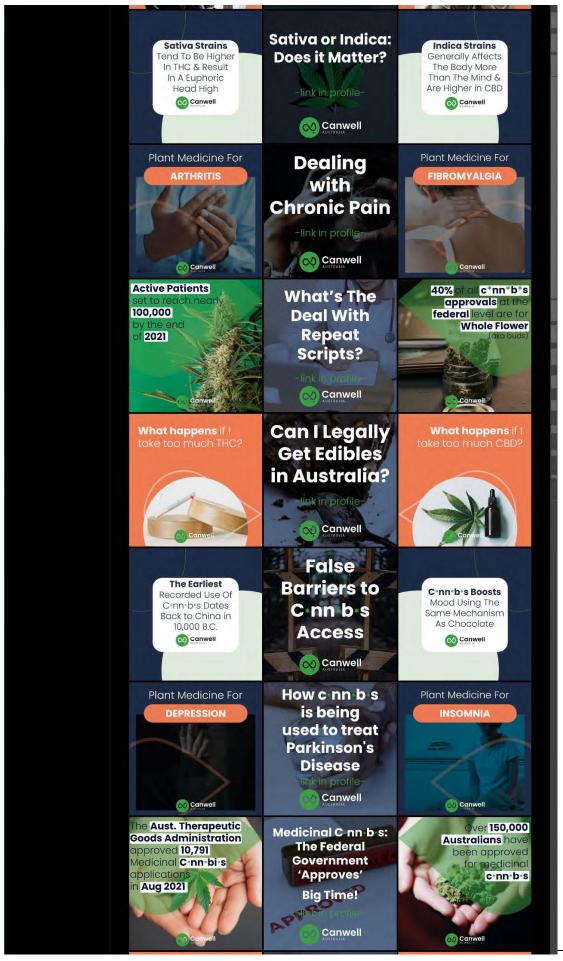
s22

Delegate of the Secretary of the Australian Government Department of Health and Aged Care Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

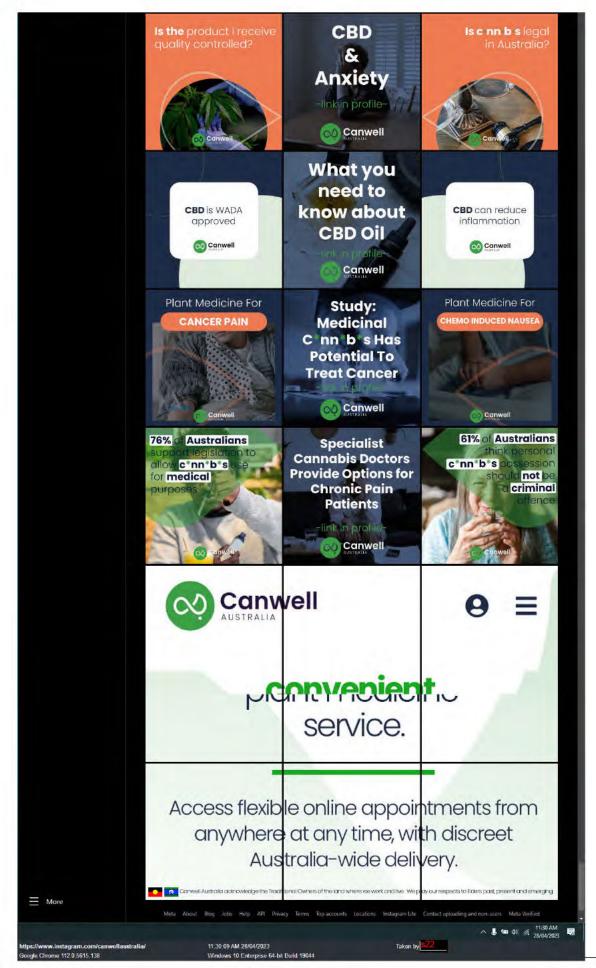
Date: 18 September 2023







Page 53 of 62





Australian Government

Department of Health and Aged Care

Therapeutic Goods Administration

Naturally Elevated Pty Ltd ACN 636 315 109 Unit 19 67 Bancroft Road PINKENBA QLD 4008

By Express Post and By Email: S22 @naturallyelevated.com.au cc: S22 @naturallyelevated.com.au Infringement Notice Number: TGAIN-AC-000000014762/2023-8

Date given: 18/09/2023

Penalty total: \$16,500

Payment due: 16/10/2023

Enquiries:

Telephone: S22 Email: advertising.compliance@tga.gov.au

INFRINGEMENT NOTICE GIVEN TO

Naturally Elevated Pty Ltd

PART A: Infringement Notice given by

s22

Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice to Naturally Elevated Pty Ltd (Naturally Elevated) under Part 5A-2 of the Act on the basis that I reasonably believe that Naturally Elevated has contravened section 42DLB(1) of the Act, where subsection 42DLB(4)(a) applied.

The details of the alleged contravention are that:

On 28 April 2023, Naturally Elevated did advertise, or caused the advertising of therapeutic goods, being medicinal cannabis, on the web page located at <u>https://www.instagram.com/canwellaustralia/</u>.

The advertisement contained a restricted representation, being a reference to epilepsy. Neither an approval under section 42DF of the Act nor a permission under section 42DK of the Act was in force in relation to the restricted representation.

PO Box 100 Woden ACT 2606 ABN 40 939 406 804 Phone: 1800 020 653 or 02 6289 4124 Fax: 02 6203 1605 Email: info@tga.gov.au https://www.tga.gov.au An image of the advertisement taken by the Therapeutic Goods Administration is included at Annexure A to this notice.

The maximum penalty that a court could impose on a company for a single contravention of section 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$13,750,000.13

The amount payable under this notice is \$16,500. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.



CHEQUE

CREDIT CARD 88 € 10 4 10 70 (V)

Return this notice to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received.

Use your credit card to pay your notice by calling the **Collector of Relevant Monies** directly on (02) 6289 1095. Please include the infringement notice number TGAIN-AC-000000014762/2023-8 as reference to identify your payment.

Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-00000014762/2023-8 in the description of your transfer and allow two business days for payment to be received.

ELECTRONIC FUNDS

TRANSFER

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

¹³ A penalty unit for a contravention alleged to have occurred on or after 1 January 2023 and on or before 30 June 2023 is \$275 (section 4AA of the Crimes Act 1914). The value of a penalty unit for a contravention alleged to have occurred on or after 1 July 2023 is \$313.

PART D: Information about this Infringement Notice

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. You should state in your application why you require an extension and provide supporting documentation such as balance sheets, statements of cash flow, profit and loss statements, and/or bank statements for the last 12 months. Requests can be made by sending them directly to:

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Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

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Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

- <u>advertising.compliance@tga.gov.au</u>; or
- PO Box 100; WODEN ACT 2609

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

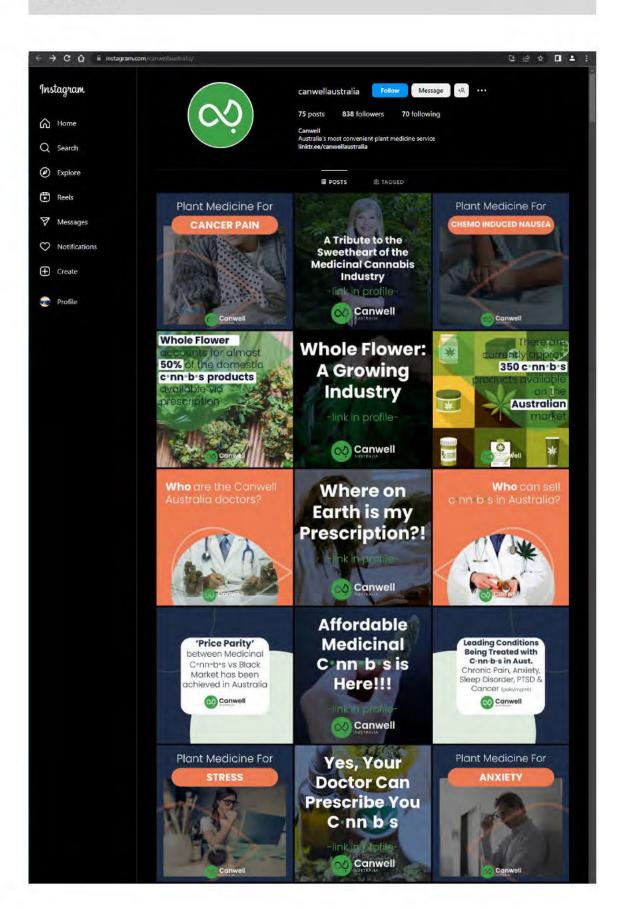


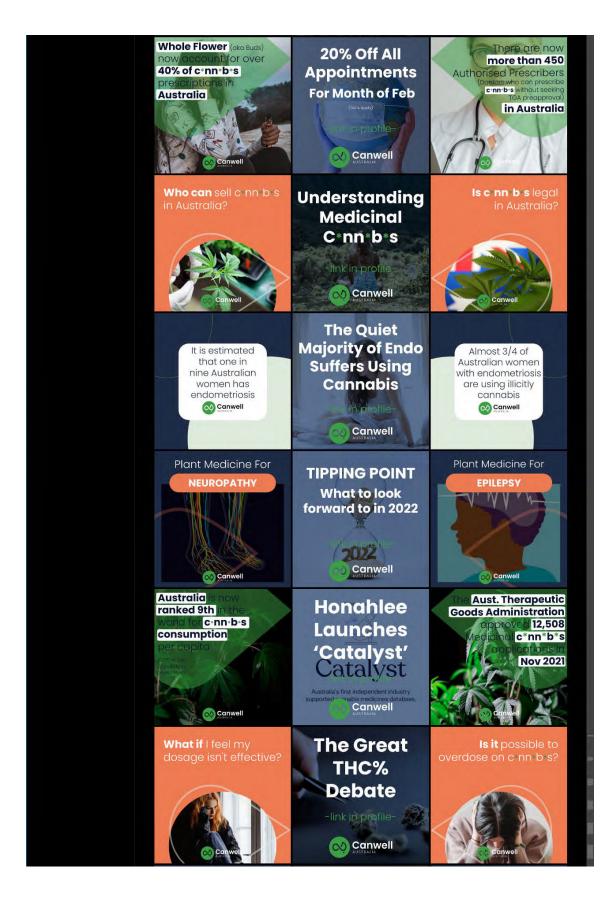
s22

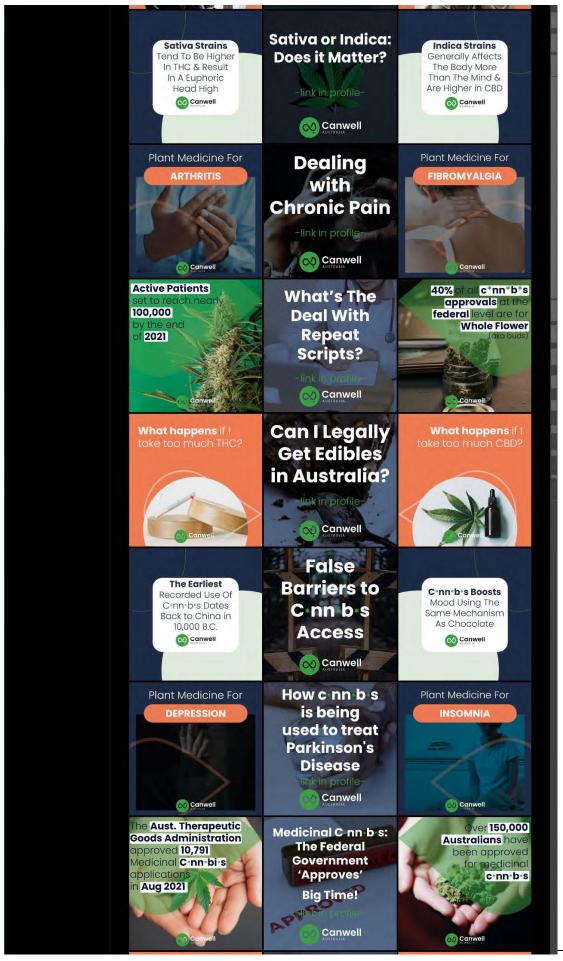
Delegate of the Secretary of the Australian Government Department of Health and Aged Care Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

Date: 18 September 2023

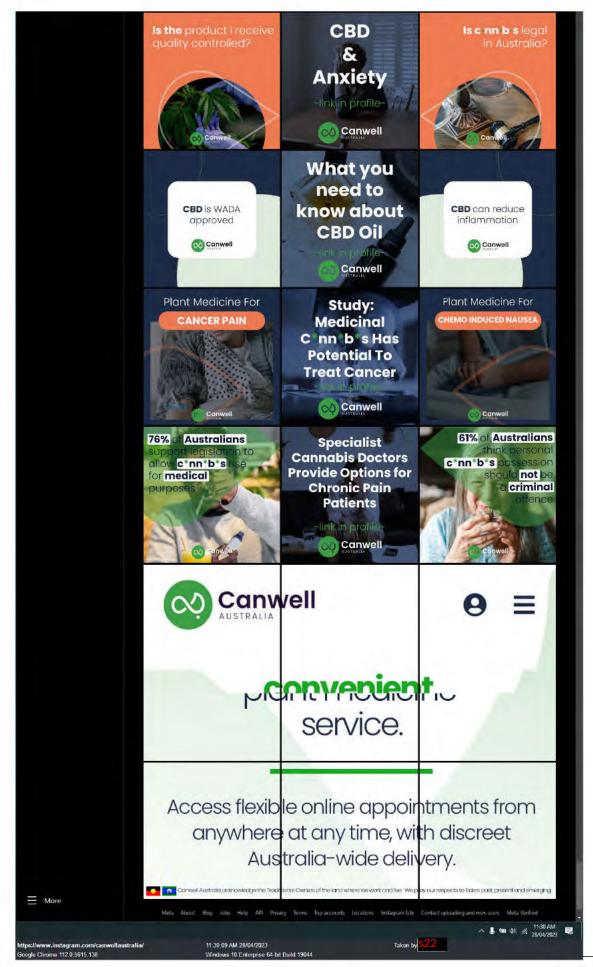
ANNEXURE A:







Page 61 of 62



From:	Advertising Compliance
To:	s22
Cc:	s22
Bcc:	s22 ; s22
Subject:	RE: Letter from Trava Health Pty Ltd to the Therapeutic Goods Administration - AC-000000001715/AC- 000000005995 [SEC=OFFICIAL]
Date:	Friday, 7 July 2023 9:28:00 AM
Attachments:	<u>Letter from Therapeutic Goods Administration to Trava Health Pty Ltd - 7 July 2023.pdf</u> <u>image003.gif</u> <u>image004.png</u> <u>image005.png</u>

Dear <mark>s22</mark>

Please find attached a letter from the Therapeutic Goods Administration to Trava Health Pty Ltd dated 7 July 2023.

Kind regards,

s22

Advertising and Product Investigation Section Regulatory Compliance Branch

Email: Advertising.Compliance@tga.gov.au

Therapeutic Goods Administration Australian Government, Department of Health and Aged Care PO Box 100 Woden ACT 2606 www.tga.gov.au

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the author immediately and delete all copies of this transmission.

Important: This transmission is intended only for the use of the addressee and may contain confidential or legally privileged information. If you are not the intended recipient, you are notified that any use or dissemination of this communication is strictly prohibited. If you receive this transmission in error please notify

From: Advertising Compliance <advertising.compliance@tga.gov.au> Sent: Thursday, 6 July 2023 9:52 AM

<s22 @travahealth.com.au>

Cc: s22 <s22 @Health.gov.au>

Subject: RE: Letter from Trava Health Pty Ltd to the Therapeutic Goods Administration - AC-000000001715/AC-000000005995 [SEC=OFFICIAL]

Dear <mark>s22</mark>

To: **s22**

Thank you for your email. We confirm receipt of your letter dated 3 July 2023.

We will respond to your letters of 25 and 27 June, and 3 July 2023 soon.

Kind regards,

s22		

Advertising and Product Investigation Section Regulatory Compliance Branch

Email: Advertising.Compliance@tga.gov.au

Therapeutic Goods Administration Australian Government, Department of Health and Aged Care PO Box 100 Woden ACT 2606 www.tga.gov.au

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From: S22 <<u>S22</u> @travahealth.com.au> Sent: Tuesday, 4 July 2023 11:17 AM

To: Advertising Compliance <<u>advertising.compliance@tga.gov.au</u>>

Cc: s22 <s22 <u>@Health.gov.au</u>>

Subject: Re: Letter from Trava Health Pty Ltd to the Therapeutic Goods Administration - AC-000000001715/AC-000000005995 [SEC=OFFICIAL]

Dear <mark>s22</mark>

I received your correspondence this afternoon, Melbourne time, Monday 3rd of July. I am away and was travelling by plane for 36 hours starting Thursday, 29th of June. As I mentioned in my previous Letter, I am not an accountant, so I won't be able to provide the information to you, which may be less than accurate. I take it for granted that I should not, and I am not permitted to determine the costs hypothetically, and my accountant must carefully prepare those costs. Otherwise, I can provide you with misleading information that would be improper and in breach of my obligation as a permit holder. I have attached the Letter to support my reply.

Please be patient to help me get the correct information so that the information received is unimpeachable and not speculative, and I can then forward it to you.

In the meantime, if you have any more questions, please feel free to contact me.

Kind Regards,







Trava Health Clinic respectfully acknowledges Aboriginal and Torres Strait Islander peoples as the Traditional Custodians of the land, recognises their ongoing connection to land, waters and community and respects their past, present and emerging Elders.

OFFICIAL: Sensitive

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On 28/06/2023 2:25 pm, Advertising Compliance wrote:

Dear s22

We confirm receipt of your letter dated 27 June 2023.

I am writing to you because we are concerned about the accuracy of the financial information that you have provided in response to question 4 of our notice issued under section 45AB of the Therapeutic Goods Act on 17 May 2023.

We will write to you separately to address the other matters raised in your letters of 25 and 27 June that do not relate to Trava's response to the notice.

Financial information

Question 4 of our notice asked for revenue for the supply of medicinal cannabis and nicotine vaping products and services for the periods listed in the notice. From the description in your letter of 27 June, it appears that the amounts provided in your letter of 25 June were net profit, rather than revenue.

To comply with the notice, we ask that Trava confirm its revenue for the period of 1 July 2022 to 17 May 2023 with its accountant, and provide us this information by

12:00 pm on Friday 30 June 2023.

Compliance with the notice

We note that you have told us you are not an accountant or legally trained. However, it is important that the information you provide in response to the notice is accurate. This is because there are serious penalties for providing false or misleading information in response to the notice (Part G of the notice).

As stated in our previous correspondence, if Trava requires assistance in complying with the Act, including its advertising and section 45AB obligations, it is open to Trava to engage a regulatory affairs consultant or legal practitioner.

Kind regards,

Advertising and Product Investigation Section **Regulatory Compliance Branch**

Email: Advertising.Compliance@tga.gov.au

Therapeutic Goods Administration Australian Government, Department of Health and Aged Care PO Box 100 Woden ACT 2606 www.tga.gov.au

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From: <u>522</u>

@travahealth.com.au> <<mark>S22</mark> Sent: Tuesday, 27 June 2023 2:57 PM

To: Advertising Compliance advertising.compliance@tga.gov.au Subject: Re: Letter from Trava Health Pty Ltd to the Therapeutic Goods Administration - AC-00000001715/AC-00000005995 [SEC=OFFICIAL], reply

Dear Sir/Madam,

Thank you for your letter, the real, y is attached to your consideration		
Kind Regards,		
s22 s22		
s22 s22 <u>@travahealth.com.au</u>		
https://www.travahealth.com.au		
Image: Street and S		
Trava Health Clinic respectfully acknowledges Aboriginal and Torres Strait Islander peoples as the Traditional Custodians of the land, recognises their ongoing connection to land, waters and community and respects their past, present and emerging Elders.		
OFFICIAL: Sensitive		
This email contains confidential information intended only for the person named above and may be subject to legal privilege. If you are not the intended recipient, any disclosure, copying or use of this information is prohibited. The Clinic does not guarantee that this communication is virus- free or has not been intercepted or interfered with. If you have received this email in error or have any other concerns regarding its transmission, please notify \$22 @travahealth.com.au		
On 26/06/2023 1:34 pm, Advertising Compliance wrote:		
Dear <mark>\$22</mark> ,		
Thank you for your letter.		
We are writing to you about the revenue provided at paragraph (I.)(i) on page 4 of your letter. We will write to you separately about the remaining matters raised in your letter.		
Could you please confirm whether the amounts listed at paragraph (l.)(i) of the letter are gross profit, net profit or revenue by 5:00 pm tomorrow (Tuesday 27 June 2023) ?		
To assist our assessment of this matter we ask that Trava provide, on a voluntary basis, any financial documents for the period from 1 July 2022 to 17 May 2023 which contain its revenue for the supply of medicinal cannabis and nicotine vaping products and associated services. This may include profit and loss, or income statements.		

	you provide any financial documents to us by 12:00 pm on Friday 30
Please let me	know if you have any questions.
Kind regards,	
s22	
Advertising and F Regulatory Comp	Product Investigation Section Iliance Branch
Email: <u>Advertisin</u>	g.Compliance@tga.gov.au
	ds Administration nment, Department of Health and Aged Care
<u>www.tga.gov.au</u>	
legally privileged dissemination of	ransmission is intended only for the use of the addressee and may contain confidential or information. If you are not the intended recipient, you are notified that any use or this communication is strictly prohibited. If you receive this transmission in error please immediately and delete all copies of this transmission.
To: <mark>s22</mark> Cc: <mark>s22</mark>	<pre><s22 @travahealth.com.au=""> /, 25 June 2023 8:02 PM <s22 @health.gov.au=""> <s22 @health.gov.au=""> <s22 @health.gov.au=""> TACHMENT UNSCANNED]reply from Trava Health ref 00000001715</s22></s22></s22></s22></pre>
Sent: Sunday To: <u>\$22</u> Cc: <u>\$22</u> Subject: [AT	7, 25 June 2023 8:02 PM < <mark>\$22 @Health.gov.au</mark> > < <mark>\$22 @Health.gov.au</mark> > TACHMENT UNSCANNED]reply from Trava Health ref 00000001715 Think before you click! This email originated from outside our Only click links or open attachments if you recognise the sender and
Sent: Sunday To: <u>\$22</u> Cc: <u>\$22</u> Subject: [AT REMINDER: organisation.	7, 25 June 2023 8:02 PM S22 @Health.gov.au> TACHMENT UNSCANNED]reply from Trava Health ref 00000001715 Think before you click! This email originated from outside our Only click links or open attachments if you recognise the sender and tent is safe.
Sent: Sunday To: S22 Cc: S22 Subject: [AT REMINDER: organisation. know the con	7, 25 June 2023 8:02 PM S22 @Health.gov.au> TACHMENT UNSCANNED]reply from Trava Health ref 00000001715 Think before you click! This email originated from outside our Only click links or open attachments if you recognise the sender and tent is safe.



3 Portman Street, Oakleigh, Victoria 3166

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@travahealth.com.au

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Australian Government

Department of Health and Aged Care Therapeutic Goods Administration

Trava Health Pty Ltd ACN 651 466 616

Our References: AC-000000005995

AC-00000001715

3 Portman Street Oakleigh VIC 3166

By Email:

22

@travahealth.com.au

7 July 2023

Dear S22

We are writing to you about our ongoing correspondence in this matter. This includes your letters of 25 and 27 June, and 4 July 2023.

The purpose of our letter is to:

- provide Trava Health with an opportunity to provide any additional information (submissions) to be considered by a delegate of the Secretary of the Department of Health and Aged Care in deciding what enforcement action to take against it regarding alleged unlawful advertising, and
- respond to the matters raised in your letters of 25 and 27 June, and 4 July 2023.

Action required

We ask that you carefully consider our letter, and take the following actions:

- confirm receipt of our letter by 10 July 2023.
- if Trava Health would like to make any submissions to the delegate it must provide its submissions in writing by email to Advertising.Compliance@tga.gov.au by 9:00 am on 17 July 2023.

Intention to take enforcement action

We are considering taking enforcement action against Trava Health in relation to the alleged unlawful advertising of therapeutic goods on its website and social media pages. The enforcement action being considered includes giving infringement notices to Trava Health for the types of contraventions described at paragraph 6 of our letter dated 2 March 2023 (our March letter).

Trava Health is welcome to make any submissions that it would like to be considered by a delegate of the Secretary in deciding what enforcement action to take against it.

Any submissions must be provided in writing by email to <u>Advertising.Compliance@tga.gov.au</u> by **9:00 am** on **17 July 2023**. We note that submissions provided after this time may not be considered by the delegate.

We recommend that Trava Health immediately take steps to ensure that any noncompliant advertising is removed to avoid being liable for further contraventions. Each day that a non-compliant advertisement remains visible may be a further contravention of the *Therapeutic Goods Act 1989* (the Act). Any ongoing contraventions will be taken into account by the delegate in decided what enforcement action to take against Trava Health.

Response to your letters

We understand that you have raised several matters unrelated to our section 45AB notice in your letters of 24 June, 27 June and 4 July 2023. The matters were:

- the outcome of our assessment of this matter
- advertising and copyright guidance
- approval of advertisements.

Our response to each of these matters is below.

Our assessment

You asked that we tell you whether the advertising issues outlined in our March letter have been addressed. We note that there were two aspects to our March letter:

- advertising of therapeutic goods on Trava Health's website and social media
- unauthorised use of copyright material.

Trava Health's website and social media pages continue to contain advertisements for medicinal cannabis and nicotine vaping products that appear non-compliant for the reasons outlined in our March letter. We have put Trava Health on notice of our concerns since March and have asked that it review and remove any unlawful advertising on multiple occasions. We are now considering enforcement action for the alleged unlawful advertising of therapeutic goods because no changes appear to have been made to the advertising.

We are not proposing to take enforcement action in relation to the unauthorised use of copyright material at this time because you have told us that Trava Health has ceased its unauthorised use of copyright material.

Guidance

You asked for guidance to determine what is advertising, and what material produced by us may be used in Trava Health's advertising.

Representations that may amount to advertising were described at paragraph 5 of our March letter. More information can be found on our website, see for example the advertising guidance for businesses involved with medicinal cannabis products.¹

Information about when material on our website may be used was provided in our March letter. More information can be found in our <u>copyright statement</u>.²

For more specific advice, you may wish to contact a <u>regulatory affairs consultant or</u> <u>advertising advisory service</u> or a legal practitioner.

Approval of advertisements

You asked to submit advertisements to us for our approval.

We note that it is not the Therapeutic Goods Administration's role to approve or endorse advertisements for therapeutic goods. Our role is to monitor and enforce compliance with the Act, which includes educating entities about their advertising obligations to encourage voluntary compliance with the Act. In this case, we have provided Trava Health with sufficient guidance relating to its obligations under the Act.

Ultimately, it is Trava Health's responsibility as a business involving the use of therapeutic goods and the provision of health services to be aware of and comply with the regulatory requirements under the Act.³

As we have stated in our previous correspondence, it is open to you to seek assistance from a regulatory affairs consultant or legal practitioner.

Should you wish to discuss this letter with us, please contact ⁵²² by email at Advertising.Compliance@tga.gov.au.

Yours sincerely



s22

Advertising and Product Investigation Section Regulatory Compliance Branch Therapeutic Goods Administration

¹ https://www.tga.gov.au/resources/resource/guidance/advertising-guidance-businessesinvolved-medicinal-cannabis-products.

² https://www.tga.gov.au/copyright.

³ Secretary, Department of Health v Oxymed Australia Pty Ltd [2021] FCA 1518 at paragraph [251].

From:	s22	
To:	Advertising Compliance	
Subject:	Re: Letter from Trava Health Pty Ltd to the Therapeutic Goods Administration - AC-00000001715/AC- 000000005995 [SEC=OFFICIAL]	
Date:	Monday, 17 July 2023 1:02:36 PM	
Attachments:	image001.gif image002.png image003.png Reply to TGA , 170723.docx	

Dear s22 ,

I'm sending you the letter again.

Thank you for letting me know

Kind Regards,



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On 17/07/2023 10:30 am, Advertising Compliance wrote:

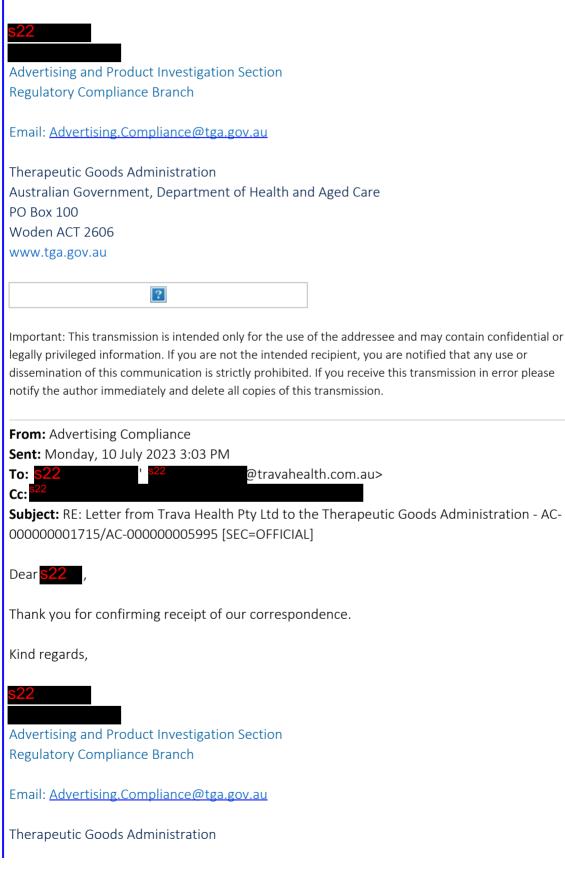
Dear <mark>s22</mark>

I understand that Trava Health was planning to provide us with written submissions by 9 am

this morning, but I was unable to locate them our mailbox.

Could you confirm whether Trava Health has sent the written submissions to <u>Advertising.Compliance@tga.gov.au</u>?

Kind regards,



Australian Government, Department of Health and Aged Care PO Box 100 Woden ACT 2606 www.tga.gov.au

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?

<s22 <u>@travahealth.com.au</u>>

Sent: Sunday, 9 July 2023 10:25 PM

To: Advertising Compliance <<u>advertising.compliance@tga.gov.au</u>>

Subject: Re: Letter from Trava Health Pty Ltd to the Therapeutic Goods Administration - AC-000000001715/AC-000000005995 [SEC=OFFICIAL]

Dear <mark>s22</mark>,

From: s22

Cc:

Thank you for your correspondence dated 07 of July 2023.

I would like to confirm that I will be responding to the delegate in writing by email by 9.00am on 17 of July 2023.

Kind Regards

Regards,	
s22	s22
s22	s22 @travahealth.com.au
	https://www.travahealth.com.au
?	3 Portman Street, Oakleigh, Victoria 3166

Trava Health Clinic respectfully acknowledges Aboriginal and Torres Strait Islander peoples as the Traditional Custodians of the land, recognises their ongoing connection to land, waters and community and respects their past, present and emerging Elders.

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On 07/07/2023 9:28 am, Advertising Compliance wrote:
Dear <mark>\$22</mark> ,
Please find attached a letter from the Therapeutic Goods Administration to Trava Health Pty Ltd dated 7 July 2023.
Kind regards,
s22 Advertising and Product Investigation Section Regulatory Compliance Branch
Email: <u>Advertising.Compliance@tga.gov.au</u>
Therapeutic Goods Administration Australian Government, Department of Health and Aged Care PO Box 100 Woden ACT 2606
www.tga.gov.au
2
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From: Advertising Compliance < advertising.compliance@tga.gov.au

Administration - AC-00000001715/AC-000000005995 [SEC=OFFICIAL]



Thank you for your email. We confirm receipt of your letter dated 3 July 2023.

We will respond to your letters of 25 and 27 June, and 3 July 2023 soon.

Kind regards,

\$22

Advertising and Product Investigation Section Regulatory Compliance Branch

Email: Advertising.Compliance@tga.gov.au

Therapeutic Goods Administration Australian Government, Department of Health and Aged Care PO Box 100 Woden ACT 2606 <u>www.tga.gov.au</u>

?

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From: <u>\$22</u> <<u>\$22</u> @travahealth.com.au</u>> Sent: Tuesday, 4 July 2023 11:17 AM To: Advertising Compliance <<u>advertising.compliance@tga.gov.au</u>> Cc: <u>\$22</u> <<u>\$22</u> @Health.gov.au> Subject: Re: Letter from Trava Health Pty Ltd to the Therapeutic Goods Administration - AC-000000001715/AC-000000005995 [SEC=OFFICIAL]



I received your correspondence this afternoon, Melbourne time, Monday 3rd of July. I am away and was travelling by plane for 36 hours starting Thursday, 29th of June. As I mentioned in my previous Letter, I am not an accountant, so I won't be able to provide the information to you, which may be less than accurate. I take it for granted that I should not, and I am not permitted to determine the costs hypothetically, and my accountant must carefully prepare those costs. Otherwise, I can provide you with misleading information that would be improper and in breach of my obligation as a permit holder. I have attached the Letter to support my reply.

Please be patient to help me get the correct information so that the information received is unimpeachable and not speculative, and I can then forward it to you.

In the meantime, if you have any more questions, please feel free to contact me.



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On 28/06/2023 2:25 pm, Advertising Compliance wrote:

Dear <mark>s22</mark>

We confirm receipt of your letter dated 27 June 2023.

I am writing to you because we are concerned about the accuracy of the financial information that you have provided in response to question 4 of our notice issued under section 45AB of the Therapeutic Goods Act on 17 May 2023.

We will write to you separately to address the other matters raised in your letters of 25 and 27 June that do not relate to Trava's response to the notice.

Financial information

Question 4 of our notice asked for revenue for the supply of medicinal cannabis and nicotine vaping products and services for the periods listed in the notice. From the description in your letter of 27 June, it appears that the amounts provided in your letter of 25 June were net profit, rather than revenue.

To comply with the notice, we ask that Trava confirm its revenue for the period of 1 July 2022 to 17 May 2023 with its accountant, and provide us this information by **12:00 pm on Friday 30 June 2023**.

Compliance with the notice

We note that you have told us you are not an accountant or legally trained. However, it is important that the information you provide in response to the notice is accurate. This is because there are serious penalties for providing false or misleading information in response to the notice (Part G of the notice).

As stated in our previous correspondence, if Trava requires assistance in complying with the Act, including its advertising and section 45AB obligations, it is open to Trava to engage a regulatory affairs consultant or legal practitioner.

Kind regards,

s22

Advertising and Product Investigation Section Regulatory Compliance Branch

Email: Advertising.Compliance@tga.gov.au

Therapeutic Goods Administration Australian Government, Department of Health and Aged Care PO Box 100

	Woden ACT 2606 www.tga.gov.au			
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	From: s22 <s22 @travahealth.com.au=""> Sent: Tuesday, 27 June 2023 2:57 PM To: Advertising Compliance <advertising.compliance@tga.gov.au> Subject: Re: Letter from Trava Health Pty Ltd to the Therapeutic Goods Administration - AC-000000001715/AC-000000005995 [SEC=OFFICIAL], reply</advertising.compliance@tga.gov.au></s22>			
Dear Sir/Madam, Thank you for your letter, the real,y is attached to your consideration				
	Kind <mark>s22</mark> Regards,			
	s22 <u>@travahealth.com.au</u>			
	Business <u>https://www.travahealth.com.au</u>			
	Manager/CEO 3 Portman Street, Oakleigh, Victoria 3166			
	Trava Health Clinic respectfully acknowledges Aboriginal and Torres Strait Islander peoples as the Traditional Custodians of the land, recognises their ongoing connection to land, waters and community and respects their past, present and emerging Elders.			
	OFFICIAL: Sensitive			
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virus-free or has not been intercepted or interfered with. If you have received this email in error or have any other concerns regarding its transmission, please notify <u>\$22</u> @travahealth.com.au

On 26/06/2023 1:34 pm, Advertising Compliance wrote:



Thank you for your letter.

We are writing to you about the revenue provided at paragraph (I.)(i) on page 4 of your letter. We will write to you separately about the remaining matters raised in your letter.

Could you please confirm whether the amounts listed at paragraph (l.)(i) of the letter are gross profit, net profit or revenue by **5:00 pm tomorrow (Tuesday 27 June 2023)**?

To assist our assessment of this matter we ask that Trava provide, on a voluntary basis, any financial documents for the period from 1 July 2022 to 17 May 2023 which contain its revenue for the supply of medicinal cannabis and nicotine vaping products and associated services. This may include profit and loss, or income statements.

We ask that you provide any financial documents to us by **12:00 pm on Friday 30 June 2023**.

Please let me know if you have any questions.

Kind regards,

22

Advertising and Product Investigation Section Regulatory Compliance Branch

Email: Advertising.Compliance@tga.gov.au

Therapeutic Goods Administration Australian Government, Department of Health and Aged Care PO Box 100 Woden ACT 2606 www.tga.gov.au

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From: <u>\$22</u> < <u>\$22</u> @travahealth.com.au> Sent: Sunday, 25 June 2023 8:02 PM To: <u>\$22</u> < <u>\$22</u> @Health.gov.au> Cc: <u>\$22</u> < <u>\$22</u> @Health.gov.au> Subject: [ATTACHMENT UNSCANNED]reply from Trava Health ref 00000001715			
REMINDER: Think before you click! This email originated from outside our organisation. Only click links or open attachments if you recognise the sender and know the content is safe.			
Dear Sir/Madam, The letter is attached to your consideration.			
Kind Regards, s22			
S22 @travahealth.com.au https://www.travahealth.com.au 3 Portman Street, Oakleigh, Victoria 3166			
Trava Health Clinic respectfully acknowledges Aboriginal and Torres Strait Islander peoples as the Traditional Custodians of the land, recognises their ongoing connection to land, waters and community and respects their past, present and emerging Elders.			
OFFICIAL: Sensitive			
This email contains confidential information intended only for the person named above and may be subject to legal privilege. If you are not the intended recipient, any disclosure, copying or use of this information is prohibited. The Clinic does not guarantee that this communication is virus-free or has not been intercepted or interfered with. If you have received this email in error or have any other concerns regarding its transmission, please notify S22			

"Important: This transmission is intended only for the use of the addressee and may contain confidential or legally privileged information. If you are not the intended recipient, you are notified that any use or dissemination of this communication is strictly prohibited. If you receive this transmission in error please notify the author immediately and delete all copies of this transmission."

"Important: This transmission is intended only for the use of the addressee and may contain confidential or legally privileged information. If you are not the intended recipient, you are notified that any use or dissemination of this communication is strictly prohibited. If you receive this transmission in error please notify the author immediately and delete all copies of this transmission."

To: TGA

Reference Number: AC-000000005995 AC-000000001715

Date: 17th of July 2023

Dear <mark>S22</mark>

Thank you for allowing me to send an additional letter to your Notice that we received on 17/05/2023.

The shortage of staff caused by the COVID pandemic has led to a need for innovative solutions to maintain patient care and medication supply. The introduction of texting services has allowed our business to efficiently manage patient medical care and ensure the timely delivery of medication. We had to support our patients during these challenging times proactively.

Communicating with patients for routine and critical matters is often complicated and, in some instances, verbally is not viable. Reaching out via text messaging is a fast and effective way to convey important patient information while creating a personal way to engage.

Some of those texts messaging were related to the following needs of the businesses:

- 1. Sending appointment reminders and accepting cancellations
- 2. Providing office location and business hours
- 3. Communicating about prescriptions issued, quantities prescribed and cost of medications by the Doctor to patient
- 4. Tracking numbers and postage inquiries
- 5. Tax invoices issued
- 6. Closure time for Holiday time or working hours of the clinic
- 7. Disruption of software issues etc.

Please be assured that we are committed to maintaining the highest standards of confidentiality and privacy in all our communications, and we take any concerns or inquiries from our patients very seriously.

The text was sent to inform our patients about the Christmas closure. We apologize for any confusion regarding this text or if we inadvertently caused any inconvenience.

After a thorough investigation, we concluded that on the 20th of December 2021 at 11:18 am, we had queries from a patient who had purchased one jar of T25 topaz and queried the price charged from the patient's account on the 20th of December 2022. We can confirm that the text message relied on the information to a patient who queried the price they paid for the product they ordered. Our reply was based on inserting part of the price in the text message related to Christmas break time, and the text was sent as follows:

'HoHoHo, Santa is knocking on your door, bringing you T25 topaz@\$145.00 per jar and wishing you a Merry X-mas and Happy New Year. Thank you for your continuing support this year. We will be closed from 22/12/22 and reopen on 2/01/23. See you in 2023!'

We want to clarify that we did not advertise the product in our text message to clients regarding our Christmas break business cluster.

Instead, we provided information on the cost of the product that a patient had ordered according to the prescribed medication by his Medicinal Cannabis doctor.

I assure you that we take accountability for any errors and are committed to continuously enhancing our processes to ensure accuracy in all communications. The texting service we have introduced seems to be quite comprehensive. Patients can receive replies to queries related to review consults, ordering system, tracking numbers and prescription-related questions. It is a great way to streamline patient communication and ensure their medical needs are met on time.

After receiving the letter from TGA, an error was discovered in our texting service process. I want to assure you that this error has been rectified immediately, and steps have been taken to prevent similar issues from occurring in the future. It was determined that the software responsible for generating the phone number was not working correctly, and due to a glitch in the system, another patient's phone number appeared.

As a result, the software generated a phone number containing inaccurate information. In this instance, the phone number of another Patient of Trava Health was entered, and the text message was sent to the wrong patient.

As soon as this issue was identified, our team immediately began working to rectify the problem. We worked tirelessly to correct the error in updating the testing process to ensure that all the phones generated from that point forward were accurate and correct. We apologize for any inconvenience this may have caused and assure you that

we take this matter very seriously. We have implemented additional measures to ensure that our software and texting processes are continually working according to the required standards.

Nonetheless, we take compliance with regulations very seriously and are reviewing all of our materials to ensure that they meet all requirements.

We're sorry if this text message has caused any confusion regarding our advertising. We take compliance with regulations very seriously and are currently reviewing our materials to ensure that they meet all requirements

To add to it, I am writing to apologize to the Patient who has informed you about the text that he received, for any confusion or distress that he may have experienced due to the arrow of the system.

As a Business Manager of Trava Health Clinic, I understand that I am responsible for ensuring that the correct text messages are sent to particular patients safely and on time. I am deeply sorry that we fell short in this regard. I want to let you know that I take full responsibility for this error, and I will do everything in my power to ensure that it does not happen again.

Thank you for being so understanding, and please do not hesitate to advise this Patient that he can reach out to me for any further assistance or support.

Thank you for bringing this to our attention, and please let us know if you have any further concerns or questions.

Best regards,

of Trava Health

From:	Advertising Compliance
To:	s22
Bcc:	s22
Subject:	Infringement notices from the Therapeutic Goods Administration to Trava Health Pty Ltd and s22 [SEC=OFFICIAL]
Date:	Wednesday, 26 July 2023 2:41:00 PM
Attachments:	Infringement notices and letter from the Therapeutic Goods Administration to Trava Health Pty Ltd - 26 July 2023.pdf Infringement notices and letter from the Therapeutic Goods Administration to s22 - 26 July 2023.pdf image001.gif

Dear <mark>S22</mark>

Please find attached the following documents:

- letter and 5 infringement notices to Trava Health Pty Ltd dated 26 July 2023
- letter and 3 infringement notices to s22 dated 26 July 2023.

We ask that you confirm receipt of our correspondence by 27 July 2023.

Yours sincerely

s22

Advertising and Product Investigation Section Regulatory Compliance Branch

Email: Advertising.Compliance@tga.gov.au

Therapeutic Goods Administration Australian Government, Department of Health and Aged Care PO Box 100 Woden ACT 2606 www.tga.gov.au



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Australian Government

Department of Health and Aged Care Therapeutic Goods Administration

Our References: AC-00000005995

Trava Health Pty Ltd ACN 651 466 616 3 Portman Street Oakleigh VIC 3166

By Email: @travahealth.com.au

26 July 2023

Dear s22

Infringement notices given to Trava Health Pty Ltd

Infringement notice	Amount	Payment due by
TGAIN-AC-000000005995/2023-1	\$16,500	24 August 2023
TGAIN-AC-000000005995/2023-2	\$16,500	24 August 2023
TGAIN-AC-000000005995/2023-3	\$16,500	24 August 2023
TGAIN-AC-000000005995/2023-4	\$16,500	24 August 2023
TGAIN-AC-000000005995/2023-5	\$16,500	24 August 2023
Total	\$ 82 500	

Total \$ 82,500

- 1. I refer to our previous correspondence in this matter, including our letters to Trava Health Pty Ltd (Trava Health) dated 2 March and 7 July 2023, and Trava Health's submissions dated 17 July 2023.
- 2. I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the Therapeutic Goods Act 1989 (the Act).
- I have decided to give 5 infringement notices to Trava Health Pty Ltd (Trava Health) under 3. Part 5A-2 of the Act on the basis that I reasonably believe that Trava Health has contravened section 42DLB(1) of the Act where subsections (2), (4) and (7) applied. The infringement notices are enclosed with this letter. It is important that you carefully read the infringement notices and the information contained in them.
- 4. Infringement notices are an opportunity for Trava Health to pay an amount as an alternative to having court proceedings brought against it in relation to the alleged contraventions described in each notice. If Trava Health decides not to pay the infringement notices, court proceedings may be brought against it.
- 5. Trava Health should be aware that the maximum civil penalty that a court can impose on a corporation for a single contravention of subsection 42DLB(1) of the Act is 50,000 penalty units. For the alleged contraventions described in the notices, this amounts to \$13,750,000.
- Part D of each infringement notices includes information on how to pay the penalty amount, 6. how to request an extension of the compliance period for payment of the infringement notices or how to request that the infringement notices be withdrawn. If Trava Health wishes to make any such request I recommend that it provides supporting documentation, which may include financial records.

- 7. For more information about infringement notices, please see the following resources on the Therapeutic Goods Administration's website:
 - a. Information about infringement notices
 - b. Infringement notices issued by the Therapeutic Goods Administration.¹

Delegate comments

- 8. While I am not required to provide reasons for giving infringement notices under the Act, I offer the following comments to assist Trava Health to understand why I have decided to take this action.
- 9. The Therapeutic Goods Administration (TGA) is taking strong regulatory action to ensure compliance with the advertising requirements of the Act across the medicinal cannabis industry. In the last financial year, the TGA issued 101 infringement notices (totalling \$1,292,040) to medicinal cannabis entities, including clinics, for alleged unlawful advertising of medicinal cannabis.²
- 10. The Court has stated that ignorance of, or inexperience with the requirements of the Act, is not an excuse for non-compliance.³ It is Trava Health's responsibility as a business involving the use of therapeutic goods and the provision of health services to be aware of and comply with the Act.⁴
- 11. Additionally, I note that Trava Health has been made aware of the advertising requirements under the Act since 2 March 2023, provided with ample opportunities to remove the alleged unlawful advertising and informed that failure to do so may result in escalated enforcement action being taken against it.
- 12. Despite our warnings and guidance provided to it, we have obtained evidence that Trava Health unlawfully advertised and continue to advertise medicinal cannabis on the below platforms for which it is responsible from at least 25 November 2022 until 28 June 2023:
 - a. https://www.travahealth.com.au/
 - b. https://www.instagram.com/travahealthaus/
 - c. <u>https://www.facebook.com/travahealthaus/</u>.

Each day that a non-compliant advertisement remains visible may be a further contravention of the Act. More information about the alleged contraventions is outlined in the infringement notices.

- 13. Taking into account Trava Health's alleged contraventions, written submissions dated 17 July 2023 and financial information provided on 25 and 27 June, and 3 July 2023, I have decided to give Trava Health 5 infringement notices.
- 14. While it remains open to me, I have not given Trava Health infringement notices for all alleged contraventions of the Act that we identified. This includes alleged contraventions which:

¹ <u>https://www.tga.gov.au/how-we-regulate/compliance-and-product-testing/non-compliance/compliance-and-enforcement-hub/compliance-actions-and-outcomes/information-about-infringement-notices and https://www.tga.gov.au/infringement-notices.</u>

² <u>https://www.tga.gov.au/products/unapproved-therapeutic-goods/medicinal-cannabis-hub/medicinal-cannabis-news-and-updates</u>.

³ Secretary, Department of Health v Enviro Tech Holdings Pty Ltd [2022] FCA 865 at paragraph [76].

⁴ Secretary, Department of Health v Oxymed Australia Pty Ltd [2021] FCA 1518 at paragraph [251].

- a. occurred in social media posts made prior to November 2022
- b. occurred in a text message sent to its customers in December 2022
- c. occurred in an identical or sufficiently similar advertisement on another social media post or page of the website
- d. arose from the use of the same restricted or prohibited representation on another social media post or page of the website
- e. occurred on each day for which a non-compliant advertisement remained visible between 25 November 2022 and 28 June 2023
- f. arose from the use of the TGA's logo by Trava Health on the website and at the Canberra Hemp, Health and Innovation Expo in November 2022.

Additional steps Trava Health should take

- 15. Trava Health should immediately take steps to ensure that any compliance issues, including any beyond the scope of this letter and accompanying notices are identified and resolved. In particular, we ask that Trava Health remove the TGA logo from its website. As stated in our letter of 2 March 2023, the unauthorised use of the Commonwealth Coat of Arms and our logo may raise concerns under the Act and other legislation including the *Criminal Code Act 1995* (Cth) and *Competition and Consumer Act 2010* (Cth).
- 16. The TGA reserves its rights to take enforcement action in relation to any future noncompliance we identify. As stated in our email on 15 June 2023, we strongly recommend that Trava Health take its platforms offline until its review is complete to avoid being liable for further contraventions of the Act.
- 17. We recommended Trava Health seek independent legal advice or the assistance of a regulatory affairs consultant to help it to comply with its regulatory obligations.
- If Trava Health has any questions, please contact <u>\$22</u> at <u>Advertising.Compliance@tga.gov.au</u>.

Yours sincerely

Nicole McLay Delegate of the Secretary of the Department of Health and Aged Care Therapeutic Goods Administration



Australian Government

Department of Health and Aged Care Therapeutic Goods Administration

Trava Health Pty Ltd ACN 651 466 616 3 Portman Street Oakleigh VIC 3166

By Email: s22 @travahealth.com.au Infringement Notice Number: TGAIN-AC-000000005995/2023-1

Date given: 26/07/2023

Penalty total: \$16,500

Payment due: 24/08/2023

Enquiries:

22

Telephone: <u>\$22</u> Email: <u>advertising.compliance@tga.gov.au</u>

INFRINGEMENT NOTICE GIVEN TO

Trava Health Pty Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice to Trava Health Pty Ltd (Trava Health) under Part 5A-2 of the Act on the basis that I reasonably believe that Trava Health has contravened section 42DLB(1) of the Act, where subsection 42DLB(7) applied.

The details of the alleged contravention are that:

On 16 March 2023, Trava Health did advertise, or caused the advertising of therapeutic goods, being medicinal cannabis products, on the web page located at https://www.travahealth.com.au/.

The advertisement referred to goods containing a substance (cannabis) being a Schedule 4 or 8 substance for which there was no authorisation or requirement by a government or government authority.

PO Box 100 Woden ACT 2606 ABN 40 939 406 804 Phone: 1800 020 653 or 02 6289 4124 Fax: 02 6203 1605 Email: info@tga.gov.au https://www.tga.gov.au An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

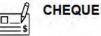
The maximum penalty that a court could impose on a company for a single contravention of section 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$13,750,000.⁵

The amount payable under this notice is \$16,500. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

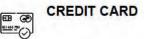
Please ensure that you allow time for your payment to be received by the due date.



Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to

Aged Care. Please allow 5 business days for payment to be received.

the Department of Health and



Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN-AC-000000005995/2023-1 as reference to identify your payment.



Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-000000005995/2023-1 in the description of your transfer and allow two business days for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

⁵ A penalty unit for a contravention alleged to have occurred between 1 January 2023 and 30 June 2023 is \$275 (section 4AA of the *Crimes Act 1914*). The value of a penalty unit for a contravention alleged to have occurred on or after 1 July 2023 is \$313.

PART D: Information about this Infringement Notice

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- · the effect of complying with this notice
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. You should state in your application why you require an extension and provide supporting documentation such as balance sheets, statements of cash flow, profit and loss statements, and/or bank statements for the last 12 months. Requests can be made by sending them directly to:

- advertising.compliance@tga.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, civil penalty proceedings may be brought against you for the alleged contravention described in this notice.

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been issued to companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of the lapsing of the compliance period for the notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No further payment can be accepted for a lapsed notice, however the Secretary may at their discretion extend the compliance period to allow payment to be made. If you pay the penalty amount payable under this notice after the compliance period has lapsed (and the compliance period is not extended), you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents, such as balance sheets, statements of cash flow, profit and loss statements, and/or bank statements for the last 12 months.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

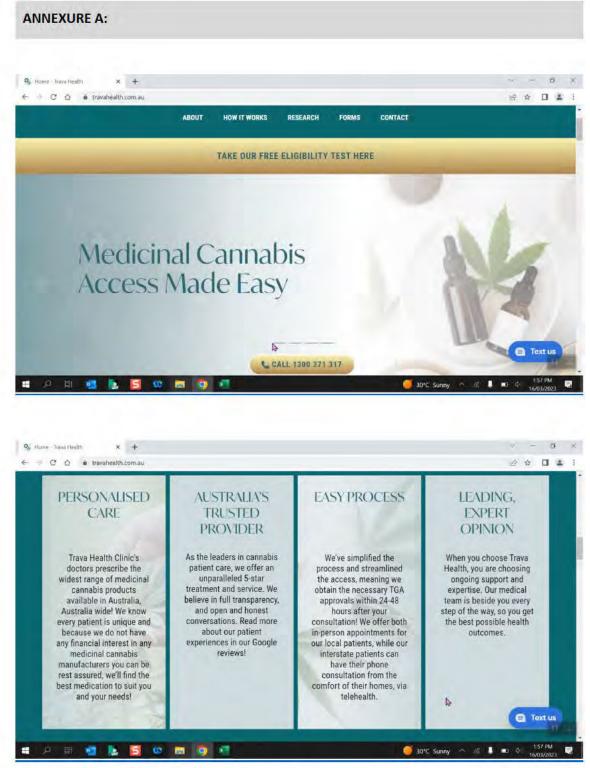
- advertising.compliance@tga.gov.au; or
- PO Box 100; WODEN ACT 2609

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609





Australian Government

Department of Health and Aged Care Therapeutic Goods Administration

Trava Health Pty Ltd ACN 651 466 616 3 Portman Street Oakleigh VIC 3166

By Email: s22 @travahealth.com.au Infringement Notice Number: TGAIN-AC-000000005995/2023-2

Date given: 26/07/2023

Penalty total: \$16,500

Payment due: 24/08/2023

Enquiries:

Telephone: <u>\$22</u> Email: <u>advertising.compliance@tga.gov.au</u>

INFRINGEMENT NOTICE GIVEN TO

Trava Health Pty Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice to Trava Health Pty Ltd (Trava Health) under Part 5A-2 of the Act on the basis that I reasonably believe that Trava Health has contravened section 42DLB(1) of the Act, where subsection 42DLB(2)(a) applied.

The details of the alleged contravention are that:

On 16 March 2023, Trava Health did advertise, or caused the advertising of, therapeutic goods, being medicinal cannabis products, on the web page located at https://www.travahealth.com.au/about/.

The advertisement contained a prohibited representation about the goods, being posttraumatic stress disorder. No permission under section 42DK of the Act was in force in relation to the prohibited representation. An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

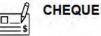
The maximum penalty that a court could impose on a company for a single contravention of section 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to $$13,750,000.^{6}$

The amount payable under this notice is \$16,500. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

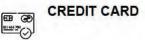
Please ensure that you allow time for your payment to be received by the due date.



Return <u>this notice</u> to Department of Health and

Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care.

Please allow 5 business days for payment to be received.



Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on **(02) 6289 1095**. Please include the infringement notice number TGAIN-AC-00000005995/2023-2 as reference to identify your payment.



Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-00000005995/2023-2 in the description of your transfer and allow two business days for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

⁶ A penalty unit for a contravention alleged to have occurred between 1 January 2023 and 30 June 2023 is \$275 (section 4AA of the *Crimes Act 1914*). The value of a penalty unit for a contravention alleged to have occurred on or after 1 July 2023 is \$313.

PART D: Information about this Infringement Notice

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- · the effect of complying with this notice
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. You should state in your application why you require an extension and provide supporting documentation such as balance sheets, statements of cash flow, profit and loss statements, and/or bank statements for the last 12 months. Requests can be made by sending them directly to:

- advertising.compliance@tga.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, civil penalty proceedings may be brought against you for the alleged contravention described in this notice.

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been issued to companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of the lapsing of the compliance period for the notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No further payment can be accepted for a lapsed notice, however the Secretary may at their discretion extend the compliance period to allow payment to be made. If you pay the penalty amount payable under this notice after the compliance period has lapsed (and the compliance period is not extended), you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents, such as balance sheets, statements of cash flow, profit and loss statements, and/or bank statements for the last 12 months.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

- advertising.compliance@tga.gov.au; or
- PO Box 100; WODEN ACT 2609

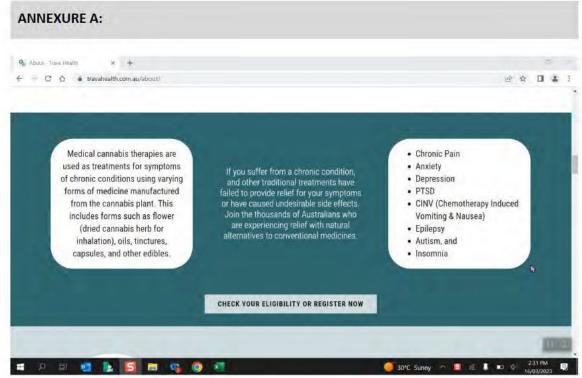
The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

Date: 26/07/2023





Department of Health and Aged Care Therapeutic Goods Administration

Trava Health Pty Ltd ACN 651 466 616 3 Portman Street Oakleigh VIC 3166

By Email: s22 @travahealth.com.au Infringement Notice Number: TGAIN-AC-000000005995/2023-3

Date given: 26/07/2023

Penalty total: \$16,500

Payment due: 24/08/2023

Enquiries:

Telephone: <u>\$22</u> Email: advertising.compliance@tga.gov.au

INFRINGEMENT NOTICE GIVEN TO

Trava Health Pty Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice to Trava Health Pty Ltd (Trava Health) under Part 5A-2 of the Act on the basis that I reasonably believe that Trava Health has contravened section 42DLB(1) of the Act, where subsection 42DLB(4)(a) applied.

The details of the alleged contravention are that:

On 16 March 2023, Trava Health did advertise, or caused the advertising of, therapeutic goods, being medicinal cannabis products, on the web page located at https://www.travahealth.com.au/about/.

The advertisement contained a restricted representation, being chronic pain. Neither an approval under section 42DF of the Act nor a permission under section 42DK of the Act was in force in relation to the restricted representation.

PO Box 100 Woden ACT 2606 ABN 40 939 406 804 Phone: 1800 020 653 or 02 6289 4124 Fax: 02 6203 1605 Email: info@tga.gov.au https://www.tga.gov.au An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

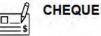
The maximum penalty that a court could impose on a company for a single contravention of section 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$13,750,000.⁷

The amount payable under this notice is \$16,500. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

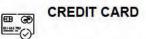
Please ensure that you allow time for your payment to be received by the due date.



Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with

your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days

for payment to be received.



Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN-AC-000000005995/2023-3 as reference to identify your payment.



Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-00000005995/2023-3 in the description of your transfer and allow two business days for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

⁷ A penalty unit for a contravention alleged to have occurred between 1 January 2023 and 30 June 2023 is \$275 (section 4AA of the *Crimes Act 1914*). The value of a penalty unit for a contravention alleged to have occurred on or after 1 July 2023 is \$313.

PART D: Information about this Infringement Notice

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- · the effect of complying with this notice
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. You should state in your application why you require an extension and provide supporting documentation such as balance sheets, statements of cash flow, profit and loss statements, and/or bank statements for the last 12 months. Requests can be made by sending them directly to:

- advertising.compliance@tga.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, civil penalty proceedings may be brought against you for the alleged contravention described in this notice.

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been issued to companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of the lapsing of the compliance period for the notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No further payment can be accepted for a lapsed notice, however the Secretary may at their discretion extend the compliance period to allow payment to be made. If you pay the penalty amount payable under this notice after the compliance period has lapsed (and the compliance period is not extended), you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents, such as balance sheets, statements of cash flow, profit and loss statements, and/or bank statements for the last 12 months.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

- advertising.compliance@tga.gov.au; or
- PO Box 100; WODEN ACT 2609

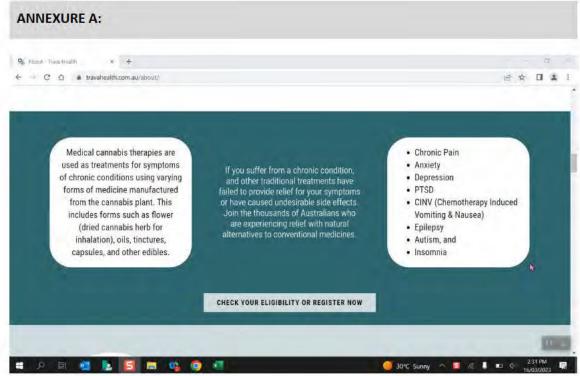
The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

Date: 26/07/2023





Department of Health and Aged Care Therapeutic Goods Administration

Trava Health Pty Ltd ACN 651 466 616 3 Portman Street Oakleigh VIC 3166

By Email: S22 @travahealth.com.au Infringement Notice Number: TGAIN-AC-000000005995/2023-4

Date given: 26/07/2023

Penalty total: \$16,500

Payment due: 24/08/2023

Enquiries:

Telephone: <u>\$22</u> Email: advertising.compliance@tga.gov.au

INFRINGEMENT NOTICE GIVEN TO

Trava Health Pty Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice to Trava Health Pty Ltd (Trava Health) under Part 5A-2 of the Act on the basis that I reasonably believe that Trava Health has contravened section 42DLB(1) of the Act, where subsection 42DLB(4)(a) applied.

The details of the alleged contravention are that:

On 16 March 2023, Trava Health did advertise, or caused the advertising of, therapeutic goods, being medicinal cannabis products, on the web page located at https://www.instagram.com/p/ClfQBKtB4Zl/.

The advertisement contained a restricted representation, being epilepsy. Neither an approval under section 42DF of the Act nor a permission under section 42DK of the Act was in force in relation to the restricted representation.

PO Box 100 Woden ACT 2606 ABN 40 939 406 804 Phone: 1800 020 653 or 02 6289 4124 Fax: 02 6203 1605 Email: info@tga.gov.au https://www.tga.gov.au An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

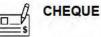
The maximum penalty that a court could impose on a company for a single contravention of section 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$13,750,000.⁸

The amount payable under this notice is \$16,500. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

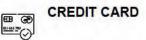
Please ensure that you allow time for your payment to be received by the due date.



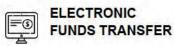
Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with

your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days

for payment to be received.



Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN-AC-000000005995/2023-4 as reference to identify your payment.



Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-00000005995/2023-4 in the description of your transfer and allow two business days for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

⁸ A penalty unit for a contravention alleged to have occurred between 1 January 2023 and 30 June 2023 is \$275 (section 4AA of the *Crimes Act 1914*). The value of a penalty unit for a contravention alleged to have occurred on or after 1 July 2023 is \$313.

PART D: Information about this Infringement Notice

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- · the effect of complying with this notice
- · the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. You should state in your application why you require an extension and provide supporting documentation such as balance sheets, statements of cash flow, profit and loss statements, and/or bank statements for the last 12 months. Requests can be made by sending them directly to:

- advertising.compliance@tga.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, civil penalty proceedings may be brought against you for the alleged contravention described in this notice.

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been issued to companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of the lapsing of the compliance period for the notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No further payment can be accepted for a lapsed notice, however the Secretary may at their discretion extend the compliance period to allow payment to be made. If you pay the penalty amount payable under this notice after the compliance period has lapsed (and the compliance period is not extended), you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents, such as balance sheets, statements of cash flow, profit and loss statements, and/or bank statements for the last 12 months.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

- advertising.compliance@tga.gov.au; or
- PO Box 100; WODEN ACT 2609

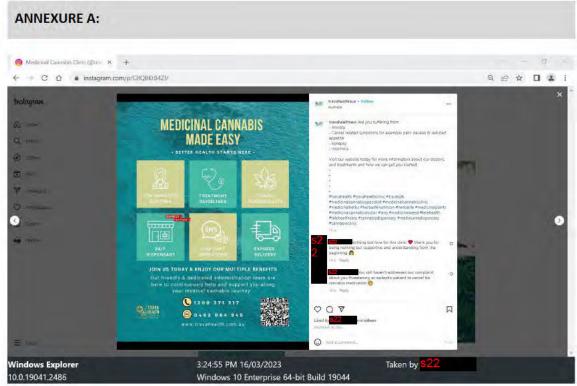
The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

Date: 26/07/2023





Department of Health and Aged Care Therapeutic Goods Administration

Trava Health Pty Ltd ACN 651 466 616 3 Portman Street Oakleigh VIC 3166

By Email: S22 @travahealth.com.au Infringement Notice Number: TGAIN-AC-000000005995/2023-5

Date given: 26/07/2023

Penalty total: \$16,500

Payment due: 24/08/2023

Enquiries:

Telephone: s22

Email: advertising.compliance@tga.gov.au

INFRINGEMENT NOTICE GIVEN TO

Trava Health Pty Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice to Trava Health Pty Ltd (Trava Health) under Part 5A-2 of the Act on the basis that I reasonably believe that Trava Health has contravened section 42DLB(1) of the Act, where subsection 42DLB(2)(a) applied.

The details of the alleged contravention are that:

On 16 March 2023, Trava Health did advertise, or caused the advertising of, therapeutic goods, being medicinal cannabis products, on the web page located at https://www.facebook.com/TravaHealthAUS/posts/pfbid02G7AiXZtg2fmcyeFNsuM6xjeTtt https://www.facebook.com/TravaHealthAUS/posts/pfbid02G7AiXZtg2fmcyeFNsuM6xjeTtt https://www.facebook.com/TravaHealthAUS/posts/pfbid02G7AiXZtg2fmcyeFNsuM6xjeTtt https://www.facebook.com/TravaHealthAUS/posts/pfbid02G7AiXZtg2fmcyeFNsuM6xjeTtt

The advertisement contained a prohibited representation about the goods, being depression. No permission under section 42DK of the Act was in force in relation to the prohibited representation.

PO Box 100 Woden ACT 2606 ABN 40 939 406 804 Phone: 1800 020 653 or 02 6289 4124 Fax: 02 6203 1605 Email: info@tga.gov.au https://www.tga.gov.au An image of the advertisement taken by the Therapeutic Goods Administration is included at Annexure A to this notice.

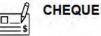
The maximum penalty that a court could impose on a company for a single contravention of section 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$13,750,000.9

The amount payable under this notice is \$16,500. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

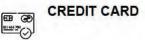
PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.



Return this notice to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days

for payment to be received.



Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN-AC-000000005995/2023-5 as reference to identify your payment.



Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-00000005995/2023-5 in the description of your transfer and allow two business days for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

⁹ A penalty unit for a contravention alleged to have occurred between 1 January 2023 and 30 June 2023 is \$275 (section 4AA of the Crimes Act 1914). The value of a penalty unit for a contravention alleged to have occurred on or after 1 July 2023 is \$313.

PART D: Information about this Infringement Notice

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- · the effect of complying with this notice
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. You should state in your application why you require an extension and provide supporting documentation such as balance sheets, statements of cash flow, profit and loss statements, and/or bank statements for the last 12 months. Requests can be made by sending them directly to:

- advertising.compliance@tga.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, civil penalty proceedings may be brought against you for the alleged contravention described in this notice.

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been issued to companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of the lapsing of the compliance period for the notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No further payment can be accepted for a lapsed notice, however the Secretary may at their discretion extend the compliance period to allow payment to be made. If you pay the penalty amount payable under this notice after the compliance period has lapsed (and the compliance period is not extended), you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents, such as balance sheets, statements of cash flow, profit and loss statements, and/or bank statements for the last 12 months.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

- advertising.compliance@tga.gov.au; or
- PO Box 100; WODEN ACT 2609

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

Date: 26/07/2023

ANNEXURE A:					
 Pacebook × + ⇒ C Ω € facebook.com/Trava 	4ealthAU5/costs/pfbid02G7AbX2tg2fmcyeFNsuM6xjeTit6rHEyvWHT4awR4MNFsinj4FRcYX4edo6VZdfCrI	Q @ ☆ 🛛			
Q Search Facebook					
	<page-header><text><text><text><text><list-item></list-item></text></text></text></text></page-header>				
Windows Explorer 10.0.19041.2486	2:48:52 PM 16/03/2023 Taken by S Windows 10 Enterprise 64-bit Build 19044				



Department of Health and Aged Care Therapeutic Goods Administration

s22 s22		Our References:	AC-000000005995
By Email: s22 @travahealth.com.au			
26 July 2023			
Dear <mark>s22</mark>			
Infringement notices given to <mark>\$22</mark>			
Infringement notice	Amount	Paym	ent due by
TGAIN-AC-00000005995/2023-6	\$3,300	24 Au	gust 2023
TGAIN-AC-00000005995/2023-7	\$3,300		gust 2023
TGAIN-AC-000000005995/2023-8	\$3,300		gust 2023
Total	\$ 9,900		

- 1. I refer to our previous correspondence in this matter, including our letters to Trava Health Pty Ltd (Trava Health) dated 2 March and 7 July 2023, and Trava Health's submissions dated 17 July 2023.
- 2. I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act).
- 3. I have decided to give 3 infringement notices to you under Part 5A-2 of the Act on the basis that I reasonably believe that you have contravened section 42DLB(1) of the Act where subsections (2), (4) and (7) applied. The infringement notices are enclosed to this letter. It is important that you carefully read the infringement notices and the information contained in them.
- 4. Infringement notices are an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contraventions described in each notice. If you decide not to pay the infringement notices, court proceedings may be brought against you.
- You should be aware that the maximum civil penalty that a court can impose on an individual for a single contravention of subsection 42DLB(1) of the Act is 5000 penalty units. For the alleged contraventions described in the notices, this amounts to \$1,375,000.
- 6. Part D of each infringement notices includes information on how to pay the penalty amount, how to request an extension of the compliance period for payment of the infringement notices or how to request that the infringement notices be withdrawn. If you wish to make any such request I recommend that you provide supporting documentation, which may include financial records.

- 7. For more information about infringement notices, please see the following resources on the Therapeutic Goods Administration's (TGA) website:
 - a. Information about infringement notices
 - b. Infringement notices issued by the Therapeutic Goods Administration.¹

Delegate comments

- 8. While I am not required to provide reasons for giving you infringement notices under the Act, I offer you the following comments to assist you to understand why I have decided to take this action.
- 9. The Therapeutic Goods Administration is taking strong regulatory action to ensure compliance with the advertising requirements of the Act across the medicinal cannabis industry. In the last financial year, the TGA issued 101 infringement notices (totalling \$1,292,040) to medicinal cannabis entities, including clinics, for alleged unlawful advertising of medicinal cannabis.²
- 10. The Court has stated that ignorance of, or inexperience with the requirements of the Act, is not an excuse for non-compliance.³ It is your responsibility as a director of a company involving the use of therapeutic goods and the provision of health services to be aware of and ensure that Trava Health complies with the Act.⁴
- 11. Additionally, I note that Trava Health and you have been made aware of the advertising requirements under the Act since 2 March 2023, provided with ample opportunities to remove the alleged unlawful advertising and informed that failure to do so may result in escalated enforcement action being taken.
- 12. Despite our warnings and guidance provided to it, we have obtained evidence that Trava Health unlawfully advertised and continue to advertise medicinal cannabis on the below platforms for which it is responsible from at least 25 November 2022 until 28 June 2023:
 - a. https://www.travahealth.com.au/
 - b. https://www.instagram.com/travahealthaus/
 - c. https://www.facebook.com/travahealthaus/.

Each day that a non-compliant advertisement remains visible may be a further contravention of the Act. More information about the alleged contraventions is outlined in the infringement notices.

- 13. We consider that you advertised medicinal cannabis or caused the advertisements described in the infringement notices for the following reasons:
 - a. You are Trava Health's Managing Director and Chief Executive Officer.
 - b. In Trava Health's letter of 25 June 2023 in response to our section 45AB notice dated 17 February 2023, you were named as the sole person who could change, post or

¹ <u>https://www.tga.gov.au/how-we-regulate/compliance-and-product-testing/non-compliance/compliance-and-enforcement-hub/compliance-actions-and-outcomes/information-about-infringement-notices and https://www.tga.gov.au/infringement-notices.</u>

² <u>https://www.tga.gov.au/products/unapproved-therapeutic-goods/medicinal-cannabis-hub/medicinal-cannabis-news-and-updates</u>.

³ Secretary, Department of Health v Enviro Tech Holdings Pty Ltd [2022] FCA 865 at paragraph [76].

⁴ Secretary, Department of Health v Oxymed Australia Pty Ltd [2021] FCA 1518 at paragraph [251].

upload content to or who drafted or approved the content on the relevant Trava Health platforms from February 2023 until 17 May 2023.

- 14. Taking into account the alleged contraventions, Trava Health's written submissions dated 17 July 2023 and financial information provided on 25 and 27 June, and 3 July 2023, I have decided to give you 3 infringement notices.
- 15. While it remains open to me, I have not given you infringement notices for all alleged contraventions of the Act that we identified. This includes alleged contraventions which:
 - a. were subject to an infringement notice given to Trava Health
 - b. occurred in social media posts made prior to November 2022
 - c. occurred in a text message sent to Trava Health customers in December 2022
 - d. occurred in an identical or sufficiently similar advertisement on another social media post or page of the website
 - e. arose from the use of the same restricted or prohibited representation on another social media post or page of the website
 - f. occurred on each day for which a non-compliant advertisement remained visible between 25 November 2022 and 28 June 2023
 - g. arose from the use of the TGA's logo by Trava Health on the website and at the Canberra Hemp, Health and Innovation Expo in November 2022.

Additional steps you should take

- 16. You should immediately take steps to ensure that any compliance issues, including any beyond the scope of this letter and accompanying notices are identified and resolved. In particular, we ask that you remove the TGA logo from Trava Health's website. As stated in our letter of 2 March 2023, the unauthorised use of the Commonwealth Coat of Arms and our logo may raise concerns under the Act and other legislation including the *Criminal Code Act 1995* (Cth) and *Competition and Consumer Act 2010* (Cth).
- 17. The TGA reserves its rights to take enforcement action in relation to any future noncompliance we identify. As stated in our email on 15 June 2023, we strongly recommend that Trava Health take its platforms offline until its review is complete to avoid being liable for further contraventions of the Act. We recommended you seek independent legal advice or the assistance of a regulatory affairs consultant to help you to comply with your regulatory obligations.
- If you have any questions, please contact ³²² at <u>Advertising.Compliance@tga.gov.au</u>.

Yours sincerely

Nicole McLay Delegate of the Secretary of the Department of Health and Aged Care Therapeutic Goods Administration



Department of Health and Aged Care Therapeutic Goods Administration



Oakleigh VIC 3166

By Email: <u>
22</u>
<u>@travahealth.com.au</u> Infringement Notice Number: TGAIN-AC-000000005995/2023-6

Date given: 26/07/2023

Penalty total: \$3,300

Payment due: 24/08/2023

Enquiries:

Telephone: s22 Email: advertising.compliance@tga.gov.au

INFRINGEMENT NOTICE GIVEN TO

PART A: Infringement Notice given by

Nicole McLay

Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice to **S22** under Part 5A-2 of the Act on the basis that I reasonably believe that **S22** the the subsection 42DLB(1) of the Act, where subsection 42DLB(4)(a) applied.

The details of the alleged contravention are that:

On 16 March 2023, **S22** Control and advertise, or caused the advertising of, therapeutic goods, being medicinal cannabis products, on the web page located at <u>https://www.travahealth.com.au/about/</u>.

The advertisement contained a restricted representation, being autism spectrum disorder. Neither an approval under section 42DF of the Act nor a permission under section 42DK of the Act was in force in relation to the restricted representation.

PO Box 100 Woden ACT 2606 ABN 40 939 406 804 Phone: 1800 020 653 or 02 6289 4124 Fax: 02 6203 1605 Email: info@tga.gov.au https://www.tga.gov.au An image of the advertisement taken by the Therapeutic Goods Administration is included at Annexure A to this notice.

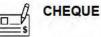
The maximum penalty that a court could impose on an individual for a single contravention of section 42DLB(1) is 5,000 penalty units. For the above alleged contravention, this amounts to \$1,375,000.5

The amount payable under this notice is \$3,300. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

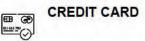
PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.



Return this notice to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days

for payment to be received.



Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN-AC-000000005995/2023-6 as reference to identify your payment.



Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-00000005995/2023-6 in the description of your transfer and allow two business days for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

⁵ A penalty unit for a contravention alleged to have occurred after 1 January 2023 and 30 June 2023 is \$275 (section 4AA of the Crimes Act 1914). The value of a penalty unit for a contravention alleged to have occurred on or after 1 July 2023 is \$313.

PART D: Information about this Infringement Notice

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- · the effect of complying with this notice
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. You should state in your application why you require an extension and provide supporting documentation such as balance sheets, statements of cash flow, profit and loss statements, and/or bank statements for the last 12 months. Requests can be made by sending them directly to:

- advertising.compliance@tga.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, civil penalty proceedings may be brought against you for the alleged contravention described in this notice.

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been issued to companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of the lapsing of the compliance period for the notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No further payment can be accepted for a lapsed notice, however the Secretary may at their discretion extend the compliance period to allow payment to be made. If you pay the penalty amount payable under this notice after the compliance period has lapsed (and the compliance period is not extended), you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents, such as balance sheets, statements of cash flow, profit and loss statements, and/or bank statements for the last 12 months.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

- advertising.compliance@tga.gov.au; or
- PO Box 100; WODEN ACT 2609

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

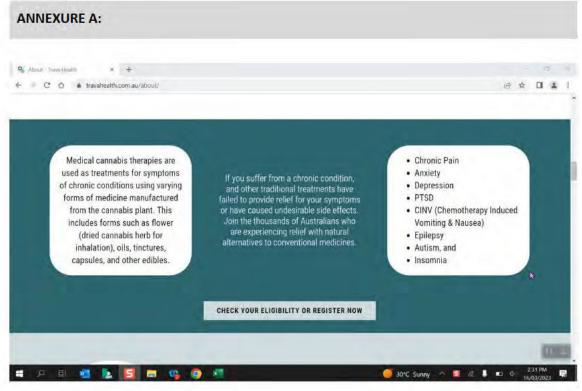
Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

Date: 26/07/2023

Document 7





Department of Health and Aged Care Therapeutic Goods Administration

\$22

3 Portman Street Oakleigh VIC 3166

By Email: s22 @travahealth.com.au Infringement Notice Number: TGAIN-AC-000000005995/2023-7

Date given: 26/07/2023

Penalty total: \$3,300

Payment due: 24/08/2023

Enquiries:

s22

Telephone: s22

Email: advertising.compliance@tga.gov.au

INFRINGEMENT NOTICE GIVEN TO

s22

PART A: Infringement Notice given by

Nicole McLay

Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice to **S22** under Part 5A-2 of the Act on the basis that I reasonably believe that **S22** the section 42DLB(1) of the Act, where subsection 42DLB(2)(a) applied.

The details of the alleged contravention are that:

On 16 March 2023, **\$22** did advertise, or caused the advertising of, therapeutic goods, being medicinal cannabis products, on the web page located at https://www.instagram.com/p/ClfQBKtB4ZI/.

The advertisement contained a prohibited representation, being cancer. No permission under section 42DK of the Act was in force in relation to the prohibited representation.

An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

PO Box 100 Woden ACT 2606 ABN 40 939 406 804 Phone: 1800 020 653 or 02 6289 4124 Fax: 02 6203 1605 Email: info@tga.gov.au https://www.tga.gov.au The maximum penalty that a court could impose on an individual for a single contravention of section 42DLB(1) is 5,000 penalty units. For the above alleged contravention, this amounts to \$1,375,000.⁶

The amount payable under this notice is \$3,300. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

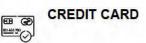
Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.



CHEQUE



Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received. Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN-AC-00000005995/2023-7 as reference to identify your payment.



ELECTRONIC FUNDS TRANSFER

Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-000000005995/2023-7 in the description of your transfer and allow two business days for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

⁶ A penalty unit for a contravention alleged to have occurred after 1 January 2023 and 30 June 2023 is \$275 (section 4AA of the *Crimes Act 1914*). The value of a penalty unit for a contravention alleged to have occurred on or after 1 July 2023 is \$313.

PART D: Information about this Infringement Notice

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- · the effect of complying with this notice
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. You should state in your application why you require an extension and provide supporting documentation such as balance sheets, statements of cash flow, profit and loss statements, and/or bank statements for the last 12 months. Requests can be made by sending them directly to:

- advertising.compliance@tga.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, civil penalty proceedings may be brought against you for the alleged contravention described in this notice.

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been issued to companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of the lapsing of the compliance period for the notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No further payment can be accepted for a lapsed notice, however the Secretary may at their discretion extend the compliance period to allow payment to be made. If you pay the penalty amount payable under this notice after the compliance period has lapsed (and the compliance period is not extended), you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents, such as balance sheets, statements of cash flow, profit and loss statements, and/or bank statements for the last 12 months.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

- advertising.compliance@tga.gov.au; or
- PO Box 100; WODEN ACT 2609

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

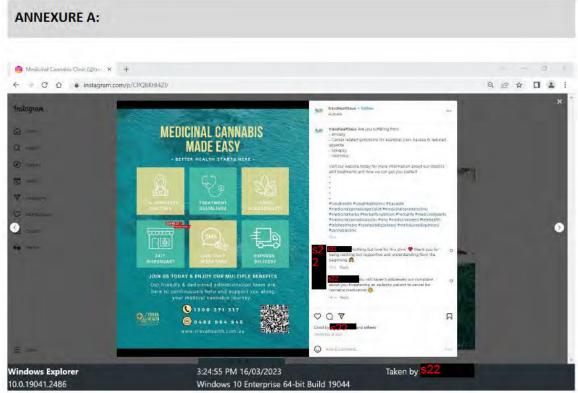
Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

Date: 26/07/2023







Department of Health and Aged Care Therapeutic Goods Administration

3 Portman Street Oakleigh VIC 3166

By Email: s22 @travahealth.com.au Infringement Notice Number: TGAIN- AC-000000005995/2023-8

Date given: 26/07/2023

Penalty total: \$3,300

Payment due: 24/08/2023

Enquiries:

522

Telephone: S22 Email: advertising.compliance@tga.gov.au

INFRINGEMENT NOTICE GIVEN TO

PART A: Infringement Notice given by

Nicole McLay

Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice to **\$22** and a sector funder Part 5A-2 of the Act on the basis that I reasonably believe that **\$22** has contravened section 42DLB(1) of the Act, where subsection 42DLB(7) applied.

The details of the alleged contravention are that:

On 16 March 2023, **S22** did advertise, or caused the advertising of, therapeutic goods, being medicinal cannabis products, on the web page located at <u>https://www.facebook.com/TravaHealthAUS/posts/pfbid02G7AiXZtg2fmcyeFNsuM6xjeTtt6rHEyvWHT4awR4MNFsinj4FRcYX4edo6VZdfCrl</u>.

The advertisement referred to a substance (cannabis) being a Schedule 4 or 8 substance for which there was no authorisation or requirement by a government or government authority.

PO Box 100 Woden ACT 2606 ABN 40 939 406 804 Phone: 1800 020 653 or 02 6289 4124 Fax: 02 6203 1605 Email: info@tga.gov.au https://www.tga.gov.au An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

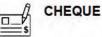
The maximum penalty that a court could impose on an individual for a single contravention of section 42DLB(1) is 5,000 penalty units. For the above alleged contravention, this amounts to \$1,375,000.⁷

The amount payable under this notice is \$3,300. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

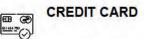
PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.



Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and

Aged Care. Please allow 5 business days for payment to be received.



Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on **(02) 6289 1095**. Please include the infringement notice number TGAIN-AC-00000005995/2023-8 as reference to identify your payment.



Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-00000005995/2023-8 in the description of your transfer and allow two business days for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

⁷ A penalty unit for a contravention alleged to have occurred after 1 January 2023 and 30 June 2023 is \$275 (section 4AA of the *Crimes Act 1914*). The value of a penalty unit for a contravention alleged to have occurred on or after 1 July 2023 is \$313.

PART D: Information about this Infringement Notice

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- · the effect of complying with this notice
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. You should state in your application why you require an extension and provide supporting documentation such as balance sheets, statements of cash flow, profit and loss statements, and/or bank statements for the last 12 months. Requests can be made by sending them directly to:

- advertising.compliance@tga.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, civil penalty proceedings may be brought against you for the alleged contravention described in this notice.

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been issued to companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of the lapsing of the compliance period for the notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No further payment can be accepted for a lapsed notice, however the Secretary may at their discretion extend the compliance period to allow payment to be made. If you pay the penalty amount payable under this notice after the compliance period has lapsed (and the compliance period is not extended), you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents, such as balance sheets, statements of cash flow, profit and loss statements, and/or bank statements for the last 12 months.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

- advertising.compliance@tga.gov.au; or
- PO Box 100; WODEN ACT 2609

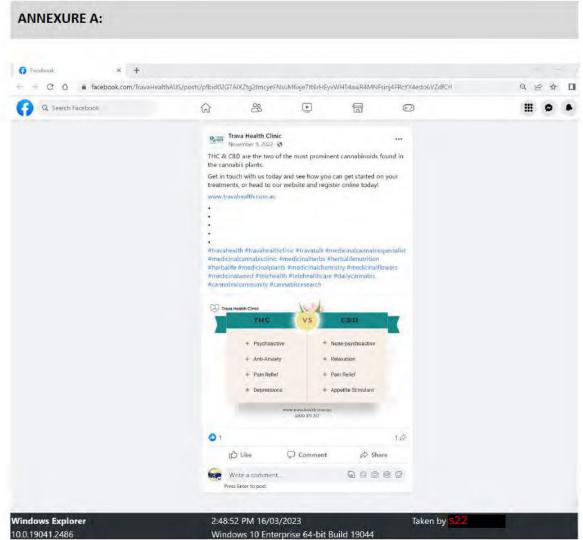
The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

Date: 26/07/2023



From:	Advertising Compliance
To:	"Embrace Wellness"; "s22 "
Cc:	s22
Bcc:	s22
Subject:	IMPORTANT - Infringement Notices from the Therapeutic Goods Administration to Affinity Corp Australia Pty Ltd - CC-LQ4XPGY6/2021 [SEC=OFFICIAL]
Date:	Thursday, 8 June 2023 1:00:00 PM
Attachments:	image001.gif
	Cover Letter and Infringement Notices to Affinity Corp Australia Pty Ltd.pdf

Dear the Proper Officer,

Please find attached a letter and 5 infringement notices from the Therapeutic Goods Administration to Affinity Corp Australia Pty Ltd.

We ask that you confirm receipt of our correspondence by 5:00 PM Friday 9 June 2023.

Yours sincerely,



Advertising & Product Investigation Section Regulatory Compliance Branch

Therapeutic Goods Administration Australian Government, Department of Health and Aged Care PO Box 100 Woden ACT 2606 www.tga.gov.au



Important: This transmission is intended only for the use of the addressee and may contain confidential or legally privileged information. If you are not the intended recipient, you are notified that any use or dissemination of this communication is strictly prohibited. If you receive this transmission in error please notify the author immediately and delete all copies of this transmission.



Department of Health and Aged Care

Therapeutic Goods Administration

Our Reference: CC-LQ4XPGY6/2021

The Proper Officer Affinity Corp Australia Pty Ltd ACN 632 051 408

By Express Post and By Email:

info.embracewellness1@gmail.com; and revitalisedlife@outlook.com

8 June 2023

The Proper Officer

Infringement notices given to Affinity Corp Australia Pty Ltd

Infringement Notice	Amount	Payment Due by
TGAIN- CC-LQ4XPGY6/2021-1	\$13,320.00	6 July 2023
TGAIN- CC-LQ4XPGY6/2021-2	\$13,320.00	6 July 2023
TGAIN- CC-LQ4XPGY6/2021-3	\$13,320.00	6 July 2023
TGAIN- CC-LQ4XPGY6/2021-4	\$13,320.00	6 July 2023
TGAIN- CC-LQ4XPGY6/2021-5	\$13,320.00	6 July 2023
Total	\$66,600.00	

- 1. I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act).
- I have decided to give five (5) infringement notices to Affinity Corp Australia Pty Ltd (Affinity Corp.) under Part 5A-2 of the Act, on the basis that I reasonably believe Affinity Corp Australia Pty Ltd contravened subsection 42DLB(1) of the Act, where subsections (2), (4) and (9) applied.
- 3. The infringement notices are enclosed to this letter and include information on how to pay the penalty amount, how to request an extension of the compliance period for payment, how to request that the infringement notices be withdrawn, and the actions that we may take in the event of non-payment of the infringement notices. It is important that Affinity Corp carefully read the infringement notices and the information contained within.
- 4. Infringement notices are an opportunity for Affinity Corp to pay an amount as an alternative to having court proceedings brought against them in relation to the alleged contraventions described in each of the notices.

- 5. If Affinity Corp chooses not to pay the penalty amount, proceedings may be brought against them for the alleged contraventions described in the infringement notices. We note that the maximum civil penalty that a court can impose on a company for a contravention of subsection 42DLB(1) of the Act is **\$11.1 million** per contravention.
- 6. There have been recent Federal Court decisions in which the court has clearly stated that ignorance of, or inexperience with, the therapeutic goods framework is not an excuse.¹ Those who engage in businesses involving therapeutic goods have a responsibility to be aware of the laws that govern those products, and to comply with those from the outset.²
- 7. You should be aware of the possible consequences for not paying an infringement notice. In a recent decision by the Federal Court, non-payment of a single infringement notice issued against a company resulted in the court ordering a total of \$96,000 in penalty against both the company and its executive directors.³
- 8. To avoid being liable for further contraventions of the Act, immediate steps should be taken to ensure that any compliance issues, including any beyond the scope of this letter, are identified and resolved, noting that we reserve our rights to take enforcement action in relation to any further non-compliance we may identify.
- 9. For more information about infringement notices, please see the following links to the refer TGA website:
 - a. Information about infringement notices | Therapeutic Goods Administration (TGA).
 - b. <u>Infringement notices | Therapeutic Goods Administration (TGA)</u>.

Delegate comments and concerns

- 10. While I am not required to provide reasons for giving an infringement notice under the Act, I offer the following remarks to assist you to understand why I have decided to take this particular action.
- 11. I have reviewed the following platforms:
 - a. <u>https://www.embracewellnessoils.com.au/ (the Website)</u>
 - b. <u>https://www.facebook.com/embracewellnessholistichub</u> and
 - c. <u>https://www.instagram.com/embracewellnessholistichub/</u> (collectively, the Social Media)
- 12. While not all information released to the public about therapeutic goods is advertising, I consider that the Website and Social Media unlawfully advertise medicinal cannabis products (therapeutic goods), as defined in section 3(1) of the Act, for the following reasons:
 - a. The Website and Social Media contain statements and pictorial representations which were intended, directly or indirectly, to promote the use or supply of medicinal cannabis products. For example:
 - i. The alleged advertisements directed the reader to a means of accessing medicinal cannabis products, such as direct links (access) to medicinal cannabis products available for purchase on the Website.

¹ Enviro Tech Holdings and company officers ordered by the Federal Court to pay \$96,000 for unlawful importation of surgical face masks | Therapeutic Goods Administration (TGA).

² Secretary, Department of Health v Oxymed Australia Pty Ltd [2021] FCA 1518 (fedcourt.gov.au) at paragraph [251].

³ Enviro Tech Holdings and company officers ordered by the Federal Court to pay \$96,000 for unlawful importation of surgical face masks | Therapeutic Goods Administration (TGA).

- ii. The use of hashtags in Social Media posts, a function which is predominantly used to promote the content, that referred to medicinal cannabis such as #cbdoil #cbdproducts #cbdoilbenefits.
- iii. The Social Media contains statements presented to be testimonials from other users who claim to have used products purchased from Affinity Corp.
- iv. The Website and Social Media make unauthorised therapeutic claims regarding the efficacy of medicinal cannabis. For example, making references to serious forms of a disease, ailment, or condition, in circumstances which inferred (directly or indirectly) that medicinal cannabis may be, or is, a suitable treatment for the medical condition mentioned.
- b. The advertisements:
 - i. Refer to goods that are not entered in the Australian Register of Therapeutic Goods, such as MCT Blend oil and Turmeric Infusion oil.
 - ii. Refer to substances which are included in Schedule 3, 4 or 8 to the current Poisons Standard but not in Appendix H of the current Poisons Standard, and
 - iii. Contain prohibited representations such as references to neoplastic disease or mental illness and/or restricted representations being references to serious forms of diseases, ailments, and conditions without TGA permission or approval.
- 13. Additionally, I note that Affinity Corp have previously been made aware of the advertising prohibitions under the Act, on at least two occasions:
 - a. on 20 December 2021, you were warned regarding the alleged unlawful advertising of medicinal cannabis (the product) on the Website
 - b. on 28 January 2022 you were again warned about the alleged unlawful advertising of medicinal cannabis (the product) on the Website
 - c. you were provided with the opportunity to immediately remove and cease advertising in a way that contravenes the advertising requirements of the Act, and
 - d. you were informed that failure to do so may result in escalated enforcement action being taken including the issuing of directions or infringement notices or court proceedings.
- 14. Although some steps were taken to bring your advertising into compliance, the advertising remains non-compliant with the Act.
- 15. Each instance of non-compliant advertising on each day constitutes a separate contravention of the Act. We hold evidence that the contraventions as particularised in the infringement notices, occurred from at least late January 2022 to at least 12 April 2023.
- 16. I note that I have exercised my discretion to not give you infringement notices for all alleged contraventions of the Act identified at this time, including those:
 - a. which occurred in Social Media posts made prior to July 2022
 - b. which appeared identical or sufficiently similar, to the advertising on another page of the Website or another Social Media post
 - c. arising from the same restricted or prohibited representation which was made multiple times on the Website and/or Social Media, and
 - d. which appeared on traditional media, such pamphlets, and banners.
- 17. Further, while it was open to me give an infringement notice to **522** as the Executive Officer of Affinity Corp within the meaning of subsection 54B(5) of the Act, I have

utilised my discretion to not give an infringement notice to **see and an evidence is obtained to support that see and and failed to take all reasonable steps to prevent the commission of the contravention, escalated enforcement action may be taken, including court proceedings.**

- 18. You should be aware the TGA laboratories completed an analysis of a product, '*Embrace Wellness Turmeric 1000 Black Seed and MCT Dietary Supplement*', which was purchased from the Website in January 2022.
- 19. The analysis of the product found it contained 'undeclared' substances, delta-9tetrahydrocannabinoid (THC), low levels of the cannabinoids - Cannabidivarin (CBDV), Cannabigerol (CBG) and Cannabinol (CBN). As such, the product is alleged to be counterfeit as defined in section 42E of the Act.
- 20. Importation, manufacture, and supply of counterfeit therapeutic goods is against the law and may be subject to criminal and civil penalty provision under the Act.
- 21. Please note, I have decided, at this stage, not to give you an infringement notice in relation to the counterfeit medicinal cannabis product. I consider, however, that you have been put on notice about advertising counterfeit products on your Website and Social Media, and request you immediately cease all advertising and supply of these products.
- 22. Please contact <u>s22</u> by email at <u>advertising.compliance@tga.gov.au</u> should Affinity Corp Australia Pty wish to discuss this matter further.

Yours sincerely

Nicole McLay

Delegate of the Secretary of the Department of Health and Aged Care Therapeutic Goods Administration





Australian Government

Department of Health and Aged Care

Therapeutic Goods Administration

The Proper Officer Affinity Corp Australia Pty Ltd ACN 632 051 408

By Express Post and By Email: info.embracewellness1@gmail.com, and revitalisedlife@outlook.com Infringement Notice Number: TGAIN-CC-LQ4XPGY6/2021-1

Date given: 08/06/2023

Penalty total: \$13,320.00

Payment due: 6/07/2023

Enquiries: 522 Telephone: 522

Email: advertising.compliance@tga.gov.au

INFRINGEMENT NOTICE GIVEN TO

Affinity Corp Australia Pty Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Affinity Corp Australia Pty Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Affinity Corp Australia Pty Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(9) applied.

The details of the alleged contravention are that:

On 31 October 2022, Affinity Corp Australia Pty Ltd did advertise, or caused the advertising of therapeutic goods, being MCT Blend oil, on the web page located at https://www.embracewellnessoils.com.au/product-page/cbd-oil-1000mg-30ml, and

The advertisement referred to a therapeutic good that is not entered in the Australian Register of Therapeutic Goods and that is a prescribed good, being therapeutic goods that is neither the subject of an exemption, approval, or authority under the Act. The

PO Box 100 Woden ACT 2606 ABN 40 939 406 804 Phone: 1800 020 653 or 02 6232 8644 Fax: 02 6232 8112 Email: info@tga.gov.au https://www.tga.gov.au



reference to the prescribed good is not authorised or required by a government or government authority.

Evidence of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.¹

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.

Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN-CC-LQ4XPGY6/2021-1 as reference to identify your payment

CREDIT CARD

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Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-CC-LQ4XPGY6/2021-1 in the description of your transfer

ELECTRONIC

FUNDS TRANSFER

description of your transfer and allow two business days for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

¹ A penalty unit for a contravention alleged to have occurred before 1 January 2023 is \$222 (section 4AA of the *Crimes Act 1914*).

PART D: Information about this Infringement Notice

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. You should state in your application why you require an extension and provide supporting documentation such as balance sheets, statements of cash flow, profit, and loss statements, and/or bank statements for the last 12 months. Requests can be made by sending them directly to:

- advertising.compliance@tga.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been issued to companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act may be brought against you in relation to the alleged contravention described in this notice.

Effect of the lapsing of the compliance period for the notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents, such as balance sheets, statements of cash flow, profit, and loss statements, and/or bank statements for the last 12 months.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

- <u>advertising.compliance@tga.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

Date: 08/06/2023

ANNEXURE A

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Australian Government

Department of Health and Aged Care

Therapeutic Goods Administration

The Proper Officer Affinity Corp Australia Pty Ltd ACN 632 051 408

By Express Post and By Email: info.embracewellness1@gmail.com, and revitalisedlife@outlook.com Infringement Notice Number: TGAIN-CC-LQ4XPGY6/2021-2

Date given: 08/06/2023

Penalty total: \$13,320.00

Payment due: 6/07/2023

Enquiries: s22 Telephone: s22

Email: advertising.compliance@tga.gov.au

INFRINGEMENT NOTICE GIVEN TO

Affinity Corp Australia Pty Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Affinity Corp Australia Pty Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Affinity Corp Australia Pty Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(9) applied.

The details of the alleged contravention are that:

On 31 October 2022, Affinity Corp Australia Pty Ltd did advertise, or caused the advertising of therapeutic goods, being Turmeric Infusion 2000, on the web page located at <u>https://www.embracewellnessoils.com.au/product-page/cbd-with-black-seed-mct-and-tumeric-1</u>, and

The advertisement referred to a therapeutic good that is not entered in the Australian Register of Therapeutic Goods and that is a prescribed good, being therapeutic goods that is neither the subject of an exemption, approval or authority under the Act. The reference to the prescribed good is not authorised or required by a government or government authority.



Evidence of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

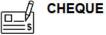
The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.¹

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.



Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



CREDIT CARD

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN-CC-LQ4XPGY6/2021-2 as reference to identify your payment



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-CC-LQ4XPGY6/2021-2 in the description of your transfer and allow two business days

for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

¹ A penalty unit for a contravention alleged to have occurred before 1 January 2023 is \$222 (section 4AA of the *Crimes Act 1914*).

PART D: Information about this Infringement Notice

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. You should state in your application why you require an extension and provide supporting documentation such as balance sheets, statements of cash flow, profit, and loss statements, and/or bank statements for the last 12 months. Requests can be made by sending them directly to:

- advertising.compliance@tga.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been issued to companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act may be brought against you in relation to the alleged contravention described in this notice.

Effect of the lapsing of the compliance period for the notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents, such as balance sheets, statements of cash flow, profit, and loss statements, and/or bank statements for the last 12 months.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

- <u>advertising.compliance@tga.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

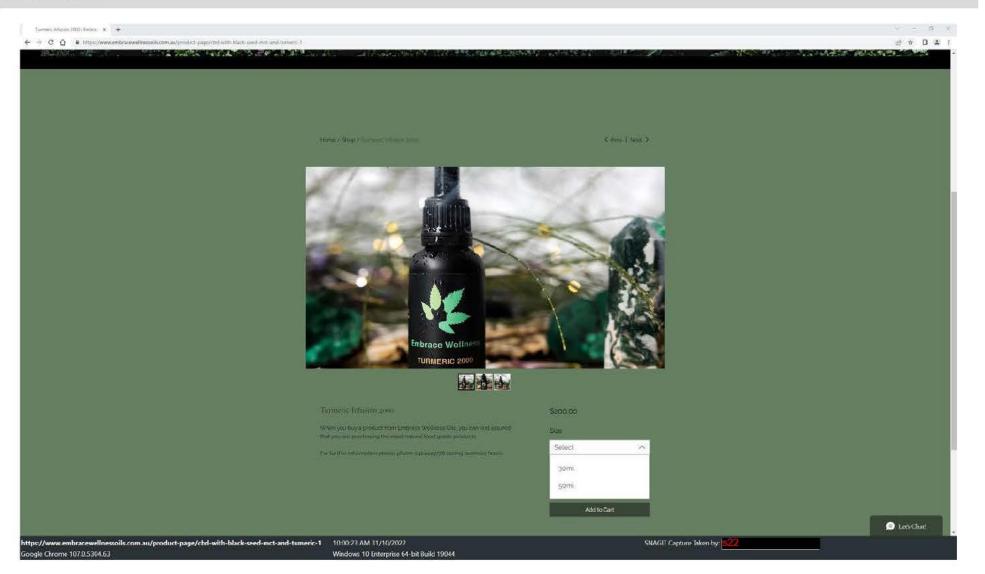
Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

Date: 08/06/2023

ANNEXURE A





Australian Government

Department of Health and Aged Care

Therapeutic Goods Administration

The Proper Officer Affinity Corp Australia Pty Ltd ACN 632 051 408

By Express Post and By Email: info.embracewellness1@gmail.com, and revitalisedlife@outlook.com Infringement Notice Number: TGAIN-CC-LQ4XPGY6/2021-3

Date given: 08/06/2023

Penalty total: \$13,320.00

Payment due: 6/07/2023

Enquiries: s22 Telephone: s22

Email: advertising.compliance@tga.gov.au

INFRINGEMENT NOTICE GIVEN TO

Affinity Corp Australia Pty Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Affinity Corp Australia Pty Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Affinity Corp Australia Pty Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(4)(a) applied.

The details of the alleged contravention are that:

On 31 October 2022, Affinity Corp Australia Pty Ltd did advertise, or caused the advertising of therapeutic goods, being Embrace Canna Releaf Stick CBD 500mg, on the web page located at <u>https://www.embracewellnessoils.com.au/product-page/canna-releaf-stick-500mg</u>, and

The advertisement contained a restricted representation, (whether in express terms or by necessary implication), being a reference to arthritis. Neither an approval under section 42DF of the Act, nor a permission under section 42DK of the Act was in force in relation to the restricted representation.



Evidence of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.¹

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

CHEQUE

Please ensure that you allow time for your payment to be received by the due date.



Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN-CC-LQ4XPGY6/2021-3 as reference to identify your payment



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number **TGAIN-CC-**LQ4XPGY6/2021-3 in the description of your transfer and allow two business days for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

¹ A penalty unit for a contravention alleged to have occurred before 1 January 2023 is \$222 (section 4AA of the *Crimes Act 1914*).

PART D: Information about this Infringement Notice

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. You should state in your application why you require an extension and provide supporting documentation such as balance sheets, statements of cash flow, profit, and loss statements, and/or bank statements for the last 12 months. Requests can be made by sending them directly to:

- advertising.compliance@tga.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been issued to companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act may be brought against you in relation to the alleged contravention described in this notice.

Effect of the lapsing of the compliance period for the notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents, such as balance sheets, statements of cash flow, profit, and loss statements, and/or bank statements for the last 12 months.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

- <u>advertising.compliance@tga.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

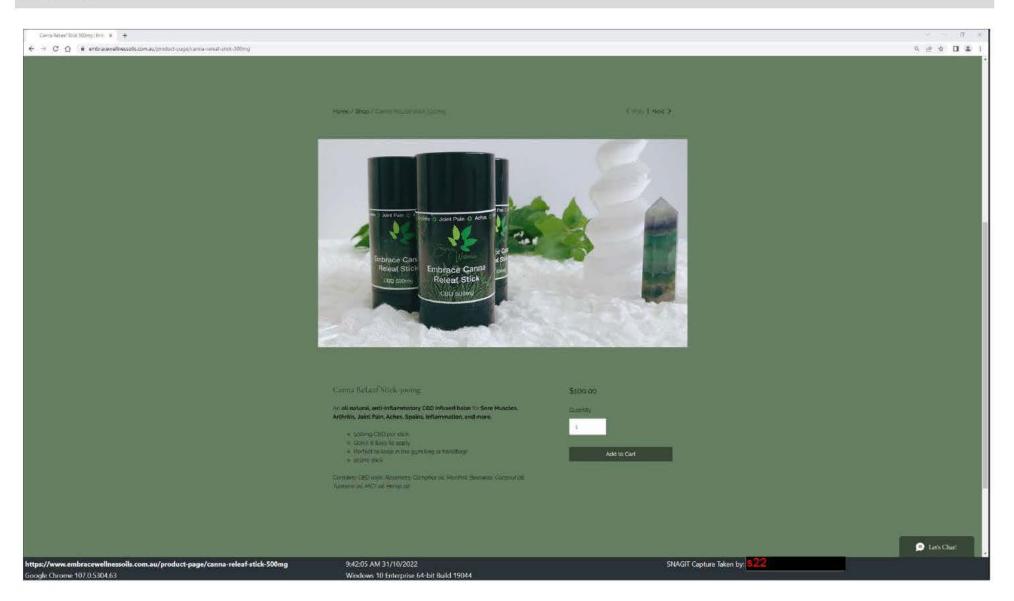
Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

Date: 08/06/2023

ANNEXURE A







Australian Government

Department of Health and Aged Care

Therapeutic Goods Administration

The Proper Officer Affinity Corp Australia Pty Ltd ACN 632 051 408

By Express Post and By Email: info.embracewellness1@gmail.com, and revitalisedlife@outlook.com Infringement Notice Number: TGAIN-CC-LQ4XPGY6/2021-4

Date given: 08/06/2023

Penalty total: \$13,320.00

Payment due: 6/07/2023

Enquiries: s22 Telephone: s22

Email: advertising.compliance@tga.gov.au

INFRINGEMENT NOTICE GIVEN TO

Affinity Corp Australia Pty Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Affinity Corp Australia Pty Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Affinity Corp Australia Pty Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(4)(a) applied.

The details of the alleged contravention are that:

On 1 November 2022, Affinity Corp Australia Pty Ltd did advertise, or caused the advertising of therapeutic goods, being medicinal cannabis products, on the web page located at https://www.facebook.com/photo/2fbid=461879035941309&set=a 454875703308309

https://www.facebook.com/photo/?fbid=461879035941309&set=a.454875703308309, and

The advertisement contained a restricted representation, (whether in express terms or by necessary implication), being a reference to auto-immune disease. Neither an approval under section 42DF of the Act, nor a permission under section 42DK of the Act was in force in relation to the restricted representation.



Evidence of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

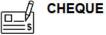
The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.¹

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.



Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



CREDIT CARD

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN-CC-LQ4XPGY6/2021-4 as reference to identify your payment



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-CC-LQ4XPGY6/2021-4 in the description of your transfer and allow two business days

for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

¹ A penalty unit for a contravention alleged to have occurred before 1 January 2023 is \$222 (section 4AA of the *Crimes Act 1914*).

PART D: Information about this Infringement Notice

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. You should state in your application why you require an extension and provide supporting documentation such as balance sheets, statements of cash flow, profit, and loss statements, and/or bank statements for the last 12 months. Requests can be made by sending them directly to:

- advertising.compliance@tga.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been issued to companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act may be brought against you in relation to the alleged contravention described in this notice.

Effect of the lapsing of the compliance period for the notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents, such as balance sheets, statements of cash flow, profit, and loss statements, and/or bank statements for the last 12 months.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

- advertising.compliance@tga.gov.au; or
- PO Box 100; WODEN ACT 2609

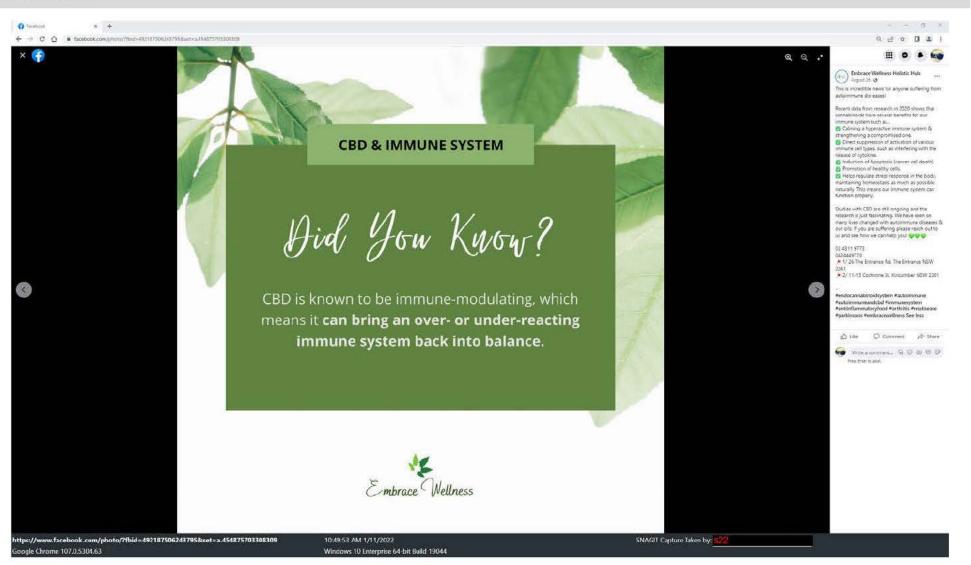
Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

Date: 08/06/2023

ANNEXURE A





Document 8

Australian Government

Department of Health and Aged Care

Therapeutic Goods Administration

The Proper Officer Affinity Corp Australia Pty Ltd ACN 632 051 408

By Express Post and By Email: info.embracewellness1@gmail.com, and revitalisedlife@outlook.com Infringement Notice Number: TGAIN-CC-LQ4XPGY6/2021-5

Date given: 08/06/2023

Penalty total: \$13,320.00

Payment due: 6/07/2023

Enquiries: s22 Telephone: s22

Email: advertising.compliance@tga.gov.au

INFRINGEMENT NOTICE GIVEN TO

Affinity Corp Australia Pty Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Affinity Corp Australia Pty Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Affinity Corp Australia Pty Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(2)(a) applied.

The details of the alleged contravention are that:

On 1 November 2022, Affinity Corp Australia Pty Ltd did advertise, or caused the advertising of therapeutic goods, being medicinal cannabis products, on the web page located at

https://www.facebook.com/embracewellnessholistichub/posts/pfbid0eSU1dQpKP26Ans JPpWz6MJCqFVwjHcEanGyh4ETgESqsoVsbcr1J2AurRgS8fDESI, and

The advertisement contained a prohibited representation about the goods, (whether in express terms or by necessary implication) being a reference to skin cancer and no permission under section 42DK of the Act was in force in relation to the prohibited representation.



Evidence of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

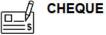
The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.¹

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.



Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



CREDIT CARD

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN-CC-LQ4XPGY6/2021-5 as reference to identify your payment



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-CC-LQ4XPGY6/2021-5 in the description of your transfer and allow two business days

for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

¹ A penalty unit for a contravention alleged to have occurred before 1 January 2023 is \$222 (section 4AA of the *Crimes Act 1914*).

PART D: Information about this Infringement Notice

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. You should state in your application why you require an extension and provide supporting documentation such as balance sheets, statements of cash flow, profit, and loss statements, and/or bank statements for the last 12 months. Requests can be made by sending them directly to:

- advertising.compliance@tga.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been issued to companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act may be brought against you in relation to the alleged contravention described in this notice.

Effect of the lapsing of the compliance period for the notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents, such as balance sheets, statements of cash flow, profit, and loss statements, and/or bank statements for the last 12 months.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

- advertising.compliance@tga.gov.au; or
- PO Box 100; WODEN ACT 2609

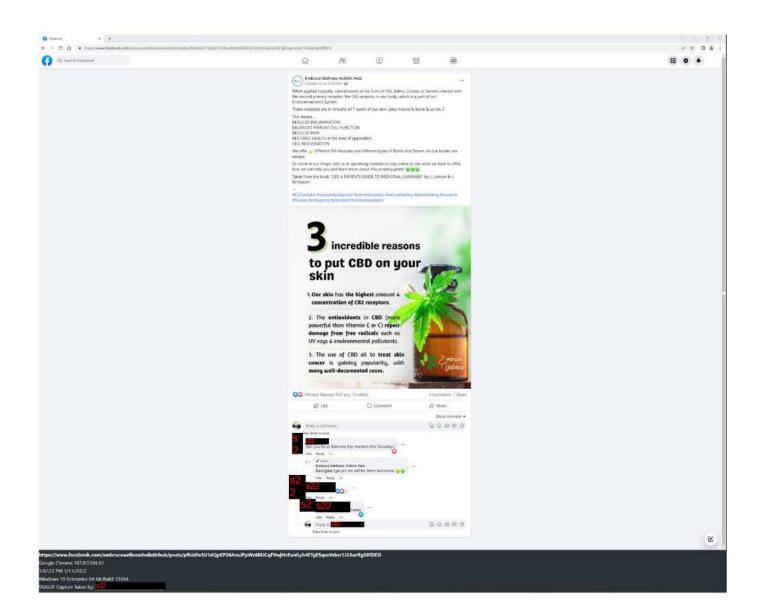
Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

Date: 08/06/2023

ANNEXURE A



From:	s22
То:	s22
Cc:	s22
Bcc:	s22
Subject:	Infringement Notices from the Therapeutic Goods Administration to Australian Institute of Medicinal Cannabis Pty Ltd (AIOMC) - CC-EJWB7UWD/2021 [SEC=OFFICIAL]
Date:	Monday, 31 October 2022 1:08:00 PM
Attachments:	image001.gif
	Cover Letter and Infringement Notices to Australian Institute of Medicinal Cannabis Pty Ltd - 31 October 2022.pdf

Dear The Proper Officer,

Please find attached a letter and 8 infringement notices from the Therapeutic Goods Administration to Australian Institute of Medicinal Cannabis Pty Ltd.

We ask that you confirm receipt of our correspondence by **5:00 PM** on **Tuesday 1 November 2022**.

Yours sincerely,

Investigator Advertising & Product Investigation Section Regulatory Compliance Branch

Phone: ^{\$22} Email: ^{\$22} @health.gov.au

Therapeutic Goods Administration Australian Government, Department of Health and Aged Care PO Box 100 Woden ACT 2606 www.tga.gov.au

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Important: This transmission is intended only for the use of the addressee and may contain confidential or legally privileged information. If you are not the intended recipient, you are notified that any use or dissemination of this communication is strictly prohibited. If you receive this transmission in error please notify the author immediately and delete all copies of this transmission.





Australian Government

Department of Health and Aged Care

Therapeutic Goods Administration

The Proper Officer Australian Institute of Medicinal Cannabis Pty Ltd ACN 650 003 133 C/- Duly & Associate 26 Ridge Street North Sydney NSW 2060 Our Reference: CC-EJWB7UWD/2021

By express post and email at: admin@midispensary.com.au; cc: sz

31 October 2022

Dear The Proper Officer

Infringement notices given to Australian Institute of Medicinal Cannabis Pty Ltd

		-
Infringement Notice	Amount	Payment Due by
TGAIN- CC-EJWB7UWD/2021-1	\$13,320.00	29 November 2022
TGAIN- CC-EJWB7UWD/2021-2	\$13,320.00	29 November 2022
TGAIN- CC-EJWB7UWD/2021-3	\$13,320.00	29 November 2022
TGAIN- CC-EJWB7UWD/2021-4	\$13,320.00	29 November 2022
TGAIN- CC-EJWB7UWD/2021-5	\$13,320.00	29 November 2022
TGAIN- CC-EJWB7UWD/2021-6	\$13,320.00	29 November 2022
TGAIN- CC-EJWB7UWD/2021-7	\$13,320.00	29 November 2022
TGAIN- CC-EJWB7UWD/2021-8	\$13,320.00	29 November 2022
Total	\$106,560.00	

- 1. I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act).
- 2. I have decided to give 8 infringement notices (enclosed to this letter) to the Australian Institute of Medicinal Cannabis Pty Ltd (AIOMC) under Part 5A-2 of the Act, on the basis that I reasonably believe AIOMC has contravened subsection 42DLB(1) of the Act, where subsections (2), (4) and (7) applied.
- 3. The infringement notices are enclosed to this letter and include information on how to pay the penalty amount, how to request an extension of the compliance period for payment, how to request that the infringement notices be withdrawn, and the actions that we may take in the event of non-payment of the infringement notices. It is important that AIOMC carefully read the infringement notices and the information contained within.
- 4. Infringement notices are an opportunity for AIOMC to pay an amount as an alternative to having court proceedings brought against AIOMC in relation to the alleged contraventions described in each of the notices.
- 5. If AIOMC chooses not to pay the penalty amount, proceedings may be brought against AIOMC for the alleged contraventions described in the infringement notices. We note that the maximum civil penalty that a court can impose on a company for a contravention of subsection 42DLB(1) of the Act is **\$11.1 million** per contravention.

- 6. There have been recent Federal Court decisions in which the court has clearly stated that ignorance of, or inexperience with, the therapeutic goods framework is not an excuse.¹ Those who engage in businesses involving therapeutic goods have a responsibility to be aware of the laws that govern those products, and to comply with those from the outset.²
- 7. You should be aware of the possible consequences for not paying an infringement notice. In a recent decision by the Federal Court, non-payment of a single infringement notice issued against a company resulted in the court ordering a total of \$96,000 in penalty against both the company and its executive directors.³
- 8. To avoid being liable for further contraventions of the Act, AIOMC should immediately take steps to ensure that any compliance issues, including any beyond the scope of this letter, are identified and resolved, noting that we reserve our rights to take enforcement action in relation to any further non-compliance we may identify.
- 9. For more information about infringement notices, I refer AIOMC to the following on the TGA website:
 - a. Information about infringement notices | Therapeutic Goods Administration (TGA).
 - b. Infringement notices | Therapeutic Goods Administration (TGA).

Delegate comments and concerns

- 10. While I am not required to provide reasons for giving an infringement notice under the Act, I offer the following remarks to assist AIOMC to understand why I have decided to take this particular action.
- 11. I have reviewed the following platforms for which AIOMC appear to be responsible:
 - a. <u>https://midispensary.com.au/</u>
 - b. <u>https://midispensary-cannabis-store.business.site/</u> (the Websites), and
 - c. <u>https://www.facebook.com/midispensary.com.au/</u> (Social Media)
- 12. While not all information released to the public about therapeutic goods is advertising, I consider that the Websites and Social Media unlawfully advertise medicinal cannabis products, as defined in section 3(1) of the Act, for the following reasons:
 - a. The Websites and Social Media contain statements and pictorial representations which were intended, directly or indirectly, to promote the use or supply of medicinal cannabis products. For example (but not limited to):
 - i. The alleged advertisements on the Websites directed the reader to a means of accessing medicinal cannabis products, such as direct links (access) to telehealth appointments with medical practitioners who may prescribe medicinal cannabis products.
 - ii. The Website and Social Media contain unapproved restricted representations regarding the efficacy of medicinal cannabis. For example, making references to serious forms of a disease, ailment or condition, in circumstances which inferred (directly or indirectly), without TGA permission or approval, that medicinal cannabis may be, or is, a suitable treatment for the medical condition mentioned.

¹ Enviro Tech Holdings and company officers ordered by the Federal Court to pay \$96,000 for unlawful importation of surgical face masks | Therapeutic Goods Administration (TGA).

² <u>Secretary, Department of Health v Oxymed Australia Pty Ltd [2021] FCA 1518 (fedcourt.gov.au)</u> at paragraph [251].

³ Enviro Tech Holdings and company officers ordered by the Federal Court to pay \$96,000 for unlawful importation of surgical face masks | Therapeutic Goods Administration (TGA).

- b. The advertisements:
 - i. refer to substances which are included in Schedule 3, 4 or 8 to the current Poisons Standard but not in Appendix H of the current Poisons Standard, and
 - ii. contain prohibited representations such as references to neoplastic disease or mental illness and/or restricted representations being references to serious forms of diseases, ailments and conditions without TGA permission or approval.
- 13. Additionally, I note that AIOMC has previously been made aware of the advertising prohibitions under the Act, including (but not limited to):
 - a. on 3 March 2022, you were warned regarding the alleged unlawful advertising of medicinal cannabis (the product) on your website https://midispensary.com.au/, and
 - b. you were provided with the opportunity to immediately remove and cease advertising the product in a way that contravenes the advertising requirements of the Act, and
 - c. informed that failure to act may result in escalated enforcement action being taken including the issuing of directions or infringement notices or court proceedings.
- 14. Although steps have been taken to bring your advertising in to compliance, the advertising is alleged to still unlawfully promote the use and/or supply of medicinal cannabis.
- 15. Each instance of non-compliant advertising on each day constitutes a separate contravention of the Act. We hold evidence that the contraventions as particularised in the infringement notices occurred from at least late May 2022 to at least 26 September 2022.
- 16. I note that I have utilised my discretion to not give you infringement notices for all alleged contraventions of the Act identified at this time, including those :
 - a. which occurred in posts made on Facebook prior to January 2022
 - b. which appeared identical or sufficiently similar, to the advertising on another page of the Websites or another Social Media post, and
 - c. arising from the same restricted or prohibited representation which was made multiple times on the Website and/or Social Media.
- 17. Please contact <u>s22</u> by email at <u>s22</u> <u>@health.gov.au</u> should AIOMC wish to discuss this matter further.

Yours sincerely

Nicole McLay Delegate of the Secretary of the Department of Health and Aged Care Therapeutic Goods Administration





Australian Government

Department of Health and Aged Care

Therapeutic Goods Administration

The Proper Officer Australian Institute of Medicinal Cannabis Pty Ltd ACN 650 003 133 C/- Duly & Associate 26 Ridge Street North Sydney NSW 2060

By Express Post and Email at:

admin@midispensary.com.au Cc: s22 Infringement Notice Number: TGAIN-CC-EJWB7UWD/2021-1 Date given: 31/10/2022 Penalty total: \$ 13,320.00 Payment due: 29/11/2022 Enquiries: 522 Email: 522 @health.gov.au

INFRINGEMENT NOTICE GIVEN TO

Australian Institute of Medicinal Cannabis Pty Ltd

PART A: Infringement Notice given by

Nicole McLay

Delegate of the Secretary of the Australian Government Department of Health and Aged Care.

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Australian Institute of Medicinal Cannabis Pty Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Australian Institute of Medicinal Cannabis Pty Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(7) applied.

The details of the alleged contravention are that:

On 26 September 2022, Australian Institute of Medicinal Cannabis Pty Ltd did advertise, or caused the advertising of therapeutic goods, being medicinal cannabis products, on the web page located at <u>https://midispensary.com.au/</u>.

The advertisement referred to goods containing substances included in Schedule 3, 4 or 8 to the current Poisons Standard, such as cannabidiol or cannabis, but not in Appendix H of the <u>current Poisons Standard</u>, other than a reference authorised or required by a government or government authority.

Evidence of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.¹

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.

Retum <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN- CC-EJWB7UWD/2021-1 as reference to identify your payment

CREDIT CARD



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-CC-EJWB7UWD/2021-1 in the description of your transfer and allow two business days for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

¹ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

PART D: Information about this Infringement Notice

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice; and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability. For further information about infringement notices, including the publication of notices, please refer to the TGA website.²

Effect of failing to comply with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act may be brought against you in relation to the alleged contravention described in this notice.

Effect of the lapsing of the compliance period for the notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

² Information about infringement notices | Therapeutic Goods Administration (TGA)

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

- <u>s22</u> <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

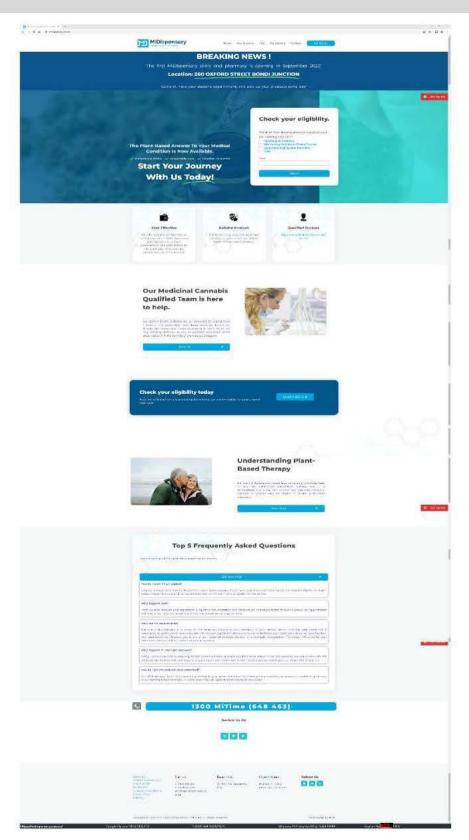
Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

Date: 31/10/2022

ANNEXURE A





Document 9



Australian Government

Department of Health and Aged Care

Therapeutic Goods Administration

The Proper Officer Australian Institute of Medicinal Cannabis Pty Ltd ACN 650 003 133 C/- Duly & Associate 26 Ridge Street North Sydney NSW 2060

By Express Post and Email at:

admin@midispensary.com.au Cc: <u>s22</u>

Infringement Notice Number: TGAIN-CC-EJWB7UWD/2021-2
Date given: 31/10/2022
Penalty total: \$ 13,320.00
Payment due: 29/11/2022
Enquiries: <mark>522 martines</mark> , <mark>522 martines</mark>
Email: <u>\$22, and @health.gov.au</u>

INFRINGEMENT NOTICE GIVEN TO

Australian Institute of Medicinal Cannabis Pty Ltd

PART A: Infringement Notice given by

Nicole McLay

Delegate of the Secretary of the Australian Government Department of Health and Aged Care.

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Australian Institute of Medicinal Cannabis Pty Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Australian Institute of Medicinal Cannabis Pty Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(4)(a) applied.

The details of the alleged contravention are that:

On 26 September 2022, Australian Institute of Medicinal Cannabis Pty Ltd did advertise, or caused the advertising of therapeutic goods, being medicinal cannabis products, on the web page located at <u>https://midispensary.com.au/</u>.

The advertisement contained a restricted representation, (whether in express terms or by necessary implication), being a reference to gastrointestinal system disorders. Neither an approval under section 42DF of the Act, nor a permission under section 42DK of the Act was in force in relation to the restricted representation.

Evidence of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.¹

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.

Retum <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN- CC-EJWB7UWD/2021-2 as reference to identify your payment

CREDIT CARD



ELECTRONIC FUNDS TRANSFER

Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-CC-EJWB7UWD/2021-2 in the description of your transfer and allow two business days for payment to be received.

¹ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice; and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability. For further information about infringement notices, including the publication of notices, please refer to the TGA website.²

Effect of failing to comply with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act may be brought against you in relation to the alleged contravention described in this notice.

Effect of the lapsing of the compliance period for the notice

² Information about infringement notices | Therapeutic Goods Administration (TGA)

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

ANNEXURE A

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Australian Government

Department of Health and Aged Care

Therapeutic Goods Administration

The Proper Officer Australian Institute of Medicinal Cannabis Pty Ltd ACN 650 003 133 C/- Duly & Associate 26 Ridge Street North Sydney NSW 2060

By Express Post and Email at: admin@midispensary.com.au

Cc: s22

Infringement Notice Number: TGAIN-CC-EJWB7UWD/2021-3
Date given: 31/10/2022
Penalty total: \$ 13,320.00
Payment due: 29/11/2022
Enquiries: <mark>\$22</mark>
Email: s22 @health.gov.au

INFRINGEMENT NOTICE GIVEN TO

Australian Institute of Medicinal Cannabis Pty Ltd

PART A: Infringement Notice given by

Nicole McLay

Delegate of the Secretary of the Australian Government Department of Health and Aged Care.

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Australian Institute of Medicinal Cannabis Pty Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Australian Institute of Medicinal Cannabis Pty Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(4)(a) applied.

The details of the alleged contravention are that:

On 26 September 2022, Australian Institute of Medicinal Cannabis Pty Ltd did advertise, or caused the advertising of therapeutic goods, being medicinal cannabis products, on the web page located at https://midispensary.com.au/eligibility-check/?pass entry=4bny.

The advertisement contained a restricted representation, (whether in express terms or by necessary implication), being a reference to mild osteoarthritis. Neither an approval under section 42DF of the Act, nor a permission under section 42DK of the Act was in force in relation to the restricted representation.

Evidence of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.¹

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.

Retum <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN- CC-EJWB7UWD/2021-3 as reference to identify your payment

CREDIT CARD



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-CC-EJWB7UWD/2021-3 in the description of your transfer and allow two business days for payment to be received.

¹ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice; and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability. For further information about infringement notices, including the publication of notices, please refer to the TGA website.²

Effect of failing to comply with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act may be brought against you in relation to the alleged contravention described in this notice.

Effect of the lapsing of the compliance period for the notice

² Information about infringement notices | Therapeutic Goods Administration (TGA)

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

- <u>s22</u> <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

Document 9

ANNEXURE A	N (
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Australian Government

Department of Health and Aged Care

Therapeutic Goods Administration

The Proper Officer Australian Institute of Medicinal Cannabis Pty Ltd ACN 650 003 133 C/- Duly & Associate 26 Ridge Street North Sydney NSW 2060

By Express Post and Email at:

admin@midispensary.com.au
Cc: s22

Infringement Notice Number:
TGAIN-CC-EJWB7UWD/2021-4
Date given: 31/10/2022
B
Penalty total: \$ 13,320.00
-
Payment due: 29/11/2022
-
Enquiries: \$22
Email: <u>s22 @health.gov.au</u>

INFRINGEMENT NOTICE GIVEN TO

Australian Institute of Medicinal Cannabis Pty Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care.

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Australian Institute of Medicinal Cannabis Pty Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Australian Institute of Medicinal Cannabis Pty Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(2)(a) applied.

The details of the alleged contravention are that:

On 26 September 2022, Australian Institute of Medicinal Cannabis Pty Ltd did advertise, or caused the advertising of therapeutic goods, being medicinal cannabis products, on the web page located at https://midispensary.com.au/eligibility-check/?pass_entry=pdwjy.

The advertisement contained a prohibited representation (whether in express terms or by necessary implication) about the goods, being a reference to anxiety. No permission under section 42DK of the Act was in force in relation to the prohibited representation.

Evidence of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.¹

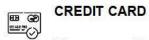
The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.

Retum <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN-CC-EJWB7UWD/2021-4 as reference to identify your payment



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-CC-EJWB7UWD/2021-4 in the description of your transfer and allow two business days for payment to be received.

¹ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- · the effect of complying with this notice; and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability. For further information about infringement notices, including the publication of notices, please refer to the TGA website.²

Effect of failing to comply with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act may be brought against you in relation to the alleged contravention described in this notice.

Effect of the lapsing of the compliance period for the notice

² Information about infringement notices | Therapeutic Goods Administration (TGA)

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

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ANNEX	URE A					
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Australian Government

Department of Health and Aged Care

Therapeutic Goods Administration

The Proper Officer Australian Institute of Medicinal Cannabis Pty Ltd ACN 650 003 133 C/- Duly & Associate 26 Ridge Street North Sydney NSW 2060

By Express Post and Email at:

admin@midispensary.com.au Cc: 522 Infringement Notice Number: TGAIN-CC-EJWB7UWD/2021-5 Date given: 31/10/2022 Penalty total: \$ 13,320.00 Payment due: 29/11/2022 Enquiries: 222 (@health.gov.au)

INFRINGEMENT NOTICE GIVEN TO

Australian Institute of Medicinal Cannabis Pty Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care.

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Australian Institute of Medicinal Cannabis Pty Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Australian Institute of Medicinal Cannabis Pty Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(4)(a) applied.

The details of the alleged contravention are that:

On 26 September 2022, Australian Institute of Medicinal Cannabis Pty Ltd did advertise, or caused the advertising of therapeutic goods, being medicinal cannabis products, on the web page located at https://midispensary.com.au/eligibility-check/?pass entry=5z2io.

The advertisement contained a restricted representation, (whether in express terms or by necessary implication), being a reference to medically diagnosed irritable bowel syndrome. Neither an approval under section 42DF of the Act, nor a permission under section 42DK of the Act was in force in relation to the restricted representation.

Evidence of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.¹

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.

Retum <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN- CC-EJWB7UWD/2021-5 as reference to identify your payment

CREDIT CARD



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-CC-EJWB7UWD/2021-5 in the description of your transfer and allow two business days for payment to be received.

¹ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice; and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability. For further information about infringement notices, including the publication of notices, please refer to the TGA website.²

Effect of failing to comply with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act may be brought against you in relation to the alleged contravention described in this notice.

Effect of the lapsing of the compliance period for the notice

² Information about infringement notices | Therapeutic Goods Administration (TGA)

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

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Australian Government

Department of Health and Aged Care

Therapeutic Goods Administration

The Proper Officer Australian Institute of Medicinal Cannabis Pty Ltd ACN 650 003 133 C/- Duly & Associate 26 Ridge Street North Sydney NSW 2060

By Express Post and Email at:

admin@midispensary.com.au Cc: 522

Infringement Notice Number: TGAIN-CC-EJWB7UWD/2021-6
Date given: 31/10/2022
Penalty total: \$ 13,320.00
Payment due: 29/11/2022
Enquiries: 522
Email: 522 @health.gov.au
uncalin.gov.au

INFRINGEMENT NOTICE GIVEN TO

Australian Institute of Medicinal Cannabis Pty Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care.

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Australian Institute of Medicinal Cannabis Pty Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Australian Institute of Medicinal Cannabis Pty Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(4)(a) applied.

The details of the alleged contravention are that:

On 26 September 2022, Australian Institute of Medicinal Cannabis Pty Ltd did advertise, or caused the advertising of therapeutic goods, being medicinal cannabis products, on the web page located at https://midispensary.com.au/eligibility-check/?pass entry=5z2io.

The advertisement contained a restricted representation, (whether in express terms or by necessary implication), being a reference to medically diagnosed inflammatory bowel disease. Neither an approval under section 42DF of the Act, nor a permission under section 42DK of the Act was in force in relation to the restricted representation.

Evidence of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.¹

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.

Retum <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN- CC-EJWB7UWD/2021-6 as reference to identify your payment

CREDIT CARD



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-CC-EJWB7UWD/2021-6 in the description of your transfer and allow two business days for payment to be received.

¹ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice; and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability. For further information about infringement notices, including the publication of notices, please refer to the TGA website.²

Effect of failing to comply with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act may be brought against you in relation to the alleged contravention described in this notice.

Effect of the lapsing of the compliance period for the notice

² Information about infringement notices | Therapeutic Goods Administration (TGA)

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

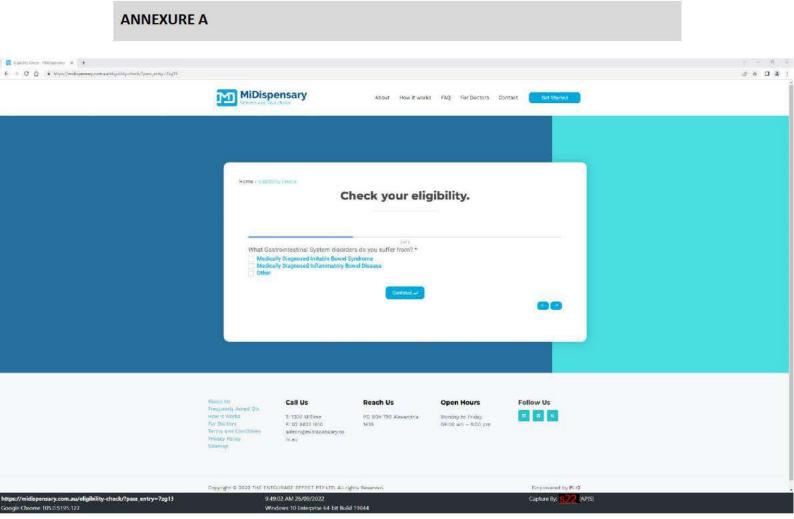
Written representations can be made by sending them directly to:

- <u>s22</u> <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609







Australian Government

Department of Health and Aged Care

Therapeutic Goods Administration

The Proper Officer Australian Institute of Medicinal Cannabis Pty Ltd ACN 650 003 133 C/- Duly & Associate 26 Ridge Street North Sydney NSW 2060

By Express Post and Email at:

admin@midispensary.com.au Cc: <u>522</u>

Infringement Notice Number: TGAIN-CC-EJWB7UWD/2021-7
Date given: 31/10/2022
Penalty total: \$ 13,320.00
Payment due: 29/11/2022
Enquiries: s22 second , s22 second
Email:s22 @health.gov.au

INFRINGEMENT NOTICE GIVEN TO

Australian Institute of Medicinal Cannabis Pty Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care.

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Australian Institute of Medicinal Cannabis Pty Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Australian Institute of Medicinal Cannabis Pty Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(7) applied.

The details of the alleged contravention are that:

On 26 September 2022, Australian Institute of Medicinal Cannabis Pty Ltd did advertise, or caused the advertising of therapeutic goods, being medicinal cannabis products, on the web page located at <u>https://midispensary-cannabis-store.business.site/</u>.

The advertisement referred to goods containing substances included in Schedule 3, 4 or 8 to the current Poisons Standard, such as cannabidiol or cannabis, but not in Appendix H of the <u>current Poisons Standard</u>, other than a reference authorised or required by a government or government authority.

Evidence of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.¹

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.

Retum <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN- CC-EJWB7UWD/2021-7 as reference to identify your payment

CREDIT CARD



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-CC-EJWB7UWD/2021-7 in the description of your transfer and allow two business days for payment to be received.

¹ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice; and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability. For further information about infringement notices, including the publication of notices, please refer to the TGA website.²

Effect of failing to comply with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act may be brought against you in relation to the alleged contravention described in this notice.

Effect of the lapsing of the compliance period for the notice

² Information about infringement notices | Therapeutic Goods Administration (TGA)

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

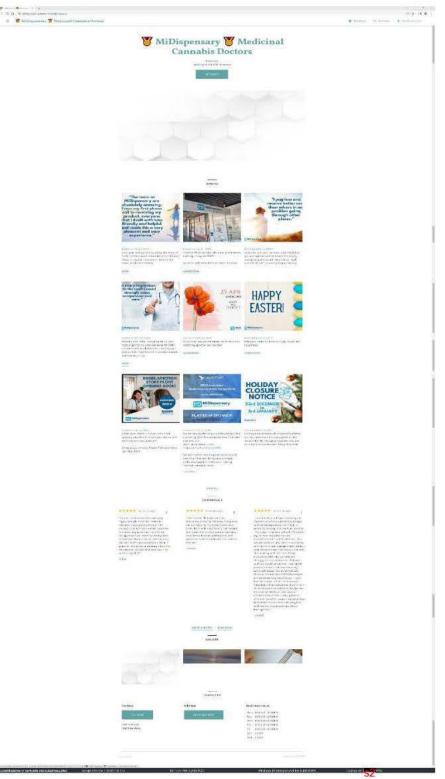
- <u>s22</u> <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

ANNEXURE A







Australian Government

Department of Health and Aged Care

Therapeutic Goods Administration

The Proper Officer Australian Institute of Medicinal Cannabis Pty Ltd ACN 650 003 133 C/- Duly & Associate 26 Ridge Street North Sydney NSW 2060

By Express Post and Email at:

admin@midispensary.com.au

Cc: <u>s22</u>

Infringement Notice Number:
TGAIN-CC-EJWB7UWD/2021-8
B (
Date given: 31/10/2022
Penalty total: \$ 13,320.00
Payment due: 29/11/2022
-
Enquiries: <mark>\$22, s22, s22, s22, s22, s22, s22, s22, </mark>
Email: 522 @health.gov.au

INFRINGEMENT NOTICE GIVEN TO

Australian Institute of Medicinal Cannabis Pty Ltd

PART A: Infringement Notice given by

Nicole McLay

Delegate of the Secretary of the Australian Government Department of Health and Aged Care.

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Australian Institute of Medicinal Cannabis Pty Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Australian Institute of Medicinal Cannabis Pty Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(7) applied.

The details of the alleged contravention are that:

On 26 September 2022, Australian Institute of Medicinal Cannabis Pty Ltd did advertise, or caused the advertising of therapeutic goods, being medicinal cannabis products, on the web page located at https://www.facebook.com/midispensary.com.au/posts/156402330050686.

The advertisement referred to goods containing substances included in Schedule 3, 4 or 8 to the current Poisons Standard, such as cannabidiol or cannabis, but not in Appendix H of the <u>current Poisons Standard</u>, other than a reference authorised or required by a government or government authority.

Evidence of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.¹

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.



Retum <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



CREDIT CARD

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN- CC-EJWB7UWD/2021-8 as reference to identify your payment **=**()

Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN- CC-EJWB7UWD/2021-8 in the description of your transfer and allow two business days for payment to be received.

ELECTRONIC

FUNDS TRANSFER

¹ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- · the effect of complying with this notice; and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability. For further information about infringement notices, including the publication of notices, please refer to the TGA website.²

Effect of failing to comply with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act may be brought against you in relation to the alleged contravention described in this notice.

Effect of the lapsing of the compliance period for the notice

² Information about infringement notices | Therapeutic Goods Administration (TGA)

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

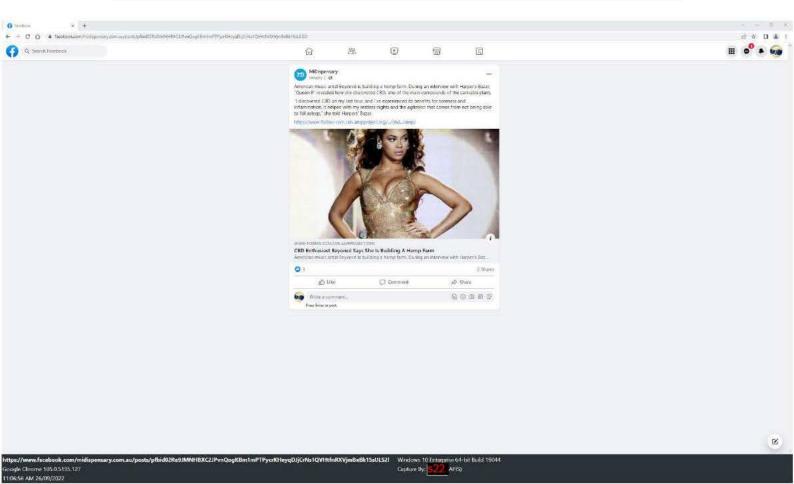
- <u>s22</u> <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

ANNEXURE A



From:	s22
To:	"info@caclinics.com.au"
Cc:	s22 ;s22
Subject:	Letter from the Therapeutic Goods Administration to Biologics Research Institute Australia Pty Ltd – AC- 00000002822 [SEC=OFFICIAL]
Date:	Tuesday, 16 August 2022 4:50:00 PM
Attachments:	image003.gif
	Letter from the TGA to Biologics Research Institute Australia Pty Ltd dated 16 August 2022.pdf

Dear 522

Please find **attached** a letter from the Therapeutic Goods Administration for your attention.

We ask that you confirm receipt of this letter by 10:00 AM on Wednesday 18 August 2022.

Yours sincerely,

s22

s22 s22 Advertising and Product Investigations Section Regulatory Compliance Branch Phone: s22 Email: s22 (@health.gov.au Therapeutic Goods Administration Australian Government, Department of Health and Aged Care PO Box 100 Woden ACT 2606 www.tga.gov.au

Important: This transmission is intended only for the use of the addressee and may contain confidential or legally privileged information. If you are not the intended recipient, you are notified that any use or dissemination of this communication is strictly prohibited. If you receive this transmission in error please notify the author immediately and delete all copies of this transmission.



Australian Government

Department of Health and Aged Care Therapeutic Goods Administration

Biologics Research Institute Australia Pty Ltd Level 20, Market Street Sydney, NSW 2000

Our Reference: AC-00000002822

By express post and by e-mail: info@caclinics.com.au;

16 August 2022

Dear s22

Subject: Notice of intention to commence enforcement action

The Therapeutic Goods Administration (TGA) is part of the Australian Government Department of Health and Aged Care, and is responsible for regulating the safety, quality, efficacy and advertising of therapeutic goods in Australia under the Therapeutic Goods Act 1989 (the Act).

The purpose of this letter is to provide Biologics Research Institute Australia Pty Ltd (Biologics) with an opportunity to make submissions before a decision is made about what enforcement action should be taken in relation to alleged unlawful advertising which appears on CA Clinics and CA Connect's platforms and for which Biologics appears to be responsible. They include:

- https://caclinics.com.au/ and https://caconnect.com.au/ (the Websites)
- https://www.facebook.com/caclinicsaus
- https://www.facebook.com/profile.php?id=100077878614738
- https://www.instagram.com/caclinics/
- https://www.instagram.com/caconnectaustralia/
- https://twitter.com/caccessclinics?lang=en
- https://au.linkedin.com/company/australian-cannabis-clinics (collectively, the Social Media).

The enforcement action being considered by the TGA includes issuing infringement notices for contraventions of the Act arising from the advertising on the Websites and Social Media.

Action required

We request that Biologics take the following actions:

- Confirm receipt of this notice within one business day from the time stamp on the 1 covering email.
- 2. Carefully consider the information provided below.
- 3. Provide your submissions by 31 August 2022 as to:

PO Box 100 Woden ACT 2606 ABN 40 939 406 804 Phone: 1800 020 653 or 02 6232 8644 Fax: 02 6232 8112 Email: info@tga.gov.au https://www.tga.gov.au



- a. whether the advertising on the Websites and Social Media summarised below (and any other content on the Websites and Social Media containing similar references, statements or representations) contravenes the Act, if that is disputed; and
- b. any other matter which you wish the TGA to consider in deciding whether enforcement action should be taken in relation to the alleged unlawful advertising identified below.

Note: this notice canvasses some and not all of the alleged contraventions that we may pursue.

Summary of alleged contraventions

We have identified representations on the Websites and the Social Media which we allege advertise therapeutic goods, being medicinal cannabis products, in circumstances where the advertisements:

- 1. refer to goods that are not entered in the Australian Register of Therapeutic Goods (the Register).
- 2. refer to substances, or goods containing substances, included in Schedule 3, 4 or 8 to the current Poisons Standard but not in Appendix H of the current Poisons Standard.
- 3. contain prohibited representations and/or restricted representations (being references to serious forms of diseases, ailments and conditions) without TGA permission or approval.
- 4. contain a statement, pictorial representation or design suggesting or implying the goods have been recommended or approved by or on behalf of a government or government authority.

For example, we allege that the Websites contain:

- 1. statements which appear to promote the use of medicinal cannabis products, including specific products such as 'LGP Classic 10:10', in connection with the treatment of serious medical conditions, for example 'we can aid those suffering from conditions such as anxiety, insomnia and chronic pain.'
- 2. statements which suggest or imply that medicinal cannabis products have been approved or recommended by a government in relation to the use of medicinal cannabis products in the treatment of medical conditions, for example *'[t]he TGA have approved use of medicinal cannabis products'* for conditions such as epilepsy, chronic pain and multiple sclerosis.
- 3. statements on CA Connect's website which allegedly advertise the supply of medicinal cannabis products by stating the price of medicinal cannabis treatment options.

In addition, we allege that the Social Media contains:

- 4. posts which include pictorials, hashtags and statements that appear to promote the use of medicinal cannabis products in the treatment of named medical conditions, including *#HIV, #cancerpain* and *#medicinalcannabis.*
- 5. posts which suggest or imply that medicinal cannabis products supplied through CA Clinics have been approved or recommended by a government authority in the treatment of medical conditions such as epilepsy and chronic pain.

Notice of intention to take enforcement action

We are writing to you to put Biologics on notice of our intention to take enforcement action in relation to the alleged unlawful advertising on the Websites and the Social Media of the kinds summarised above. That enforcement action will not be limited to the provided examples and may extend to any other unlawful advertising of the kinds identified above.

Biologics submissions will be taken into account by the delegate of the Secretary of the Australian Government Department of Health and Aged Care in exercising their discretion

whether to take enforcement action against Biologics. The action under consideration includes giving infringement notices to Biologics in relation to contraventions of the kind set out above on the Websites and Social Media.

Should Biologics remove any of the alleged unlawful advertising in response to this letter, we note that the delegate is not prevented from considering taking enforcement action against Biologics.

Please note that information about any compliance action taken against Biologics may be published on the TGA website under subsection 61(5A) of the Act, consistent with our usual practice.

To avoid being liable for further contraventions of the Act, Biologics should immediately take steps to ensure that any compliance issues, including any beyond the scope of this letter, are identified and resolved, noting we reserve our rights in relation to any further non-compliance we identify. Should Biologics respond to this letter by removing any of the alleged unlawful advertising, we note that the delegate is not prevented from considering taking the proposed enforcement action against Biologics.

Other information

- The import, export, manufacture, supply and advertising of therapeutic goods in Australia is subject to the requirements of the Act and the *Therapeutic Goods Regulation* 1990.
- It is illegal under section 42DL of the Act for a person to advertise or cause the advertising of therapeutic goods in circumstances where the advertisement:
 - refers to substances, or goods containing substances, included in Schedule 3, 4 or 8 to the current Poisons Standard but not in Appendix H of the current Poisons Standard, such as medicinal cannabis products, and that reference is not authorised by an Australian government or government authority
 - refers to therapeutic goods (being the Product) that are not entered in the Register nor subject to any exemption, approval or authority under the Act, and that reference is not authorised by an Australian government or government authority
 - contains a prohibited representation, such as cancer, and no permission is in force in relation to that prohibited representation
 - contains a restricted representation, such as epilepsy, and neither a permission nor a TGA approval is in force in relation to that restricted representation
 - contains a statement, pictorial representation or design suggesting or implying the Product has been recommended or approved by or on behalf of a government or government authority, and no exception applies.
- A person who advertises or causes the advertising of therapeutic goods in the above circumstances may also be liable for civil penalties under section 42DLB of the Act.
- It is the responsibility of advertisers to ensure that their advertising material is compliant with the relevant legislation.
- Biologics may also wish to refer to the following guidance provided by the TGA:
 - o <u>Advertising guidance for businesses involved in medicinal cannabis products</u>
- If Biologics requires help in understanding the advertising requirements, we recommend that Biologics consider engaging a regulatory affairs consultant or a lawyer to assist it.
- The TGA takes non-compliance with the regulatory scheme seriously, especially where a breach of the advertising requirements has resulted in, or poses, a threat to public health

and safety. A range of compliance and enforcement tools are available to address noncompliance and may include infringement notices, criminal or civil court proceedings, which can result in substantial penalties, fines, or imprisonment.

Please contact^{\$22} on ^{\$22} or by email at ^{\$22} @health.gov.au should you wish to discuss this matter further.

Yours sincerely



Acting Assistant Secretary Regulatory Compliance Branch

From:	s22
То:	s22
Cc:	s22
Subject:	Biologics Research Institute Australia
Date:	Thursday, 18 August 2022 4:50:40 PM

REMINDER: Think before you click! This email originated from outside our organisation. Only click links or open attachments if you recognise the sender and know the content is safe.

Dear s22

Thank you for your letter dated August 16, which I received late yesterday.

We take compliance matters seriously and I thank you for the opportunity to respond to the matters raised in the Letter. I confirm that we are willing to cooperate with the TGA regarding any concerns it may have, and address those matters without the need for the TGA to take enforcement action against us.

We are working through the Letter and have already mobilised a team of internal resources, supported by external counsel, to review our online content in light of the issues raised in the Letter. This team has created a draft project plan with the intention of completing many of the tasks referred to in the Letter ASAP, and prior to August 31. I note that there may be some matters that may take longer to action in order to avoid disruption / adverse consequences to patients and we'll come back to you on those matters.

On a without admissions basis, so far we have already disabled a number of our social media accounts including:

https://twitter.com/caccessclinics?lang=en https://www.linkedin.com/company/ca-connect-australia/

I intend to send you a more substantive update next week on our progress before our final submission to you on or before the August 31 deadline. In the meantime, please rest assured that we are taking the Letter seriously and are working through the matters contained therein.

If you need to contact me directly I am on ^{\$22}

As a minor note, the website <u>https://www.linkedin.com/company/australian-cannabis-clinics/?originalSubdomain=au</u> referred to in the Letter does not belong to us.

Regards

s22 , Biologics Research Institute Australia

This message is confidential and should only be used by the intended addressee. If you were sent this email by mistake, please inform us by reply email and then destroy this message. The contents of this email are the opinions of the author and do not necessarily represent the views of the Southern Cannabis Holdings.

This message is confidential and should only be used by the intended addressee. If you were sent this email by mistake, please inform us by reply email and then destroy this message. The contents of this email are the opinions of the author and do not necessarily represent the views of the Southern Cannabis Holdings.

From:	s22
To:	s22
Subject:	Response to your letter of Aug 16 2022
Date:	Tuesday, 30 August 2022 4:16:37 PM
Attachments:	TGA Response.pdf

REMINDER: Think before you click! This email originated from outside our organisation. Only click links or open attachments if you recognise the sender and know the content is safe.

Hi <mark>s22</mark>

Please find the attached correspondence addressed to ^{\$22} in response to the TGA letter dated August 22 2022. I'd appreciate if you can confirm receipt of this letter.

Thank you

This message is confidential and should only be used by the intended addressee. If you were sent this email by mistake, please inform us by reply email and then destroy this message. The contents of this email are the opinions of the author and do not necessarily represent the views of the Southern Cannabis Holdings.

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Sydney 2000

s22

From: <mark>s22</mark> Biologics Research Institute Australia, Level 5, 2 Barrack St

To: S22 Acting Assistant Secretary Regulatory Compliance Branch By email to : S22 Your reference: AC-000000002822

August 30, 2022

Dear <mark>s22</mark>

I write in response to your letter of 16 August 2022 to Biologics Research Institute Australia **(BRIA)**. (**the Letter**). We also refer to our email dated Thursday, 18 August 2022, in respect of this matter.

This response covers and is in respect to the queries raised in respect of :

https://caclinics.com.au/ and https://caconnect.com.au/ (the Websites)

As ell as:A

- https://www.facebook.com/caclinicsaus
- https://www.facebook.com/profile.php?id=100077878614738
- https://www.instagram.com/caclinics/
- https://www.instagram.com/caconnectaustralia/
- https://twitter.com/caccessclinics?lang=en

(collectively, **social media**).

We do not believe that further action is warranted by the TGA, over and above the Letter, for reasons outlined in this response.

- We are an Australian-based company and take compliance seriously. To the extent that there has been any breach of the *Therapeutic Goods Act 1989* (which is not admitted), that breach was inadvertent. Further, it was never our intention to do or say anything that resulted in, or poses, a threat to public health and safety. In fact, we respectfully say that the matters raised in the Letter do not constitute matters that could reasonably be said to be a threat to public health and safety due to the discrete nature of the services we offer and in the context of the nature of the matters raised in the Letter.
- We have already taken action in response to your Letter and are willing to continue to cooperate ith the TGA as the medicinal cannabis legislative landscape, regulations and TGA guidance around those matters continues to evolve.

In respect of each matter raised in the Letter we respond as follows:

1.0 Summary

BRIA does not market, offer for sale or sell medicinal cannabis products. We are a multiservice specialist medical clinic that considers, among other things, patient eligibility for alternative therapies and medicinal cannabis prescriptions, as well as ongoing monitoring and care of those patients.

BRIA publishes online content with the intent of educating patients on regulations and access pathways for medicinal cannabis.

No patient is able to access medicinal cannabis products or treatments without a prescription from a qualified medical professional, which includes pain specialists, oncologist, psychiatrists, addiction medicine specialists, GPs and nurses. BRIA patients are offered ongoing consultations to ensure continuity of care and management of the patient's condition and treatment.

Our websites contain over 300 pages of educational content and blogs concerning plant-based therapies and we ask that any examples of possible breaches be taken in the context and tone of the Websites and social media, and not in isolation.

We recognize that the content of the Websites and social media, when considered from the end viewer's point of view, can vary from person to person. It is our submission that when a reasonable person views the Website and social media that it cannot reasonably be said that BRIA has breached an legislation. The legislation around medicinal cannabis is in its infancy and, in our view, there is little precedent to guide cross-industry participants on day-to-day activities, particularly in respect of a multi-service specialist medical clinic. With this in mind we continuously look for ways to strengthen our compliance activities to reflect the periodic updates to published TGA guidance.

As outlined in our 18 August email, we have already taken actions in response to the Letter, described in further detail below.

2.0 Response to specific alleged breaches

2.1 Alleged breach 1

"statements which appear to promote the use of medicinal cannabis products, including specific products such as 'LGP Classic 10:10', in connection with the treatment of serious medical conditions, for example 'we can aid those suffering from conditions such as anxiety, insomnia and chronic pain.'

In our opinion BRIA did not make statements that promote the use of medicinal cannabis products.

BRIA does not sell medicinal cannabis products and generally will not refer to any product names.

The reference to LGP Classic 10:10 (<u>link</u>) is in the context of a publication of results in a peer-revieed scientific journal, regarding an ethics approved study that CA Clinics participated in. In our view the ethics approval, and peer review of the results, was factual

and balanced, informative in nature and not promotional

In general, it is the intention of BRIA that the Websites and social media contain content that complies with advertising guidelines, while balancing the legitimate need of patients to access educational and informative material. In our view, the Websites provide a balanced view and summary of the information that has been published in this area. It also makes it clear to patients and prospective patients that BRIA only offers doctor and treatment services to assess the suitability (or otherwise) of patients and to provide ongoing care for eligible patients. Some of the ways we achieve this is by:

a) Clearly and repeatedly stating that we do not market, offer for sale or sell medicinal products. Also, that access to any product is subject to doctor consultation, approval and at doctor discretion. Some eamples include:A

. we do not dispense medical cannabis products" (link)

"The prescribing doctor will evaluate the benefit to risk ratio in each individual case before recommending any medical cannabis" (*link*)

"In Australia, access to medicinal cannabis is entirely subject to doctor consultation and discretion" (link)

b) Ensure that any reference to ailments or diseases is done so in a broad context of a holistic approach to treatment research and third party published material, without claiming our views of any benefits (or perceived benefits) that may be associated with the products. Some eamples include:A

Medical cannabis for epilepsy was one of the first childhood **conditions** where grassroots movements pushed ahead of clinical research (<u>link</u>)

Not all patients or conditions are suitable for medical cannabis therapies (link)

The clinic does not accept walk-in patients as there are qualifying criteria that must be met (<u>link</u>)

c) Presenting a balanced and accurate view of the product. We do not, or do not intend to make any prohibited or restricted statements. We are mindful of our compliance obligations in this regard, and attempt to balance this against ensuring that patients are fully informed of the options, risks and effects of the products before considering whether to acquire ours or a third party's services. Some eamples include:A

> "Commonly experienced side effects of CBD oil include; drowsiness, dry mouth, and gastrointestinal discomfort. Other less common adverse events include altered liver enzymes and drug-drug or drug-nutrient interactions" (link)

.*Medicinal cannabis, like all medications, can have side effects, specifically drowsiness or gastrointestinal discomfort are common. Most human studies report..."*(link)

"There are many risks of using cannabis-based products without a doctor's monitoring" (link)

"Both CBD and THC have been investigated for their capacity to decrease uptake of folic acid in human cell lines simulating chronic cannabis use. Similar in vitro research points to cannabinoids reducing levels of other important cellular growth factors" (<u>link</u>) "There are some indications that cannabinoid medicines may directly impact immune and inflammatory markers that perpetuate the disease and worsen symptoms. " (link)

"there is still much we don't understand and concerns to be aware of. THC has the potential to disrupt regulatory processes and hormones that maintain healthy menstrual cycles. (link)

d) Ensuring patients are informed, and have access to information from the literature that informs them, that treatments may not be effective for certain individuals. Some examples include:

"We do not have any evidence that medical cannabis can stop the underlying problem of endometriosis" (link)

"Not all patients or conditions are suitable for medical cannabis therapies. According to TGA guidelines, there must be some evidence that medicinal cannabis treatment can be applied to your condition and that you have exhausted conventional therapies" (link)

"WHEN ARE CANNABINOID BASED TREATMENTS CONTRAINDICATED?"

There are different kinds of medicinal cannabis therapies, and some or all may not be suitable for your condition. If any of the following apply to you, please discuss with your doctor:

- Allergic to any ingredient used in cannabinoid product
- Have unstable cardio-pulmonary disease or cardiovascular disease
- Have previous psychotic or concurrent active mood disorder
- Have severe anxiety
- Are pregnant or breastfeeding

Relative contraindications: These conditions may not necessarily prevent prescribing medicinal cannabis, though should also be discussed with the medical practitioner.

- Severe liver or renal disease.
- Drug, alcohol or nicotine dependence
- Paediatric and elderly patients
- Individuals with a past medical or family history of schizophrenia

2.1 Alleged breach 2

statements which suggest or imply that medicinal cannabis products have been approved or recommended by a government in relation to the use of medicinal cannabis products in the treatment of medical conditions, for example '[t]he TGA have approved use of medicinal cannabis products' for

conditions such as epilepsy, chronic pain and multiple sclerosis.

In our view, BRIA has never, or did not intend to claim that medicinal cannabis has been approved by the TGA as a registered medicine. Any reference to TGA approval(s) on the Websites or social media is intended in the context of approvals under the Special Access Scheme Cat B or the Authorised Prescriber Scheme of which there have been more than 100,000 approvals since the legalisation of cannabis in Australia. To the extent that the Websites made reference to any other matter, that reference was inadvertent. There are numerous, repeated examples of statements throughout the Websites that reflect this position and we submit that a reasonable person would understand the purpose of, and not be misled by, the text. A small sample of the statements on the Websites include:

"Cannabis has not been approved as a medicine in Australia. The Therapeutic Goods Administration (TGA) provides guidance on the application of medicinal..." (*link*)

Under Therapeutic Goods Administration (TGA) guidelines, these **doctors** must apply for TGA **approvals** with each patient's specific use of medical cannabis (<u>link</u>)

"It is a requirement for TGA approval that there is some preclinical or clinical evidence that medical cannabis or CBD oil will benefit your condition" (<u>link</u>)

"the time it takes to approve is usually at the discretion of the TGA" (link)

"How long does it take to be **approved** for a prescription? The **approval** time is usually within one week after the application submission date" (<u>link</u>)

2.3 Alleged breach 3

"Statements on CA Connect's website which allegedly advertise the supply of medicinal cannabis products by stating the price of medicinal cannabis treatment options"

It is our view that the material on the Websites is not (and wasn't intended to be) an advertisement for medicinal cannabis products.

As referred to above, BRIA does not offer for sale or sell medicinal cannabis products.

The aim of CA Connect is to assist patients with pain management and other conditions. The intent of the website (and the Websites generally) is to educate patients about treatment options, including the price of our services.

BRIA does not state the price of medicinal cannabis products, nor does it advertise for sale any specific product names or brands. The pricing relates to the services of our professionals, including doctor consultations and ongoing care via scheduled follow up calls from our clinical team.

Further, the Websites link to material with balanced information on the range of treatment options for pain management.

This secondary material, while it may refer to medicinal cannabis products does so in the context of information about a range of treatments and results, it does not promote the use of medicinal cannabis by emphasising its benefits over other treatments, nor does the

reference to price promote its supply.

As mentioned above, patients do not automatically receive a treatment plan. This is at the sole discretion of the medical / healthcare practitioner assessing their symptoms, wellbeing and eligibility at the time.

s47G(1)(a)		

2.4 Alleged breach 4

posts which include pictorials, hashtags and statements that appear to promote the use of medicinal cannabis products in the treatment of named medical conditions, including #HIV, #cancerpain and #medicinalcannabis.

BRIA does not intend for any pictorials or hashtags to be taken as advertising.

Our use of pictorials in any online content is intended to be educational and we believe that all pictorials and the content they accompany meet the advertising guidance of being factual, and fair and balanced.

Regarding the use of hashtags on social media, we are not aware of any regulatory guidance that specifically prevents the use of a hashtag. BRIA's use of hashtags is intended to help patients find factual and balanced educational content relating to the topic referred to therein. This is a common and accepted use of hashtags.

The point of using hashtags on social media is that you are indicating to a follower/user and algorithm that your content relates to a specific topic or category. Ultimately, it helps users to find relevant content easily across platforms so your content is accessible to the appropriate (or niche) audience.

We would be happy to discuss the use (or otherwise) of hashtags further with the TGA if this is considered by the TGA to be advertising and/or promotion.

We would be happy to review, and comment upon, any more specific examples of concern that the TGA may have relating to pictorials or hashtags.

2.5 Alleged breach 5

posts which suggest or imply that medicinal cannabis products supplied through CA Clinics have been approved or recommended by a government authority in the treatment of medical conditions such as epilepsy and chronic pain.

In our opinion, BRIA has not made claims that medicinal cannabis has been approved or recommended by a government authority for the treatment of medical conditions such as epilepsy and chronic pain. We refer to our response in response to alleged breach 2 above.

3.0 Historical Actions to Date

In this fast-paced and evolving industry, we continuously look for ways to strengthen our compliance activities to reflect the periodic updates and TGA guidance provided in this area. BRIA is an Australian-based company that wishes to provide options to its patients in the context of them dealing with health and/or medical issues. We are in our infancy but intend to continue to grow our operations. We are therefore committed to continuing to work with the TGA to ensure compliance and the sustainability of our business operations so that we may continue to provide options to Australians by improving patient access to alternative plant-based medications.

Some examples of our dedication to these matters prior to the Letter include:

- 10 May 2018 meeting with John Skerrit of the TGA to discuss the launch and branding of our original 'Cannabis Access Clinic';
- In response to updated guidance relating to non-usage of cannabis in clinic names, we promptly updated our trading name to CA Clinics in March 2020.
- In response to updated TGA guidance in 2020 we had numerous email and zoom conversations with TGA officers ⁵²² and ⁵²² and ⁵²² as well as an in-person meeting on 23 November 2020 in Canberra with ⁵²² and to ensure we were meeting TGA expectations as they were at that point in time. These discussions resulted in no objections however we took it upon ourselves to undertake some website and communications updates in the spirit of self-policing for compliance.
- Further guidance was issued by the TGA on 22 January 2021, which we promptly complied with, meeting the deadline of 5 February 2021. This was acknowledged the same day by <u>\$22</u>.

As foreshadowed in our 18 August email to the TGA, upon receipt of the Letter we promptly took the following actions (on a without admissions basis):

- An internal taskforce was formed to review all online content for regulatory compliance;
- We commenced a fulsome review of the content on the Websites and social media (as to which see below).
- We have engaged a regulatory lawyer to assist with this and future compliance matters; and
- Our internal guidelines for website and social media content have been updated.

As referred to above, we have voluntarily implemented a process to strengthen compliance in light of the Letterare ongoing, and our team is currently reviewing and updating content across the Websites and social media. This review is currently consuming a substantial amount of our resources on a daily basis and, despite our best efforts to conclude this work by August 31, the task is not yet complete. We anticipate that this review will require an additional 2 weeks to complete, with an anticipated completion date of September 15 or thereabouts. We will provide a further update on the status of these efforts, and a confirmation that they have been completed, as soon as possible.

4.0 Summary

As outlined above, BRIA is committed to operating consistently with Australian legislation. It wishes to continue to work with the TGA to ensure that that happens, and that BRIA remains a sustainable Australian-based business that continues to provide reasonable and appropriate options to its patients.

BRIA does not offer for sale or sell medicinal cannabis products - it provides services only. In addition to providing a mechanism by which patients can access medical / healthcare practitioners that are experts in the area of medicinal cannabis, its intention has been to provide accurate and balanced information services on the matter.

We respectfully submit that as a result of:

- the prompt action by BRIA following receipt of the Letter;
- BRIA's good history in the area of medicinal cannabis compliance;
- the evidenced intention of BRIA to actively work with the TGA to promptly address any concerns it may have;
- the above submissions in respect of the matters raised by the TGA in the Letter; and
- that there has been no actual or potential harm to consumers as a direct result of the matters raised in the Letter,

the TGA is not required to take any enforcement action against BRIA in respect of the matters raised in the Letter.

If, notwithstanding the above, the TGA is considering imposing a monetary penalty on BRIA in respect of the matters referred to in the Letter please note that BRIA is a small, loss-making organisation that has not made a profit since its inception. As a result, BRIA has not received any financial gain to date from our patient consultation activities, which are aimed at supporting patients in the medicinal cannabis space, or any matter referred to in the Letter. We would be happy to provide the TGA with tax returns to support this statement, if required.

Please let us know if you require any further information or clarification in relation to any matter referred to above.

Yours faithfully



Biologics Research Institute Australia

From: To:	s22 <u>"info@caclinics.com.au"</u>
Cc:	<u>"info@caconnet.com.au";</u>
Subject:	Infringement Notices from the Therapeutic Goods Administration to Biologics Research Institute Australia Pty Ltd - AC-000000002822
Date:	Monday, 31 October 2022 1:18:00 PM
Attachments:	image005.gif
	Cover letter and Infringement Notices to Biologics Research Institute Australia Pty Ltd - 31 October
	2022.PDF
Dear <mark>S22</mark>	

Please find attached a letter and 10 infringement notices from the Therapeutic Goods Administration to Biologics Research Institute Australia Pty Ltd.

We ask that you confirm receipt of our correspondence by **5:00 PM** on **Tuesday 1 November 2022**.

Yours sincerely,

Investigator Advertising and Product Investigation Section Regulatory Compliance Branch

Phone: <mark>\$22</mark> Email: <mark>\$22 @health.gov.au</mark>

Therapeutic Goods Administration Australian Government, Department of Health and Aged Care PO Box 100 Woden ACT 2606 www.tga.gov.au

|--|

Important: This transmission is intended only for the use of the addressee and may contain confidential or legally privileged information. If you are not the intended recipient, you are notified that any use or dissemination of this communication is strictly prohibited. If you receive this transmission in error please notify the author immediately and delete all copies of this transmission.



Australian Government

Department of Health and Aged Care

Therapeutic Goods Administration

s22

Biologics Research Institute Australia Pty Ltd ACN 619 487 286 2 Barrack Street Sydney NSW 2000

Our Reference: AC-00000002822

By express post and Email at: <u>info@caclinics.com.au;</u> Cc: <u>info@caconnect.com.au</u> and <u>@caclinics.com.au</u>

31 October 2022

Dear <mark>s22</mark>

Infringement notices given to Biologics Research Institute Australia Pty Ltd Infringement Notice Amount Payment Due by

TGAIN- AC-000000002822/2022-1	\$13,320.00	29 November 2022
TGAIN- AC-000000002822/2022-2	\$13,320.00	29 November 2022
TGAIN- AC-000000002822/2022-3	\$13,320.00	29 November 2022
TGAIN- AC-000000002822/2022-4	\$13,320.00	29 November 2022
TGAIN- AC-000000002822/2022-5	\$13,320.00	29 November 2022
TGAIN- AC-000000002822/2022-6	\$13,320.00	29 November 2022
TGAIN- AC-000000002822/2022-7	\$13,320.00	29 November 2022
TGAIN- AC-00000002822/2022-8	\$13,320.00	29 November 2022
TGAIN- AC-000000002822/2022-9	\$13,320.00	29 November 2022
TGAIN- AC-00000002822/2022-10	\$13,320.00	29 November 2022

Total

\$ 133,200.00

- 1. I refer to our previous correspondence regarding this matter, including our letter to Biologics Research Institute Australia Pty Ltd (Biologics) dated 16 August 2022, written submissions from Biologics dated 30 August 2022 and financial information received 5 October 2022.
- 2. I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act).
- Having considered Biologics' submissions, I have decided to give 10 infringement notices (enclosed to this letter) to Biologics under Part 5A-2 of the Act, on the basis that I reasonably believe Biologics has contravened subsection 42DLB(1) of the Act, where subsections (2), (4), (6), and (7) applied.
- 4. The infringement notices are enclosed to this letter and include information on how to pay the penalty amount, how to request an extension of the compliance period for payment, how to request that the infringement notices be withdrawn, and the actions that we may take in the event of non-payment of the infringement notices. It is important that Biologics carefully read the infringement notices and the information contained within.



- 5. Infringement notices are an opportunity for Biologics to pay an amount as an alternative to having court proceedings brought against Biologics in relation to the alleged contraventions described in each of the notices.
- 6. Biologics should be aware of the possible consequences for not paying an infringement notice. If Biologics chooses not to pay the penalty amount, proceedings may be brought against Biologics for the alleged contraventions described in the infringement notices. We note that the maximum civil penalty that a court can impose on a company for a contravention of subsection 42DLB(1) of the Act is \$11.1 million per contravention. In a recent decision by the Federal Court, non-payment of a single infringement notice issued against a company resulted in the court ordering a total of \$96,000 in penalty against both the company and its executive directors.¹
- 7. I note that there have been recent Federal Court decisions in which the Court has clearly stated that ignorance of, or inexperience with, the therapeutic goods framework is not an excuse.² Those who engage in businesses involving therapeutic goods have a responsibility to be aware of the laws that govern those products, and to comply with those from the outset.³
- 8. To avoid being liable for further contraventions of the Act, Biologics should immediately take steps to ensure that any compliance issues, including any beyond the scope of this letter, are identified and resolved, noting that we reserve our rights to take enforcement action in relation to any further non-compliance we may identify.
- 9. For more information about infringement notices, I refer Biologics to the following on the TGA website:
 - a. Information about infringement notices | Therapeutic Goods Administration (TGA).
 - b. Infringement notices | Therapeutic Goods Administration (TGA).

Delegate comments and concerns

- 10. While I am not required to provide reasons for giving an infringement notice under the Act, I offer the following comments to assist Biologics to understand why I have decided to take this particular action.
- 11. I note that Biologics has previously been made aware of the advertising restrictions under the Act on at least the following occasions:
 - a. In July 2020, Biologics were given a cease-and-desist letter in relation to the alleged unlawful advertising of medicinal cannabis products.
 - b. In November 2020, Biologics attended a meeting (video conference) with the TGA and were provided with guidance in relation to the advertising of medicinal cannabis products to the public.
 - c. In January 2021, Biologics were provided with further guidance relating to the advertising of medicinal cannabis products to the public.
- 12. I have reviewed the following platforms for which Biologics appear to be responsible:
 - a. <u>https://caclinics.com.au//</u> and <u>https://caconnect.com.au/</u> (the Websites)

¹ Enviro Tech Holdings and company officers ordered by the Federal Court to pay \$96,000 for unlawful importation of surgical face masks | Therapeutic Goods Administration (TGA).

² <u>Secretary, Department of Health v Enviro Tech Holdings Pty Ltd [2022] FCA 865 (fedcourt.gov.au)</u> at paragraph [76].

³ <u>Secretary, Department of Health v Oxymed Australia Pty Ltd [2021] FCA 1518 (fedcourt.gov.au)</u> at paragraph [251].

- b. https://www.facebook.com/caclinicsaus/
- c. https://www.facebook.com/profile.php?id=100077878614738/
- d. <u>https://www.instagram.com/caclinics//</u>
- e. <u>https://www.instagram.com/caconnectaustralia//</u>
- f. <u>https://twitter.com/caccessclinics?lang=en/</u>
- g. https://www.linkedin.com/company/caclinics//, and
- h. <u>https://www.linkedin.com/company/ca-connect-australia//</u> (collectively, the Social Media).
- 13. While not all information released to the public about therapeutic goods is advertising, I consider that the Websites and the Social Media unlawfully advertise medicinal cannabis products, being a class of therapeutic goods as defined in section 3(1) of the Act, for the following reasons:
 - a. The Websites and Social Media contain statements and pictorial representations which were intended, either directly or indirectly, to promote the use or supply of medicinal cannabis products. For example (but not limited to):
 - i. The webpages on the Websites and/or the posts on the Social Media (being advertisements) directed the reader to a means of accessing medicinal cannabis products. For example, having a prominently displayed link on the CA Clinics Website, which allowed consumers to book a telehealth appointment with a health practitioner, "specialised in medicinal cannabis."
 - ii. The use of hashtags in Social Media posts, a function which is predominantly used to promote the content, referring to medicinal cannabis such as '#medicinalcannabis', '#cbdoi,' #cbdoil'.
 - iii. The Websites and Social Media made unauthorised therapeutic claims, regarding the efficacy of medicinal cannabis products. For example, making references to serious forms of a disease, ailment or condition, in circumstances which inferred (directly or indirectly) that medicinal cannabis may be, or is, a suitable treatment for the medical condition mentioned.
 - b. The advertisements:
 - i. refer to substances which are included in Schedule 3, 4 or 8 to the current Poisons Standard but not in Appendix H of the current Poisons Standard
 - ii. contain prohibited representations, such as cancer, and/or restricted representations, such as multiple sclerosis and epilepsy, being references to serious forms of diseases, ailments and conditions without TGA permission or approval, and
 - iii. contain statements, pictorial representations or designs suggesting or implying the goods have been recommended or approved by or on behalf of a government authority.
- 14. As outlined in the written submissions dated 16 August 2022, amongst other steps taken by Biologics to come into compliance, significant changes appear to have been made to the Websites and Social Media, including the removal of numerous alleged contraventions. In addition, since at least 31 August 2022, Biologics have taken down several alleged noncompliant Social Media platforms including Twitter.
- 15. Each instance of non-compliant advertising on each day constitutes a separate contravention of the Act. We hold evidence that the contraventions as particularised in the infringement notices occurred from at least late April 2022 to at least 4 August 2022.

- 16. Taking into account the written submissions and changes made to the Website and Social Media, I have decided not to give Biologics infringement notices for all identified alleged contraventions of the Act at this time, including those:
 - a. occurred on the CA Connect Social Media platforms
 - b. occurred prior to 4 August 2022
 - c. arose from the same restricted or prohibited representations which were made across multiple platforms (Website and/or Social Media), and
 - d. arose from a reference to the same distinct medicinal cannabis products, which appeared multiple times on the Website and/or Social Media.

Please contact <u>\$22</u> by email at <u>\$22</u> <u>@health.gov.au</u> should Biologics wish to discuss this matter further.

Yours sincerely

Nicole McLay

Delegate of the Secretary of the Department of Health and Aged Care Therapeutic Goods Administration.





Australian Government

Department of Health and Aged Care

Therapeutic Goods Administration

Biologics Research Institute Australia Pty Ltd ACN 619 487 286 2 Barrack Street, Sydney NSW 2000

By Express Post and Email at: info@caclinics.com.au, info@caconnect.com.au Infringement Notice Number: TGAIN- AC-000000002822/2022-1

Date given: 31/10/2022

Penalty total: \$ 13,320.00

Payment due: 29/11/2022



INFRINGEMENT NOTICE GIVEN TO

Biologics Research Institute Australia Pty Ltd

PART A: Infringement Notice given by

Nicole McLay,

Delegate of the Secretary of the Australian Government Department of Health and Aged Care.

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Biologics Research Institute Australia Pty Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Biologics Research Institute Australia Pty Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(6) applied.

The details of the alleged contravention are that:

On 04 August 2022, Biologics Research Institute Australia Pty Ltd did advertise, or caused the advertising of, therapeutic goods, being medicinal cannabis products, on the web page located at <u>https://caclinics.com.au/medicinal-cannabis-programs/</u>.

The advertisement contained statements, suggesting or implying the goods have been recommended or approved by or on behalf of a government or government authority, other than a statement of the availability of the goods as a pharmaceutical benefit, or authorised or required by a government or government authority or prescribed by the regulations.

PO Box 100 Woden ACT 2606 ABN 40 939 406 804 Phone: 1800 020 653 or 02 6232 8644 Fax: 02 6232 8112 Email: info@tga.gov.au https://www.tga.gov.au



An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

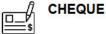
The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to $$11,100,000.^{1}$

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.



Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



CREDIT CARD

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN- AC-000000002822/2022-1 as reference to identify your payment



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN- AC-00000002822/2022-1 in the description of your transfer and allow two business days for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

¹ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

PART D: Information about this Infringement Notice

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability. For further information about infringement notices, including the publication of notices, please refer to the TGA website.²

Effect of failing to comply with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act may be brought against you in relation to the alleged contravention described in this notice.

Effect of the lapsing of the compliance period for the notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after

² Information about infringement notices | Therapeutic Goods Administration (TGA)

the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

- s22 <u>@health.gov.au</u>; or
- PO Box 100; WODEN ACT 2609

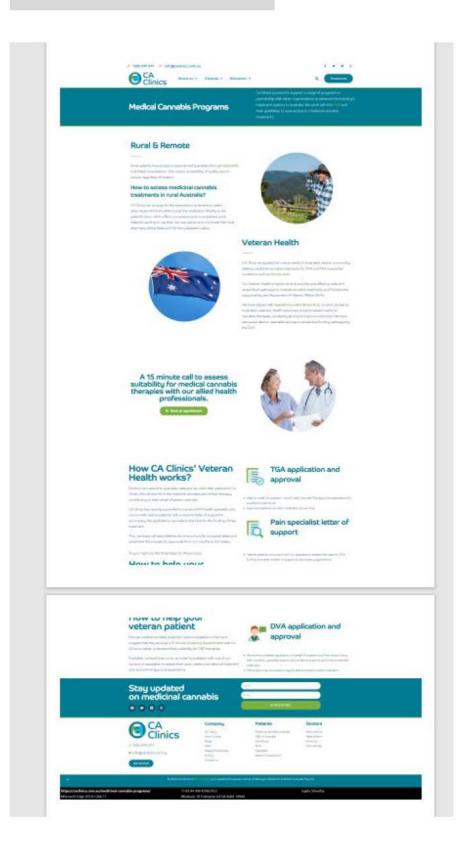
Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

Date: 31/10/2022

ANNEXURE A:







Australian Government

Department of Health and Aged Care

Therapeutic Goods Administration

Biologics Research Institute Australia Pty Ltd ACN 619 487 286 2 Barrack Street, Sydney NSW 2000

By Express Post and Email at: info@caclinics.com.au, info@caconnect.com.au Infringement Notice Number: TGAIN- AC-000000002822/2022-2

Date given: 31/10/2022

Penalty total: \$ 13,320.00

Payment due: 29/11/2022



INFRINGEMENT NOTICE GIVEN TO

Biologics Research Institute Australia Pty Ltd

PART A: Infringement Notice given by

Nicole McLay, Delegate of the Secretary of the Australian Government Department of Health and Aged Care.

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Biologics Research Institute Australia Pty Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Biologics Research Institute Australia Pty Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(4)(a) applied.

The details of the alleged contravention are that:

On 04 August 2022, Biologics Research Institute Australia Pty Ltd did advertise, or caused the advertising of, therapeutic goods, being medicinal cannabis products, on the web page located at <u>https://caclinics.com.au/medicinal-cannabis-conditions/</u>.

The advertisement contained a restricted representation, (whether in express terms or by necessary implication), being a reference to multiple sclerosis, about the goods. Neither an approval under section 42DF of the Act, nor a permission under section 42DK of the Act was in force in relation to the restricted representation.

PO Box 100 Woden ACT 2606 ABN 40 939 406 804 Phone: 1800 020 653 or 02 6232 8644 Fax: 02 6232 8112 Email: info@tga.gov.au https://www.tga.gov.au



An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

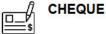
The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to $$11,100,000.^{1}$

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.



Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



CREDIT CARD

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN- AC-000000002822/2022-2 as reference to identify your payment



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN- AC-00000002822/2022-2 in the description of your transfer and allow two business days for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

¹ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

PART D: Information about this Infringement Notice

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability. For further information about infringement notices, including the publication of notices, please refer to the TGA website.²

Effect of failing to comply with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act may be brought against you in relation to the alleged contravention described in this notice.

Effect of the lapsing of the compliance period for the notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after

² Information about infringement notices | Therapeutic Goods Administration (TGA)

the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

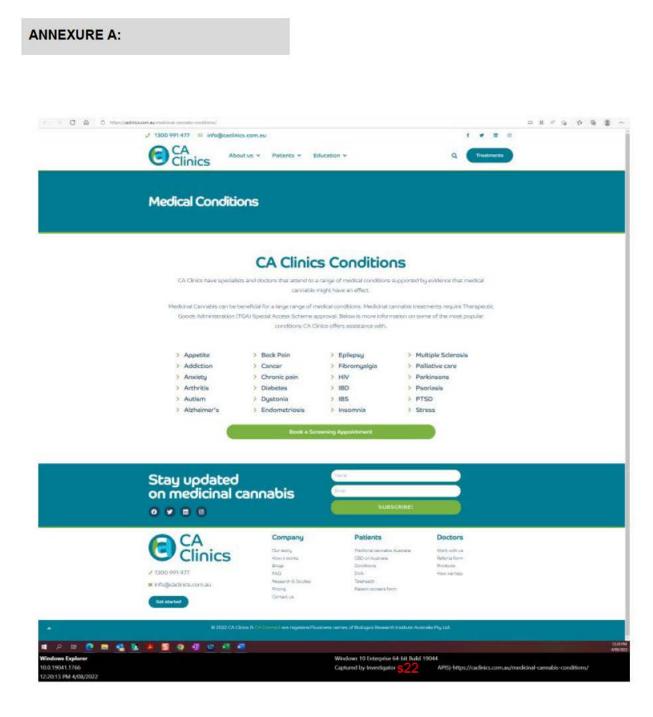
- s22 <u>@health.gov.au</u>; or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

Date: 31/10/2022







Australian Government

Department of Health and Aged Care

Therapeutic Goods Administration

Biologics Research Institute Australia Pty Ltd ACN 619 487 286 2 Barrack Street, Sydney NSW 2000

By Express Post and Email at: info@caclinics.com.au, info@caconnect.com.au Infringement Notice Number: TGAIN- AC-000000002822/2022-3

Date given: 31/10/2022

Penalty total: \$ 13,320.00

Payment due: 29/11/2022



INFRINGEMENT NOTICE GIVEN TO

Biologics Research Institute Australia Pty Ltd

PART A: Infringement Notice given by

Nicole McLay, Delegate of the Secretary of the Australian Government Department of Health and Aged Care.

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Biologics Research Institute Australia Pty Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Biologics Research Institute Australia Pty Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(2)(a) applied.

The details of the alleged contravention are that:

On 04 August 2022, Biologics Research Institute Australia Pty Ltd did advertise, or caused the advertising of, therapeutic goods, being medicinal cannabis products, on the web page located at https://www.facebook.com/caclinicsaus/photos/a.106596167731909/523336702724518/.

The advertisement contained a prohibited representation (whether in express terms or by necessary implication) about the goods, being a reference to human immunodeficiency virus (HIV). No permission under section 42DK of the Act was in force in relation to the prohibited representation.



An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

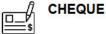
The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to $$11,100,000.^{1}$

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.



Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



CREDIT CARD

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN- AC-00000002822/2022-3 as reference to identify your payment



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN- AC-00000002822/2022-3 in the description of your transfer and allow two business days for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

¹ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

PART D: Information about this Infringement Notice

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability. For further information about infringement notices, including the publication of notices, please refer to the TGA website.²

Effect of failing to comply with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act may be brought against you in relation to the alleged contravention described in this notice.

Effect of the lapsing of the compliance period for the notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after

² Information about infringement notices | Therapeutic Goods Administration (TGA)

the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

- s22 <u>@health.gov.au</u>; or
- PO Box 100; WODEN ACT 2609

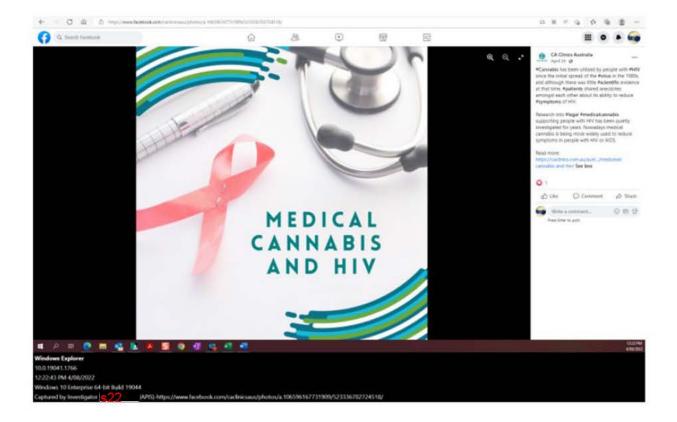
Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

Date: 31/10/2022

ANNEXURE A:







Australian Government

Department of Health and Aged Care

Therapeutic Goods Administration

Biologics Research Institute Australia Pty Ltd ACN 619 487 286 2 Barrack Street, Sydney NSW 2000

By Express Post and Email at: info@caclinics.com.au, info@caconnect.com.au Infringement Notice Number: TGAIN- AC-00000002822/2022-4

Date given: 31/10/2022

Penalty total: \$ 13,320.00

Payment due: 29/11/2022



INFRINGEMENT NOTICE GIVEN TO

Biologics Research Institute Australia Pty Ltd

PART A: Infringement Notice given by

Nicole McLay, Delegate of the Secretary of the Australian Government Department of Health and Aged Care.

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Biologics Research Institute Australia Pty Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Biologics Research Institute Australia Pty Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(7) applied.

The details of the alleged contravention are that:

On 04 August 2022, Biologics Research Institute Australia Pty Ltd did advertise, or caused the advertising of, therapeutic goods, being medicinal cannabis products, on the web page located at https://www.facebook.com/caclinicsaus/photos/a.106596167731909/523336702724518/.

The advertisement referred to goods containing substances included in Schedule 3, 4 or 8 to the <u>current Poisons Standard</u>, such as cannabidiol or cannabis, but not in Appendix H of the current Poisons Standard, other than a reference authorised or required by a government or government authority.



An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

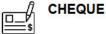
The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to $$11,100,000.^{1}$

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.



Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



CREDIT CARD

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN- AC-00000002822/2022-4 as reference to identify your payment



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN- AC-00000002822/2022-4 in the description of your transfer and allow two business days for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

¹ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability. For further information about infringement notices, including the publication of notices, please refer to the TGA website.²

Effect of failing to comply with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act may be brought against you in relation to the alleged contravention described in this notice.

Effect of the lapsing of the compliance period for the notice

² Information about infringement notices | Therapeutic Goods Administration (TGA)

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

- s22 <u>@health.gov.au</u>; or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

ANNEXURE A:







Department of Health and Aged Care

Therapeutic Goods Administration

Biologics Research Institute Australia Pty Ltd ACN 619 487 286 2 Barrack Street, Sydney NSW 2000

By Express Post and Email at: info@caclinics.com.au, info@caconnect.com.au Infringement Notice Number: TGAIN- AC-000000002822/2022-5

Date given: 31/10/2022

Penalty total: \$ 13,320.00

Payment due: 29/11/2022



INFRINGEMENT NOTICE GIVEN TO

Biologics Research Institute Australia Pty Ltd

PART A: Infringement Notice given by

Nicole McLay, Delegate of the Secretary of the Australian Government Department of Health and Aged Care.

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Biologics Research Institute Australia Pty Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Biologics Research Institute Australia Pty Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(4)(a) applied.

The details of the alleged contravention are that:

On 04 August 2022, Biologics Research Institute Australia Pty Ltd did advertise, or caused the advertising of, therapeutic goods, being medicinal cannabis products, on the web page located at <u>https://www.instagram.com/p/CcEwXP7LtqH/</u>.

The advertisement contained a restricted representation, (whether in express terms or by necessary implication), being a reference to epilepsy, about the goods. Neither an approval under section 42DF of the Act, nor a permission under section 42DK of the Act was in force in relation to the restricted representation.

PO Box 100 Woden ACT 2606 ABN 40 939 406 804 Phone: 1800 020 653 or 02 6232 8644 Fax: 02 6232 8112 Email: info@tga.gov.au https://www.tga.gov.au



An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

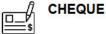
The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to $$11,100,000.^{1}$

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.



Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



CREDIT CARD

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN- AC-00000002822/2022-5 as reference to identify your payment



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN- AC-00000002822/2022-5 in the description of your transfer and allow two business days for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

¹ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability. For further information about infringement notices, including the publication of notices, please refer to the TGA website.²

Effect of failing to comply with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act may be brought against you in relation to the alleged contravention described in this notice.

Effect of the lapsing of the compliance period for the notice

² Information about infringement notices | Therapeutic Goods Administration (TGA)

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

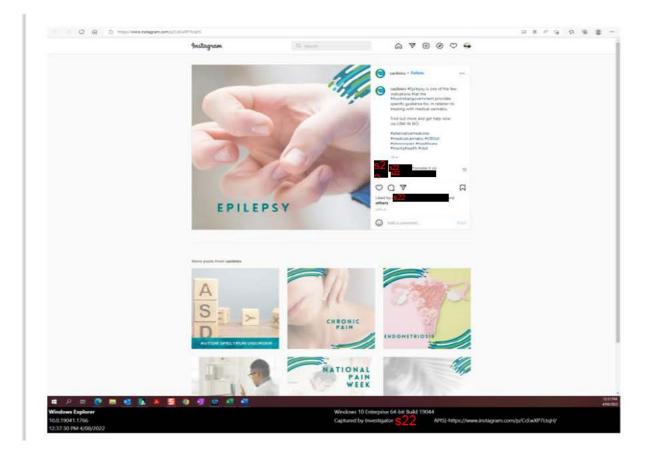
- s22 <u>@health.gov.au</u>; or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

ANNEXURE A:







Department of Health and Aged Care

Therapeutic Goods Administration

Biologics Research Institute Australia Pty Ltd ACN 619 487 286 2 Barrack Street, Sydney NSW 2000

By Express Post and Email at: info@caclinics.com.au, info@caconnect.com.au Infringement Notice Number: TGAIN- AC-000000002822/2022-6

Date given: 31/10/2022

Penalty total: \$ 13,320.00

Payment due: 29/11/2022



INFRINGEMENT NOTICE GIVEN TO

Biologics Research Institute Australia Pty Ltd

PART A: Infringement Notice given by

Nicole McLay, Delegate of the Secretary of the Australian Government Department of Health and Aged Care.

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Biologics Research Institute Australia Pty Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Biologics Research Institute Australia Pty Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(4)(a) applied.

The details of the alleged contravention are that:

On 04 August 2022, Biologics Research Institute Australia Pty Ltd did advertise, or caused the advertising of, therapeutic goods, being medicinal cannabis products, on the web page located at https://twitter.com/caccessclinics/status/1535026490005508115?cxt=HHwWpsC-mbadwc0gAAAA.

The advertisement contained a restricted representation, (whether in express terms or by necessary implication), being a reference to arthritis, about the goods. Neither an approval under section 42DF of the Act, nor a permission under section 42DK of the Act was in force in relation to the restricted representation.

PO Box 100 Woden ACT 2606 ABN 40 939 406 804 Phone: 1800 020 653 or 02 6232 8644 Fax: 02 6232 8112 Email: info@tga.gov.au https://www.tga.gov.au



An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

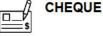
The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.¹

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

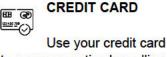
Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.



Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



to pay your notice by calling the Collector of Relevant Monies directly on **(02) 6289 1095**. Please include the infringement notice number TGAIN- AC-00000002822/2022-6 as reference to identify your payment



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN- AC-00000002822/2022-6 in the description of your transfer and allow two business days for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

¹ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability. For further information about infringement notices, including the publication of notices, please refer to the TGA website.²

Effect of failing to comply with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act may be brought against you in relation to the alleged contravention described in this notice.

Effect of the lapsing of the compliance period for the notice

² Information about infringement notices | Therapeutic Goods Administration (TGA)

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

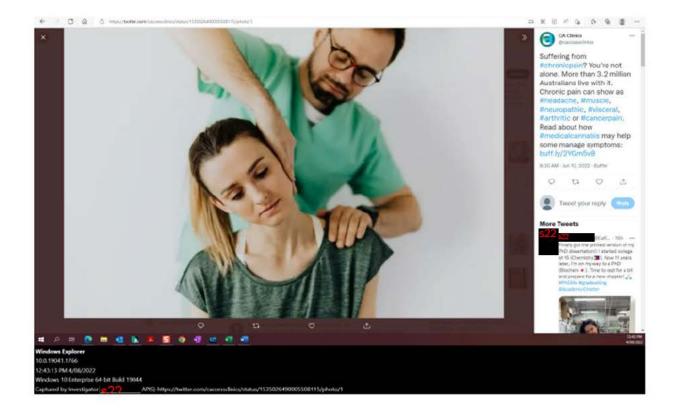
- s22 <u>@health.gov.au</u>; or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

ANNEXURE A:







Department of Health and Aged Care

Therapeutic Goods Administration

Biologics Research Institute Australia Pty Ltd ACN 619 487 286 2 Barrack Street, Sydney NSW 2000

By Express Post and Email at: info@caclinics.com.au, info@caconnect.com.au Infringement Notice Number: TGAIN- AC-00000002822/2022-7

Date given: 31/10/2022

Penalty total: \$ 13,320.00

Payment due: 29/11/2022



INFRINGEMENT NOTICE GIVEN TO

Biologics Research Institute Australia Pty Ltd

PART A: Infringement Notice given by

Nicole McLay, Delegate of the Secretary of the Australian Government Department of Health and Aged Care.

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Biologics Research Institute Australia Pty Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Biologics Research Institute Australia Pty Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(2)(a) applied.

The details of the alleged contravention are that:

On 4 August 2022, Biologics Research Institute Australia Pty Ltd did advertise, or caused the advertising of, therapeutic goods, being medicinal cannabis products, on the web page located at <u>https://caconnect.com.au/</u>.

The advertisement contained a prohibited representation (whether in express terms or by necessary implication) about the goods, being a reference to anxiety. No permission under section 42DK of the Act was in force in relation to the prohibited representation.

An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

PO Box 100 Woden ACT 2606 ABN 40 939 406 804 Phone: 1800 020 653 or 02 6232 8644 Fax: 02 6232 8112 Email: info@tga.gov.au https://www.tga.gov.au



The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.1

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.

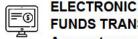


Return this notice to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



CREDIT CARD

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN- AC-00000002822/2022-7 as reference to identify your payment



FUNDS TRANSFER Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN- AC-00000002822/2022-7 in the description of your transfer and allow two business days for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

¹ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability. For further information about infringement notices, including the publication of notices, please refer to the TGA website.²

Effect of failing to comply with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act may be brought against you in relation to the alleged contravention described in this notice.

Effect of the lapsing of the compliance period for the notice

² Information about infringement notices | Therapeutic Goods Administration (TGA)

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

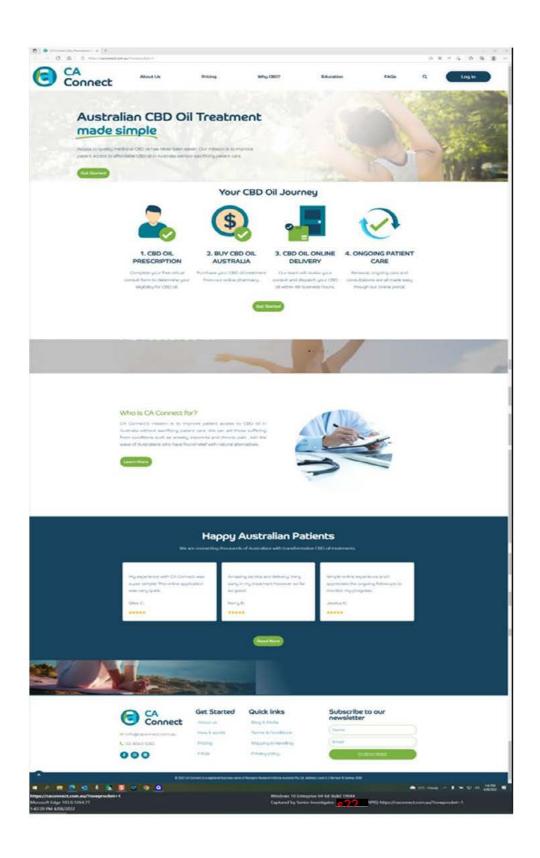
- s22 <u>@health.gov.au</u>; or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

ANNEXURE A:







Department of Health and Aged Care

Therapeutic Goods Administration

Biologics Research Institute Australia Pty Ltd ACN 619 487 286 2 Barrack Street, Sydney NSW 2000

By Express Post and Email at: info@caclinics.com.au, info@caconnect.com.au Infringement Notice Number: TGAIN- AC-000000002822/2022-8

Date given: 31/10/2022

Penalty total: \$ 13,320.00

Payment due: 29/11/2022



INFRINGEMENT NOTICE GIVEN TO

Biologics Research Institute Australia Pty Ltd

PART A: Infringement Notice given by

Nicole McLay, Delegate of the Secretary of the Australian Government Department of Health and Aged Care.

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Biologics Research Institute Australia Pty Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Biologics Research Institute Australia Pty Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(4)(a) applied.

The details of the alleged contravention are that:

On 4 August 2022, Biologics Research Institute Australia Pty Ltd did advertise, or caused the advertising of, therapeutic goods, being medicinal cannabis products, on the web page located at <u>https://caconnect.com.au/</u>.

The advertisement contained a restricted representation, (whether in express terms or by necessary implication), being a reference to chronic pain, about the goods. Neither an approval under section 42DF of the Act, nor a permission under section 42DK of the Act was in force in relation to the restricted representation.

PO Box 100 Woden ACT 2606 ABN 40 939 406 804 Phone: 1800 020 653 or 02 6232 8644 Fax: 02 6232 8112 Email: info@tga.gov.au https://www.tga.gov.au



An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

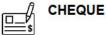
The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to $$11,100,000.^{1}$

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.



Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



CREDIT CARD

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN- AC-00000002822/2022-8 as reference to identify your payment



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN- AC-00000002822/2022-8 in the description of your transfer and allow two business days for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

¹ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability. For further information about infringement notices, including the publication of notices, please refer to the TGA website.²

Effect of failing to comply with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act may be brought against you in relation to the alleged contravention described in this notice.

Effect of the lapsing of the compliance period for the notice

² Information about infringement notices | Therapeutic Goods Administration (TGA)

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

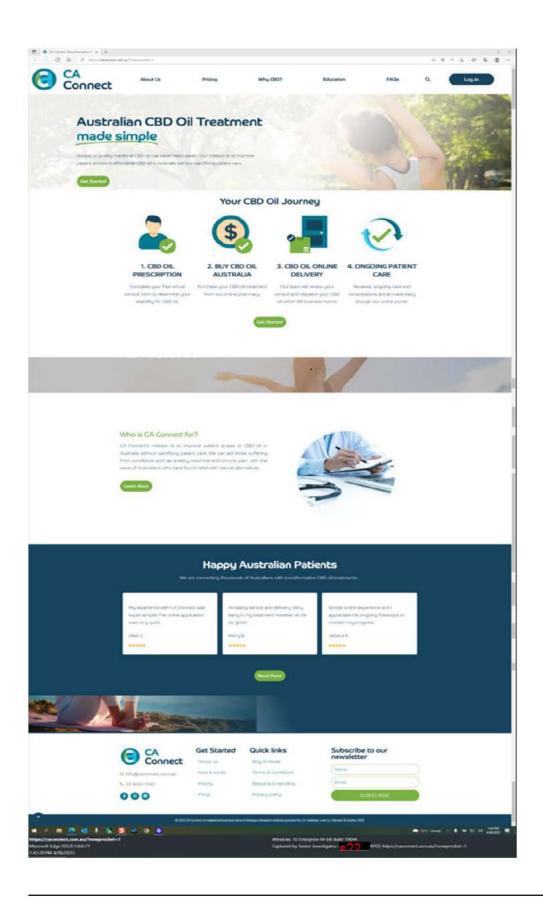
- s22 <u>@health.gov.au</u>; or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

ANNEXURE A:







Department of Health and Aged Care

Therapeutic Goods Administration

Biologics Research Institute Australia Pty Ltd ACN 619 487 286 2 Barrack Street, Sydney NSW 2000

By Express Post and Email at: info@caclinics.com.au, info@caconnect.com.au Infringement Notice Number: TGAIN- AC-000000002822/2022-9

Date given: 31/10/2022

Penalty total: \$ 13,320.00

Payment due: 29/11/2022



INFRINGEMENT NOTICE GIVEN TO

Biologics Research Institute Australia Pty Ltd

PART A: Infringement Notice given by

Nicole McLay,

Delegate of the Secretary of the Australian Government Department of Health and Aged Care.

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Biologics Research Institute Australia Pty Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Biologics Research Institute Australia Pty Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(4)(a) applied.

The details of the alleged contravention are that:

On 4 August 2022, Biologics Research Institute Australia Pty Ltd did advertise, or caused the advertising of, therapeutic goods, being medicinal cannabis products, on the web page located at <u>https://caconnect.com.au/why-cbd/</u>.

The advertisement contained a restricted representation, (whether in express terms or by necessary implication), being a reference to endometriosis, about the goods. Neither an approval under section 42DF of the Act, nor a permission under section 42DK of the Act was in force in relation to the restricted representation.

An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

PO Box 100 Woden ACT 2606 ABN 40 939 406 804 Phone: 1800 020 653 or 02 6232 8644 Fax: 02 6232 8112 Email: info@tga.gov.au https://www.tga.gov.au



The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.1

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.

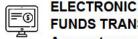


Return this notice to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



CREDIT CARD

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN- AC-00000002822/2022-9 as reference to identify your payment



FUNDS TRANSFER Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN- AC-00000002822/2022-9 in the description of your transfer and allow two business days for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

¹ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability. For further information about infringement notices, including the publication of notices, please refer to the TGA website.²

Effect of failing to comply with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act may be brought against you in relation to the alleged contravention described in this notice.

Effect of the lapsing of the compliance period for the notice

²Information about infringement notices | Therapeutic Goods Administration (TGA)

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

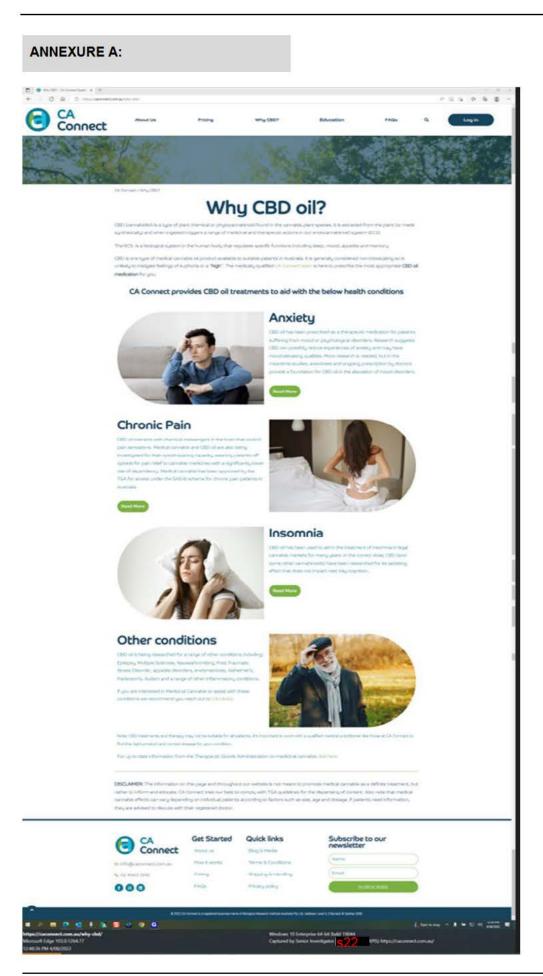
Written representations can be made by sending them directly to:

- s22 <u>@health.gov.au</u>; or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609







Department of Health and Aged Care

Therapeutic Goods Administration

Biologics Research Institute Australia Pty Ltd ACN 619 487 286 2 Barrack Street, Sydney NSW 2000

By Express Post and Email at: info@caclinics.com.au, info@caconnect.com.au Infringement Notice Number: TGAIN- AC-000000002822/2022-10

Date given: 31/10/2022

Penalty total: \$ 13,320.00

Payment due: 29/11/2022



INFRINGEMENT NOTICE GIVEN TO

Biologics Research Institute Australia Pty Ltd

PART A: Infringement Notice given by

Nicole McLay, Delegate of the Secretary of the Australian Government Department of Health and Aged Care.

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Biologics Research Institute Australia Pty Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Biologics Research Institute Australia Pty Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(2)(a) applied.

The details of the alleged contravention are that:

On 4 August 2022, Biologics Research Institute Australia Pty Ltd did advertise, or caused the advertising of, therapeutic goods, being medicinal cannabis products, on the web page located at <u>https://caconnect.com.au/why-cbd/</u>.

The advertisement contained a prohibited representation (whether in express terms or by necessary implication) about the goods, being a reference to post-traumatic stress disorder. No permission under section 42DK of the Act was in force in relation to the prohibited representation.



An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

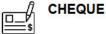
The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to $$11,100,000.^{1}$

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.



Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



CREDIT CARD

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN- AC-00000002822/2022-10 as reference to identify your payment



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN- AC-00000002822/2022-10 in the description of your transfer and allow two business days for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

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Compliance period

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- s22 <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability. For further information about infringement notices, including the publication of notices, please refer to the TGA website.²

Effect of failing to comply with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act may be brought against you in relation to the alleged contravention described in this notice.

Effect of the lapsing of the compliance period for the notice

² Information about infringement notices | Therapeutic Goods Administration (TGA)

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

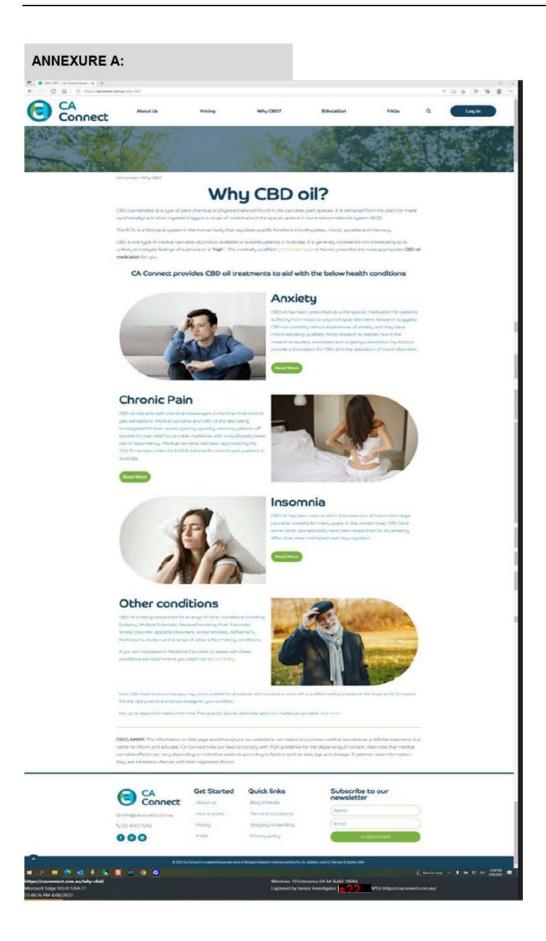
Written representations can be made by sending them directly to:

- s22 <u>@health.gov.au</u>; or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609



From: To:	s22 s22 @mgcpharma.com.au
Cc: Subject:	info@mgcpharma.eu; <mark>\$22 ; \$22 </mark>
Date: Attachments:	Tuesday, 16 August 2022 4:55:00 PM <u>image002.gif</u> <u>Letter from the TGA to MGC Pharmaceuticals Ltd dated 16 August 2022.pdf</u>

Dear s22

Please find **attached** a letter from the Therapeutic Goods Administration for your attention. We ask that you confirm receipt of this letter by **10:00 AM** on **Thursday 18 August 2022**.

Yours sincerely,

s22

Advertising and Product Investigations Section Regulatory Compliance Branch

Phone: s22 Email: s22 @health.gov.au

Therapeutic Goods Administration Australian Government, Department of Health and Aged Care PO Box 100 Woden ACT 2606 www.tga.gov.au

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Important: This transmission is intended only for the use of the addressee and may contain confidential or legally privileged information. If you are not the intended recipient, you are notified that any use or dissemination of this communication is strictly prohibited. If you receive this transmission in error please notify the author immediately and delete all copies of this transmission.



Department of Health and Aged Care Therapeutic Goods Administration

s22

MGC Pharmaceuticals Ltd 1202 Hay Street West Perth Western Australia, 6005

Our Reference: CC-MSD0IHC8/2019

By express post and email at: S22 @mgcpharma.com.au Cc: info@mgcpharma.eu

16 August 2022

Dear s22

Subject: Notice of intention to commence enforcement action

The Therapeutic Goods Administration (TGA) is part of the Australian Government Department of Health and Aged Care, and is responsible for regulating the safety, quality, efficacy and advertising of therapeutic goods in Australia under the *Therapeutic Goods Act 1989* (the Act).

The purpose of this letter is to provide MGC Pharmaceuticals Ltd (MGC) with an opportunity to make submissions before a decision is made about what enforcement action should be taken in relation to alleged unlawful advertising which appears on the following platforms and for which MGC appears to be responsible:

- <u>https://mgcphama.com.au/</u> (the Website)
- <u>https://twitter.com/mgc_pharma?lang=en</u>
- <u>https://www.instagram.com/mgc_pharma/</u>.
- <u>https://www.facebook.com/mgcpharmaceuticals/</u> (collectively, the Social Media).

The enforcement action being considered by the TGA includes issuing infringement notices for contraventions of the Act arising from the advertising on the Website and Social Media.

Our reference number for this matter is CC-MSD0IHC8/2019. We note that this correspondence, and the conduct it relates to, is separate and distinct from the TGA warning letter to MGC dated 13 July 2022 with TGA's reference number AC-00000003712.

Action required

We request that MGC take the following actions:

- 1. Confirm receipt of this notice within one business day from the time stamp on the covering email.
- 2. Carefully consider the information provided below.
- 3. Provide your submissions by **31 August 2022** as to:



- a. whether the advertising on the Website and Social Media summarised below (and any other content on the Website and Social Media containing similar references, statements or representations) contravenes the Act, if that is disputed; and
- b. any other matter which you wish the TGA to consider in deciding whether enforcement action should be taken in relation to the alleged unlawful advertising identified below.

Note: this notice canvasses some and not all of the alleged contraventions that we may pursue.

Summary of alleged contraventions

We have identified representations on the Website and the Social Media which we allege advertise therapeutic goods, being medicinal cannabis products, in circumstances where the advertisements:

- refer to goods that are not entered in the Australian Register of Therapeutic Goods (the Register);
- refer to substances, or goods containing substances, included in Schedule 3, 4 or 8 to the current Poisons Standard but not in Appendix H of the current Poisons Standard;
- contain prohibited representations and/or restricted representations (being references to serious forms of diseases, ailments and conditions) without TGA permission or approval;
- contain a reference to the Act; and/or
- contain a statement, pictorial representation or design suggesting or implying the goods have been recommended or approved by or on behalf of a government or government authority.

For example, we allege that the Website contains:

- 1. statements by Australian patients that the products 'MP1:1 25mg/ml CBD 25mg/ml THC' and 'MGC's CannEpil' are effective in the treatment of chronic back pain and Shingles
- 2. statements which refer to the use or effectiveness of medicinal cannabis products, including MGC products, to treat a range of medical conditions such as dementia, cancer and Crohn's disease.

In addition, we allege that the Social Media contains:

- 1. posts made on Instagram, Facebook and Twitter which allegedly advertise 'EXTRAX CBD Vape pens' which are described to have been 'successfully listed as an Unapproved Therapeutic Good' in Australia
- 2. a post made on Facebook which appears to promote 'TGC THC20 Whole Flower' and states that the good is 'available to patients in Australia'
- 3. posts made on Instagram and Twitter which appear to promote 'CannEpil®Plus', stating that the good is 'available through early patient access schemes in Australia' and 'used as a treatment for refractory epilepsy'.

Notice of intention to take enforcement action

We are writing to you to put MGC on notice of our intention to take enforcement action in relation to the alleged unlawful advertising on the Website and the Social Media of the kinds summarised above. That enforcement action will not be limited to the provided examples and may extend to any other unlawful advertising of the kinds identified above.

MGC's submissions will be taken into account by the delegate of the Secretary of the Australian Government Department of Health and Aged Care in exercising their discretion whether to take enforcement action against MGC. The action under consideration includes giving infringement

notices to MGC in relation to contraventions of the kind set out above on the Website and Social Media.

Please note that information about any compliance action taken against MGC may be published on the TGA website under subsection 61(5A) of the Act, consistent with our usual practice.

To avoid being liable for further contraventions of the Act, MGC should immediately take steps to ensure that any compliance issues, including any beyond the scope of this letter, are identified and resolved, noting we reserve our rights in relation to any further non-compliance that we identify. Should MGC remove any of the alleged unlawful advertising in response to this letter, we note that the delegate is not prevented from considering taking enforcement action against MGC.

Other information

- The import, export, manufacture, supply and advertising of therapeutic goods in Australia is subject to the requirements of the Act and the *Therapeutic Goods Regulation 1990*.
- It is illegal under section 42DL of the Act for a person to advertise or cause the advertising of therapeutic goods in circumstances where the advertisement:
 - refers to substances, or goods containing substances, included in Schedule 3, 4 or 8 to the current Poisons Standard but not in Appendix H of the current Poisons Standard, such as medicinal cannabis products, and that reference is not authorised by an Australian government or government authority
 - refers to therapeutic goods (being the Product) that are not entered in the Register nor subject to any exemption, approval or authority under the Act, and that reference is not authorised by an Australian government or government authority
 - contains a prohibited representation, such as cancer, and no permission is in force in relation to that prohibited representation
 - contains a restricted representation, such as chronic pain, and neither a permission nor a TGA approval is in force in relation to that restricted representation
 - contains a reference to the Act, other than in a statement of the registration number, listing number or device number of the goods
 - contains a statement, pictorial representation or design suggesting or implying the Product has been recommended or approved by or on behalf of a government or government authority, and no exception applies.
- A person who advertises or causes the advertising of therapeutic goods in the above circumstances may also be liable for civil penalties under section 42DLB of the Act.
- It is the responsibility of advertisers to ensure that their advertising material is compliant with the relevant legislation.
- MGC may also wish to refer to the following guidance provided by the TGA:
 - Advertising guidance for businesses involved in medicinal cannabis products
- If MGC requires help in understanding the advertising requirements, we recommend that MGC consider engaging a regulatory affairs consultant or a lawyer to assist it.
- The TGA takes non-compliance with the regulatory scheme seriously, especially where a breach of the advertising requirements has resulted in, or poses, a threat to public health and safety. A range of compliance and enforcement tools are available to address non-compliance and may include infringement notices, criminal or civil court proceedings, which can result in substantial penalties, fines, or imprisonment.

Please contact	s22	and <mark>\$22</mark>	by email at <mark>\$22</mark>	<u>@health.gov.au</u> and
s22	@health.gov.	au should you wish t	o discuss this matter furt	her.

Yours sincerely



Acting Assistant Secretary Regulatory Compliance Branch

From:	S22 MGC Pharma
То:	s22
Cc:	s22 ; s22 ; s22 ; s22 <u>MGC Pharma</u>
Subject:	FW: Letter from the Therapeutic Goods Administration to MGC Pharmaceuticals Ltd - CC-MSD0IHC8/2019 [SEC=OFFICIAL]
Date:	Friday, 26 August 2022 1:48:53 PM
Attachments:	Letter from the TGA to MGC Pharmaceuticals Ltd dated 16 August 2022.pdf

Dear <mark>s22</mark>

Thank you for you letter on 16 August 2022 bring attention to MGC pharmaceuticals alleged unlawful advertising on the Website and the Social Media. MGC has taken the following actions to address the issues and prevent any further potential concerns:

- 1. MGC Pharmaceuticals has reviewed the website and social media channels addressed in the attached letter and removed any items that could be perceived as a breach of the Act
- 2. MGC has implemented a more stringent review and approval of website and social media content moving forward.

If there are still any identified alleged breaches, please bring them to my attention directly and I will address them personally.

Much thanks,

s22



Pharmaceuticals Ltd - CC-MSD0IHC8/2019 [SEC=OFFICIAL]

Dear s22

Please find **attached** a letter from the Therapeutic Goods Administration for your attention.

We ask that you confirm receipt of this letter by **10:00 AM** on **Thursday 18 August 2022.**

Yours sincerely,

Advertising and Product Investigations Section

Regulatory Compliance Branch

Phone: s22 Email: s22 @health.gov.au

Therapeutic Goods Administration Australian Government, Department of Health and Aged Care PO Box 100 Woden ACT 2606 www.tga.gov.au

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Australian Government

Department of Health and Aged Care Therapeutic Goods Administration

s22

MGC Pharmaceuticals Ltd 1202 Hay Street West Perth Western Australia, 6005

Our Reference: CC-MSD0IHC8/2019

By express post and email at: S22 @mgcpharma.com.au Cc: info@mgcpharma.eu

16 August 2022

Dear^{\$2}

Subject: Notice of intention to commence enforcement action

The Therapeutic Goods Administration (TGA) is part of the Australian Government Department of Health and Aged Care, and is responsible for regulating the safety, quality, efficacy and advertising of therapeutic goods in Australia under the *Therapeutic Goods Act 1989* (the Act).

The purpose of this letter is to provide MGC Pharmaceuticals Ltd (MGC) with an opportunity to make submissions before a decision is made about what enforcement action should be taken in relation to alleged unlawful advertising which appears on the following platforms and for which MGC appears to be responsible:

- <u>https://mgcphama.com.au/</u> (the Website)
- <u>https://twitter.com/mgc_pharma?lang=en</u>
- <u>https://www.instagram.com/mgc_pharma/</u>.
- <u>https://www.facebook.com/mgcpharmaceuticals/</u> (collectively, the Social Media).

The enforcement action being considered by the TGA includes issuing infringement notices for contraventions of the Act arising from the advertising on the Website and Social Media.

Our reference number for this matter is CC-MSD0IHC8/2019. We note that this correspondence, and the conduct it relates to, is separate and distinct from the TGA warning letter to MGC dated 13 July 2022 with TGA's reference number AC-00000003712.

Action required

We request that MGC take the following actions:

- 1. Confirm receipt of this notice within one business day from the time stamp on the covering email.
- 2. Carefully consider the information provided below.
- 3. Provide your submissions by **31 August 2022** as to:



- a. whether the advertising on the Website and Social Media summarised below (and any other content on the Website and Social Media containing similar references, statements or representations) contravenes the Act, if that is disputed; and
- b. any other matter which you wish the TGA to consider in deciding whether enforcement action should be taken in relation to the alleged unlawful advertising identified below.

Note: this notice canvasses some and not all of the alleged contraventions that we may pursue.

Summary of alleged contraventions

We have identified representations on the Website and the Social Media which we allege advertise therapeutic goods, being medicinal cannabis products, in circumstances where the advertisements:

- refer to goods that are not entered in the Australian Register of Therapeutic Goods (the Register);
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For example, we allege that the Website contains:

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- 2. statements which refer to the use or effectiveness of medicinal cannabis products, including MGC products, to treat a range of medical conditions such as dementia, cancer and Crohn's disease.

In addition, we allege that the Social Media contains:

- 1. posts made on Instagram, Facebook and Twitter which allegedly advertise 'EXTRAX CBD Vape pens' which are described to have been 'successfully listed as an Unapproved Therapeutic Good' in Australia
- 2. a post made on Facebook which appears to promote 'TGC THC20 Whole Flower' and states that the good is 'available to patients in Australia'
- 3. posts made on Instagram and Twitter which appear to promote 'CannEpil®Plus', stating that the good is 'available through early patient access schemes in Australia' and 'used as a treatment for refractory epilepsy'.

Notice of intention to take enforcement action

We are writing to you to put MGC on notice of our intention to take enforcement action in relation to the alleged unlawful advertising on the Website and the Social Media of the kinds summarised above. That enforcement action will not be limited to the provided examples and may extend to any other unlawful advertising of the kinds identified above.

MGC's submissions will be taken into account by the delegate of the Secretary of the Australian Government Department of Health and Aged Care in exercising their discretion whether to take enforcement action against MGC. The action under consideration includes giving infringement

notices to MGC in relation to contraventions of the kind set out above on the Website and Social Media.

Please note that information about any compliance action taken against MGC may be published on the TGA website under subsection 61(5A) of the Act, consistent with our usual practice.

To avoid being liable for further contraventions of the Act, MGC should immediately take steps to ensure that any compliance issues, including any beyond the scope of this letter, are identified and resolved, noting we reserve our rights in relation to any further non-compliance that we identify. Should MGC remove any of the alleged unlawful advertising in response to this letter, we note that the delegate is not prevented from considering taking enforcement action against MGC.

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 - refers to therapeutic goods (being the Product) that are not entered in the Register nor subject to any exemption, approval or authority under the Act, and that reference is not authorised by an Australian government or government authority
 - contains a prohibited representation, such as cancer, and no permission is in force in relation to that prohibited representation
 - contains a restricted representation, such as chronic pain, and neither a permission nor a TGA approval is in force in relation to that restricted representation
 - contains a reference to the Act, other than in a statement of the registration number, listing number or device number of the goods
 - contains a statement, pictorial representation or design suggesting or implying the Product has been recommended or approved by or on behalf of a government or government authority, and no exception applies.
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- It is the responsibility of advertisers to ensure that their advertising material is compliant with the relevant legislation.
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 - Advertising guidance for businesses involved in medicinal cannabis products
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- The TGA takes non-compliance with the regulatory scheme seriously, especially where a breach of the advertising requirements has resulted in, or poses, a threat to public health and safety. A range of compliance and enforcement tools are available to address non-compliance and may include infringement notices, criminal or civil court proceedings, which can result in substantial penalties, fines, or imprisonment.

Please contact	s22	and <mark>s22</mark>	by email at	s22	<u>@health.gov.au</u> and
s22	@health.gov.a	<mark>u</mark> should y	you wish to discuss thi	is matter furth	er.

Yours sincerely



Acting Assistant Secretary Regulatory Compliance Branch

From: To:	S22 MCLAY, Nicole
Cc: Subject:	\$22 ; \$2 ; \$22 ; \$2 ; \$22 ; \$2 ; \$2 ; \$2 ; \$2 ; \$; \$22 ; \$; \$22 ; \$; \$; \$22 ; \$
Date: Attachments:	Friday, 9 September 2022 4:14:09 PM image002.png image003.png image004.gif image005.png image006.jpg image007.gif MGC - Letter to TGA - 9 Sep 2022.pdf

REMINDER: Think before you click! This email originated from outside our organisation. Only click links or open attachments if you recognise the sender and know the content is safe.

HI Nicole

Please see the attached letter further to your correspondence with **s22**, below.

Kind regards <mark>s22</mark>



From: MCLAY, Nicole <<u>Nicole.McLay@health.gov.au</u>> Sent: Friday, 2 September 2022 8:42 AM



Subject: RE: Letter from the Therapeutic Goods Administration to MGC Pharmaceuticals Ltd - CC-MSD0IHC8/2019 [SEC=OFFICIAL]

Thanks <mark>s22</mark>

I agree to the extension as requested.

I'm pleased that you'll be working with MGC Pharma to potentially amend their online content. While submissions should address any changes made or planned, and this will be taken into account, the TGA reserves the right to take action in relation to alleged findings

of past offences. In that regard submissions may also consider whether MGC Pharma consider our findings to be invalid.

I look forward to hearing from you. Kind regards Nicole

Nicole McLay

Assistant Secretary, Regulatory Compliance

Regulatory Practice and Support Division | Health Products Regulation Group Regulatory Compliance Branch Australian Government Department of Health and Aged Care T: 02 6289 3637 | E: <u>nicole.mclay@health.gov.au</u> Location: 27 Scherger Drive, Fairbairn, ACT PO Box 100, Woden ACT 2606, Australia



The Department of Health and Aged Care acknowledges the Traditional Custodians of Australia and their continued connection to land, sea and community. We pay our respects to all Elders past and present.



Subject: RE: Letter from the Therapeutic Goods Administration to MGC Pharmaceuticals Ltd - CC-MSD0IHC8/2019 [SEC=OFFICIAL]

REMINDER: Think before you click! This email originated from outside our organisation. Only click links or open attachments if you recognise the sender and know the content is safe.

Good afternoon ⁹²². We have been instructed by MGC Pharma to provide advice in relation to recent letters received from the TGA (reference numbers AC-000000003712 and CC-MSD0IHC8/2019). I note your email below granting an extension of 2 days in which to make written submissions. However, as the correspondence pertaining to this matter was only provided to us today, we respectfully request that my client be afforded an extension of another week in which to respond, to allow us to advise them appropriately. Our advice will naturally prioritise a review of the MGC website and social media sites referred to in your correspondence relating to matter CC-MSD0IHC8/2019.

As there is no apparent urgency which would require our client to respond by tomorrow, I would be grateful if the timeframe in which to respond could be extended as requested, noting that our intention is to provide every assistance to our client to address any regulatory concerns raised by the TGA's letters. We look forward to your response.

Kind regards

s2	2
	From: s22 MGC Pharma <s22 @mgcpharma.eu=""></s22>
	Sent: Thursday, 1 September 2022 5:22 PM

To: ^{s22} | MGC Pharma <<u>\$22</u> @mgcpharma.eu> Subject: Letter from the Therapeutic Goods Administration to MGC Pharmaceuticals Ltd - CC-

MSD0IHC8/2019 [SEC=OFFICIAL]

Good news in the last hour the TGA has granted the extension **until close of business (5pm)** Friday 2 September 2022



Subject: RE: FW: Letter from the Therapeutic Goods Administration to MGC Pharmaceuticals Ltd - CC-MSD0IHC8/2019 [SEC=OFFICIAL]

Dear <mark>s22</mark>

I write in relation to your request for an extension until 6 September 2022, to provide your submissions regarding the proposed enforcement action outlined in our letter dated 16 August 2022.

The delegate considered your request and has agreed to allow you **until close of business (5pm) Friday 2 September 2022** to provide your written submissions.

Please provide your submission(s) by the above due date and time for the delegates consideration.

Kind Regards,



Advertising and Product Investigation Section Regulatory Compliance Branch

Phone: <mark>\$22</mark> Email: <mark>\$22 @health.gov.au</mark>

Therapeutic Goods Administration Australian Government, Department of Health and Aged Care PO Box 100 Woden ACT 2606 www.tga.gov.au

the author immediately and delete all copies of this transmission.

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From: <mark>s22</mark>		
Sent: Wednesday	y, 31 August 2022 4:37 PM	
To: <mark>s22</mark>	MGC Pharma' < <mark>s22</mark>	@mgcpharma.eu>
Cc: <mark>\$22</mark> < <mark>\$22</mark>	<u>@Health.gov.au</u> >; <mark>\$22</mark>	
< <mark>s22</mark>	<u>@Health.gov.au</u> >; <mark>\$22</mark>	<s22 <u="">@Health.gov.au></s22>

Subject: RE: FW: Letter from the Therapeutic Goods Administration to MGC Pharmaceuticals Ltd - CC-MSD0IHC8/2019 [SEC=OFFICIAL]



Thank you for your email.

We have put forward your request for an extension of time to the delegate for consideration. However, given the short notice, it is difficult for us to advise you if a decision has been made before close of business today.

We will be in contact once a decision has been made.

Kind regards,

s22

Advertising and Product Investigation Section Regulatory Compliance Branch

Phone: <mark>\$22</mark> Email: <mark>\$22 @health.gov.au</mark>

Therapeutic Goods Administration Australian Government, Department of Health and Aged Care PO Box 100 Woden ACT 2606 www.tga.gov.au



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 From:
 S22
 @mgcpharma.eu>

 Sent:
 Tuesday, 30 August 2022 5:20 PM

To: s22 <s22 @Health.gov.au>

Subject: RE: FW: Letter from the Therapeutic Goods Administration to MGC Pharmaceuticals Ltd - CC-MSD0IHC8/2019 [SEC=OFFICIAL]

REMINDER: Think before you click! This email originated from outside our organisation. Only click links or open attachments if you recognise the sender and know the content is safe.

Hi<mark>s22</mark>

MGC is preparing a formal response to the letter dated August 16th. Can we have an extension

please for 1 week until September 6th as we are addressing each item individually.

Much thanks,

s22

From: <mark>s22</mark>	< <mark>s22</mark> <u>@He</u>	<u>alth.gov.au</u> >	
Sent: Monday, 29 Au	ugust 2022 10:51 AM		
To: <mark>s22</mark>	MGC Pharma < <mark>s22</mark>	@mgcpharr	<u>ma.eu</u> >
Cc: <mark>s22 <s22< mark=""></s22<></mark>	<u>@Health.gov.au</u> >; <mark>s22</mark>		
< <mark>s22</mark>	<u>@Health.gov.au</u> >; <mark>s22</mark>	< <mark>s2</mark> 2	<u>@Health.gov.au</u> >; <mark>s22</mark>
s22 MGC Pharm	na < <mark>s22</mark> @mgcpharma.eu>		

Subject: RE: FW: Letter from the Therapeutic Goods Administration to MGC Pharmaceuticals Ltd - CC-MSD0IHC8/2019 [SEC=OFFICIAL]



Thank you for your email, and for notifying us of the actions taken by MGC Pharmaceuticals following our letter dated 16 August 2022.

Could you please confirm whether MGC Pharmaceuticals intends to make written submissions, as referred to in our 16 August letter, in addition to your email of 26 August 2022?

Kind regards,

s22 Advertising and Product Investigation Section Regulatory Compliance Branch

Phone: <mark>\$22</mark> Email: <mark>\$22 @health.gov.au</mark>

Therapeutic Goods Administration Australian Government, Department of Health and Aged Care PO Box 100 Woden ACT 2606 www.tga.gov.au

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From: <mark>s22</mark>	MGC Pharma < <mark>s22</mark>	@mgcpharma.eu>
Sent: Friday, 26 August 202	22 1:48 PM	
To: <mark>\$22</mark>	<u>@Health.gov.au</u>	<u>1</u> >
Cc: s22 @Hea	alth.gov.au>; <mark>\$22</mark>	
<u>@Hea</u>	alth.gov.au>; <mark>s22</mark>	<s22 <u="">@Health.gov.au>; s22</s22>
<mark>s22</mark> MGC Pharma < <mark>s2</mark>	2 <u>@mgcpharma.eu</u> >	

Subject: TRIM: FW: Letter from the Therapeutic Goods Administration to MGC Pharmaceuticals Ltd - CC-MSD0IHC8/2019 [SEC=OFFICIAL]

Thank you for you letter on 16 August 2022 bring attention to MGC pharmaceuticals alleged unlawful advertising on the Website and the Social Media. MGC has taken the following actions to address the issues and prevent any further potential concerns:

- 1. MGC Pharmaceuticals has reviewed the website and social media channels addressed in the attached letter and removed any items that could be perceived as a breach of the Act
- 2. MGC has implemented a more stringent review and approval of website and social media content moving forward.

If there are still any identified alleged breaches, please bring them to my attention directly and I will address them personally.

Much thanks,

s22

From: s22 <s22 @health.gov.au=""></s22>
Date: Tuesday, 16 August 2022 at 09:56
To: s22 MGC Pharma <s22 @mgcpharma.eu=""></s22>
Cc: MGC Pharmaceuticals Ltd < <u>info@mgcpharma.eu</u> >, <mark>\$22</mark>
<s22 <u="">@Health.gov.au>, s22</s22>
< <mark>s22</mark> @Health.gov.au>, <mark>s22</mark>
<s22 @health.gov.au=""></s22>

Subject: Letter from the Therapeutic Goods Administration to MGC Pharmaceuticals Ltd - CC-MSD0IHC8/2019 [SEC=OFFICIAL]

Dear <mark>s22</mark>,

Please find **attached** a letter from the Therapeutic Goods Administration for your attention.

We ask that you confirm receipt of this letter by **10:00 AM** on **Thursday 18 August 2022.**

Yours sincerely,

s22 Advertising and Product Investigations Section Regulatory Compliance Branch

Phone: <mark>\$22</mark> Email: <mark>\$22 @health.gov.au</mark>

Therapeutic Goods Administration Australian Government, Department of Health and Aged Care PO Box 100 Woden ACT 2606 www.tga.gov.au

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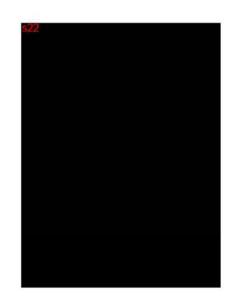
"Important: This transmission is intended only for the use of the addressee and may contain confidential or legally privileged information. If you are not the intended recipient, you are notified that any use or dissemination of this communication is strictly prohibited. If you receive this transmission in error please notify the author immediately and delete all copies of this transmission."

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9 September 2022

Ms Nicole McLay Assistant Secretary Australian Government Department of Health and Aged Care Regulatory Education and Compliance Branch Therapeutic Goods Administration PO Box 100 WODEN ACT 2606



Email: nicole.mclay@health.gov.au

Dear Ms McLay

Regulatory Compliance Issues - Advertising of Therapeutic Goods: TGA Reference Numbers AC-000000003712 and CC-MSD0IHC8/2019

- As you are aware, we act for MGC Pharmaceuticals Ltd (MGC).
- Thank you for your email dated 2 September 2022 and for providing our client with the opportunity to make submissions in response to letters (Letters) from the TGA dated 13 July 2022 (reference number AC-00000003712) and 16 August 2022 (reference number CC-MSD0IHC8/2019), which raised concerns regarding potential contraventions of the advertising provisions of the *Therapeutics Goods Act 1989* (TG Act).
- In response to the Letters, MGC has retained us to provide them with regulatory compliance advice pertaining to advertising on their website and the social media accounts they control on Twitter, Instagram and Facebook (collectively, the Sites).
- 4. In this context, we acknowledge the TGA's position with respect to websites that publish information globally that compliance with another country's laws and an intention to advertise only to residents of that country does not relieve the website operator of its obligation to comply with Australian regulatory requirements if the advertising reaches an Australian audience. This is particularly so when the advertiser and operator of the website is an Australian legal entity or person.
- 5. As a result of the Letters, and our advice regarding the concerns raised in the Letters and our review of the Sites, MGC has taken <u>immediate</u> action to place the Sites into maintenance, which means that they are no longer accessible to the public. We are instructed that public access to the Sites will not be restored until amendments have been made to the content on the Sites, to ensure that all content complies with therapeutic goods advertising laws.

NOTICE

The information contained in this email/facsimile is confidential and intended only for the use of the addressee and it may also be privileged. If you are not the intended recipient, any use, disclosure or copying is prohibited. If you have received this email/facsimile in error, please telephone the sender and return it by mail to the sender.

- In addition, <u>\$47(6(1)(a)</u>, the third party owner of the <u>https://artemic.shop</u> website (Artemic Website), has at MGC's request agreed to geo-block – and has geo-blocked – access to the Artemic Website for Australian IP addresses.
- 7. Further to the above, MGC has engaged us to assist in the creation of a new internet, social media and advertising policy to ensure that:
 - (a) all advertising to the general public undergoes a stringent approval process involving several stages of review and authorisation by appropriate senior personnel (or external consultants) before being published; and
 - (b) there is a procedure in place to review, moderate and control content that is posted on social media sites by MGC or posted on those sites by the general public.
- 8. For good order, in relation to the amendments MGC intends to make to the Sites to take account of the TGA's concerns, it should be acknowledged that there are 'grey' areas in the assessment of what is and is not permissible under therapeutic goods advertising laws. Accordingly, whilst MGC will use its best endeavours to make amendments to the Sites to ameliorate the TGA's concerns, once the Sites are made public again, it invites and would welcome feedback from the TGA in a facilitative and collaborative manner if the TGA considers that residual concerns remain regarding MGC's advertising.

Prior Notices and Possible Enforcement Action

9. MGC regrets the concerns raised by the TGA in the Letters and wishes to assure the TGA that it takes its regulatory compliance obligations seriously. It is motivated to address those concerns and is committed to working with us to make amendments to the Sites in accordance with our frank advice and recommendations.

TGA Warning Letter – 13 July 2022

- 10. In respect of the TGA's 13 July 2022 Letter, MGC has reached agreement with street is the owner of the Artemic Website, to geo-block all Australian IP addresses. We confirm that we attempted to access the Artemic Website on the date of this letter and it appears to have been geo-blocked when accessed from a regular browser window and after a slight delay of 2-3 seconds. (Please note that we are unable to confirm that the geo-blocking measures implemented by street) to date will universally prevent users in Australia from accessing the website, for example by the use of 'incognito' or 'private' browser settings, virtual private networks or other web access settings/functions/channels which render geo-blocking measures less effective).
- 11. Our client has also just requested 47G(1)(a) to geo-block for Australian IP addresses another Artemic-related website owned or operated by 47G(1)(a) at https://www.artemic.info (including the English language version of the site at https://www.artemic.info (including the English language version of the site at https://www.artemic.info (including the English language version of the site at https://www.artemic.info (including the English language version of the site at https://www.artemic.info/en), which it hopes will be implemented in the same way in the near future.

TGA Notice of Intention to Commence Enforcement Action Letter – 16 August 2022

- 12. In respect of the TGA's letter dated 16 August 2022, we note that MGC engaged us after it had already responded to that letter (by email dated 26 August 2022). We were engaged to assist MGC to overhaul its regulatory compliance standards and ensure it was fully addressing the TGA's concerns. In this regard, the concerns raised with respect to the specific advertisements referenced in the 16 August 2022 letter have, with the benefit of our advice, been re-evaluated by MGC as being so serious as to precipitate the decision to place the Sites into maintenance, while we work with the company to facilitate a thorough compliance review.
- 13. Noting the more positive responsive action described above, MGC wishes to acknowledge that the previous representatives of the company who responded to the Letters did so with insufficient insight into the company's regulatory compliance

obligations and the important role of the TGA's Advertising Compliance Branch. Our client appreciates that those responses may have come across as dismissive, for which it is deeply regretful; however, it assures the TGA that that was not the intention and does not reflect management's position.

Submissions Regarding Further Actions

- 14. A relevant consideration for the TGA in deciding whether any regulatory action ought to be taken against our client is that, from our observations, there is widespread confusion within the industry in relation to what is and is not permissible when it comes to advertising therapeutic goods. This is not helped by the ongoing situation where companies that are brought to account feel that they are being singled out and they question why the advertising playing field is not levelled by sanctioning all noncompliant advertising. Rightly or wrongly, the current modus operandi in the industry is to look at what others are doing and fall in line, based on the misconceived principle that there is 'safety in numbers'.
- 15. We and our client appreciate that the TGA has the invidious responsibility of regulating an industry where noncompliant advertising appears to have become a runaway train. However, it does not assist our client and, indeed, other players in the market to understand their regulatory obligations when there are so many companies engaging in unlawful advertising, many of which are engaging in much more egregious advertising than what the TGA has outlined in the Letters, with apparently no consequences.
- The above is not intended to in any way excuse potential contraventions by our client.
 \$47G(1)(a)

And the second s

- 17. There is a fine balance between running a commercial enterprise, meeting the obligations of shareholders, complying with disclosure obligations and complying with regulatory frameworks all over the world simultaneously. It is a task more suited, at times, to an expert juggler and tightrope walker. However, our client understands that the ultimate consideration is ensuring the health and safety of the public and, on that basis, acknowledges and appreciates that the Letters are intended to facilitate our client's compliance.
- 18. MGC can do no more to demonstrate how seriously it takes that TGA's concern than its decisive action to place the Sites into maintenance, noting that this action has been taken with global effect. For a commercial enterprise, that decision will undoubtedly have material commercial consequences. We are aware that there have been previous instances of more egregious advertising in the sector where the placement of the impugned website into maintenance has been regarded by the TGA as sufficient mitigation to avoid regulatory action being taken against the advertiser. We therefore ask that the TGA take this positive responsive action by MGC into account in its deliberations and respectfully request the same leniency be extended to MGC.
- 19. We hope that this letter demonstrates that MGC has appropriately acted on the TGA's concerns, has sought our expert advice about its regulatory obligations and has prudently placed the Sites into maintenance, while genuinely trying to understand where the boundaries lie with respect to advertising and where their internal processes have fallen short.
- 20. There is no evidence of any wilful, reckless or negligent conduct on the part of our client, nor any suggestion that our client intends to carry on in blatant disregard for therapeutic

goods advertising laws. At worst, the issue we are dealing with is one of insufficient competency in regulatory compliance and the confusion about regulatory boundaries in an industry that is rife with noncompliant conduct.

- 21. We respectfully request that the above submissions on behalf of MGC be taken into account in deciding whether any regulatory action ought to be taken against our client.
- 22. If you have any questions or require further information, please do not hesitate to contact s22 on +s22 or s22 or s

From:	s22
To:	s22 ; s22 <u>";</u> s22
Cc:	s22 ; s22 ; s22
Subject:	Infringement Notices from the Therapeutic Goods Administration to MGC Pharmaceuticals Ltd - CC- MSD0IHC8/2019 [SEC=OFFICIAL]
Date:	Tuesday, 27 September 2022 3:23:00 PM
Attachments:	image001.gif Cover Letter and Infringement Notices to MGC Pharmaceuticals Ltd - 27 September 2022.pdf

Dear <mark>s22</mark>

Please find attached a letter and 23 infringement notices from the Therapeutic Goods Administration to MGC Pharmaceuticals Ltd.

We ask that you confirm that you have instructions to accept service of the infringement notices on behalf of MGC Pharmaceuticals Ltd by **5:00 PM** on **Wednesday 28 September 2022**.

Yours sincerely,

S22 Advertising and Product Investigation Section Regulatory Compliance Branch Phone: S22 Email: S22 @health.gov.au

Therapeutic Goods Administration Australian Government, Department of Health and Aged Care PO Box 100 Woden ACT 2606 www.tga.gov.au

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Important: This transmission is intended only for the use of the addressee and may contain confidential or legally privileged information. If you are not the intended recipient, you are notified that any use or dissemination of this communication is strictly prohibited. If you receive this transmission in error please notify the author immediately and delete all copies of this transmission.



Australian Government

Department of Health and Aged Care Therapeutic Goods Administration

s22

MGC Pharmaceuticals Ltd 1202 Hay Street West Perth, WA 6005

Our Reference: CC-MSD0IHC8/2019

By Express Post and Email at:

27 September 2022

Dear <mark>s22</mark>

Infringement notices given to MGC Pharmaceuticals Ltd

Infringement Notice	Amount	Payment Due by
TGAIN-CC-MSD0IHC8/2022-1	\$13,320.00	26 October 2022
TGAIN-CC-MSD0IHC8/2022-2	\$13,320.00	26 October 2022
TGAIN-CC-MSD0IHC8/2022-3	\$13,320.00	26 October 2022
TGAIN-CC-MSD0IHC8/2022-4	\$13,320.00	26 October 2022
TGAIN-CC-MSD0IHC8/2022-5	\$13,320.00	26 October 2022
TGAIN-CC-MSD0IHC8/2022-6	\$13,320.00	26 October 2022
TGAIN-CC-MSD0IHC8/2022-7	\$13,320.00	26 October 2022
TGAIN-CC-MSD0IHC8/2022-8	\$13,320.00	26 October 2022
TGAIN-CC-MSD0IHC8/2022-9	\$13,320.00	26 October 2022
TGAIN-CC-MSD0IHC8/2022-10	\$13,320.00	26 October 2022
TGAIN-CC-MSD0IHC8/2022-11	\$13,320.00	26 October 2022
TGAIN-CC-MSD0IHC8/2022-12	\$13,320.00	26 October 2022
TGAIN-CC-MSD0IHC8/2022-13	\$13,320.00	26 October 2022
TGAIN-CC-MSD0IHC8/2022-14	\$13,320.00	26 October 2022
TGAIN-CC-MSD0IHC8/2022-15	\$13,320.00	26 October 2022
TGAIN-CC-MSD0IHC8/2022-16	\$13,320.00	26 October 2022
TGAIN-CC-MSD0IHC8/2022-17	\$13,320.00	26 October 2022
TGAIN-CC-MSD0IHC8/2022-18	\$13,320.00	26 October 2022
TGAIN-CC-MSD0IHC8/2022-19	\$13,320.00	26 October 2022
TGAIN-CC-MSD0IHC8/2022-20	\$13,320.00	26 October 2022
TGAIN-CC-MSD0IHC8/2022-21	\$13,320.00	26 October 2022
TGAIN-CC-MSD0IHC8/2022-22	\$13,320.00	26 October 2022
TGAIN-CC-MSD0IHC8/2022-23	\$13,320.00	26 October 2022
Total	\$ 306,360.00	

- 1. I refer to our previous correspondence regarding this matter, including our letter to MGC Pharmaceuticals Ltd (MGC) dated 16 August 2022, and submissions from MGC dated 9 September 2022.
- 2. I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act* 1989 (the Act).



- Having considered MGC's submissions, I have decided to give 23 infringement notices (enclosed to this letter) to MGC under Part 5A-2 of the Act, on the basis that I reasonably believe MGC has contravened subsection 42DLB(1) of the Act, where subsections (2), (4), (5), (6), (7) and (9) applied.
- 4. The infringement notices are enclosed to this letter and include information on how to pay the penalty amount, how to request an extension of the compliance period for payment, how to request that the infringement notices be withdrawn, and the actions that we may take in the event of non-payment of the infringement notices. It is important that MGC carefully read the infringement notices and the information contained within.
- 5. Infringement notices are an opportunity for MGC to pay an amount as an alternative to having court proceedings brought against MGC in relation to the alleged contraventions described in each of the notices.
- 6. MGC should be aware of the possible consequences for not paying an infringement notice. If MGC chooses not to pay the penalty amount, proceedings may be brought against MGC for the alleged contraventions described in the infringement notices. We note that the maximum civil penalty that a court can impose on a company for a contravention of subsection 42DLB(1) of the Act is \$11.1 million per contravention. In a recent decision by the Federal Court, non-payment of a single infringement notice issued against a company resulted in the court ordering a total of \$96,000 in penalty against both the company and its executive directors.¹
- 7. To avoid being liable for further contraventions of the Act, MGC should immediately take steps to ensure that any compliance issues, including any beyond the scope of this letter, are identified and resolved, noting that we reserve our rights to take enforcement action in relation to any further non-compliance we may identify.
- 8. For more information about infringement notices, I refer MGC to the following on the TGA website:
 - a. Information about infringement notices | Therapeutic Goods Administration (TGA).
 - b. Infringement notices | Therapeutic Goods Administration (TGA).

Delegate comments and concerns

- 9. While I am not required to provide reasons for giving an infringement notice under the Act, I offer the following remarks to assist MGC to understand why I have decided to take this particular action.
- 10. I note that there have been significant Federal Court decisions in which the Court has clearly stated that ignorance of, or inexperience with, the therapeutic goods framework is not an excuse.² Those who engage in businesses involving therapeutic goods have a responsibility to be aware of the laws that govern those products, and to comply with those from the outset.³
- 11. Additionally, I note that MGC has previously been made aware of the advertising prohibitions under the Act on at least three occasions:
 - a. in 2019, in relation to the alleged unlawful advertising of medicinal cannabis products at <u>https://stockhead.com.au/health/600-prescriptions-have-now-been-written-for-mgc-pharma-medicinal-cannabis-products/</u>.

¹ Enviro Tech Holdings and company officers ordered by the Federal Court to pay \$96,000 for unlawful importation of surgical face masks | Therapeutic Goods Administration (TGA).

² <u>Secretary, Department of Health v Enviro Tech Holdings Pty Ltd [2022] FCA 865 (fedcourt.gov.au)</u> at paragraph [76].

³ <u>Secretary, Department of Health v Oxymed Australia Pty Ltd [2021] FCA 1518 (fedcourt.gov.au)</u> at paragraph [251].

- in 2021, in relation to the alleged unlawful advertising of medicinal cannabis at <u>https://www.medicinalcannabisclinics.com.au/</u> and <u>https://www.youtube.com/channel/UCq0H4ww2hVs1_XyMbk_boRA</u> by one of MGC's subsidiaries, Medicinal Cannabis Clinics.
- c. in 2022, in relation to the alleged unlawful advertising of ArtemiC, which is purported to treat Coronavirus disease, at <u>https://artemic.shop/</u>.
- 12. I have reviewed the following platforms for which MGC appear to be responsible:
 - a. <u>https://mgcpharma.com.au/</u> (the Website)
 - b. <u>https://twitter.com/mgc_pharma/</u>
 - c. <u>https://instagram.com/mgc_pharma/</u>, and
 - d. <u>https://facebook.com/mgcpharmaceuticals/</u> (collectively, the Social Media).
- 13. While not all information released to the public about therapeutic goods is advertising, I consider that the Website and the Social Media unlawfully advertise medicinal cannabis products, as defined in section 3(1) of the Act, for the following reasons:
 - a. The Website and Social Media contain statements and pictorial representations which were intended, either directly or indirectly, to promote the use or supply of medicinal cannabis products. For example (but not limited to):
 - i. The Website contains statements presented to be testimonials from patients regarding the use of medicinal cannabis products to treat serious medical conditions such as chronic back pain and shingles.
 - ii. The use of hashtags in Social Media posts, a function which is predominantly used to promote the content, that referred to medicinal cannabis products such as #cbd #cbdproducts.
 - iii. The Website and Social Media made unauthorised therapeutic claims regarding the efficacy of medicinal cannabis. For example, making references to serious forms of a disease, ailment or condition, in circumstances which inferred (directly or indirectly) that medicinal cannabis may be, or is, a suitable treatment for the medical condition mentioned.
 - b. The advertisements:
 - i. refer to goods that are not entered in the Australian Register of Therapeutic Goods, such as the 'MP1:1 25mg/ml CBD 25mg/ml THC'.
 - ii. refer to substances which are included in Schedule 3, 4 or 8 to the current Poisons Standard but not in Appendix H of the current Poisons Standard
 - iii. contain prohibited representations, such as cancer, and/or restricted representations, such as shingles, being references to serious forms of diseases, ailments and conditions without TGA permission or approval
 - iv. contain a reference to the Act, and
 - v. contain a statement, pictorial representation or design suggesting or implying the goods have been recommended or approved by or on behalf of a government authority.
- 14. As outlined in its written submissions dated 9 September 2022, amongst other steps taken by MGC since receiving our letter of 16 August 2022, significant changes appear to have been made to the Website and Social Media, including the removal of all posts from its Instagram and Twitter pages, and suspension of the Website and its Facebook page.

- 15. Each instance of non-compliant advertising on each day constitutes a separate contravention of the Act. We hold evidence that the contraventions as particularised in the infringement notices occurred from at least late April 2022 to 1 August 2022.
- 16. Taking into account the written submissions and changes made to the Website and Social Media, I have decided not to give MGC infringement notices for all identified alleged contraventions of the Act at this time, including those:
 - a. occurring from April 2022 to 1 August 2022
 - b. in relation to the alleged unlawful advertising of the ArtemiC product which occurred on the Social Media, including in posts made following the correspondence referred to at paragraph [11.c.]
 - c. which occurred on the Social Media in posts made prior to December 2021
 - d. which appeared identical or sufficiently similar, to the advertising on another page of the Website or another Social Media post
 - e. arising from the same restricted or prohibited representation which was made multiple times on the Website and/or the Social Media, and
 - f. arising from a reference to the same distinct medicinal cannabis products, which appeared multiple times on the Website and/or the Social Media.
- 17. Please contact **s22** and **s22** by email at **s22** <u>@health.gov.au</u> and **s22** <u>@health.gov.au</u> should MGC wish to discuss this matter further.

Yours sincerely

Nicole McLay Delegate of the Secretary of the Department of Health and Aged Care Therapeutic Goods Administration



Australian Government

Department of Health and Aged Care Therapeutic Goods Administration

> Infringement Notice Number: TGAIN-CC-MSD0IHC8/2022-1

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

By Express Post and Email at:

MGC Pharmaceuticals Ltd

ACN 116 800 269

1202 Hay Street West Perth WA 6005



Enquiries:

\$22

Telephone: <mark>\$22</mark> Email: <mark>\$22 @health.gov.au</mark>

INFRINGEMENT NOTICE GIVEN TO MGC Pharmaceuticals Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to MGC Pharmaceuticals Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that MGC Pharmaceuticals Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(4) applied.

The details of the alleged contravention are that:

On 2 August 2022, MGC Pharmaceuticals Ltd did advertise, or caused the advertising of, a therapeutic good, being MP1:1 25mg/ml CBD 25mg/ml THC on the web page located at <u>https://mgcpharma.com.au/</u>.

The advertisement contained a restricted representation (whether in express terms or by necessary implication), being a reference to chronic pain, about the good. Neither an approval under section 42DF of the Act, nor a permission under section 42DK of the Act was in force in relation to the restricted representation.

PO Box 100 Woden ACT 2606 ABN 40 939 406 804 Phone: 1800 020 653 or 02 6289 4124 Fax: 02 6203 1605 Email: info@tga.gov.au https://www.tga.gov.au



An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.⁴

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

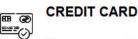
Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

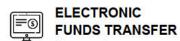
Please ensure that you allow time for your payment to be received by the due date.



Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-1 as reference to identify your



Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-1

in the description of your transfer and allow two business days for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

payment

⁴ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

PART D: Information about this Infringement Notice

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- · the effect of complying with this notice, and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act may be brought against you in relation to the alleged contravention described in this notice.

Effect of the lapsing of the compliance period for the notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

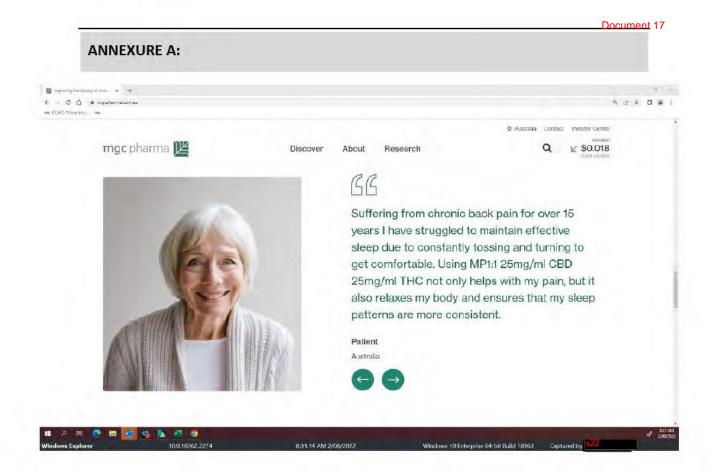
- s22 <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

Date: 27/09/2022





Australian Government

Department of Health and Aged Care Therapeutic Goods Administration

> Infringement Notice Number: TGAIN-CC-MSD0IHC8/2022-2

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

By Express Post and Email at:

MGC Pharmaceuticals Ltd

ACN 116 800 269

1202 Hay Street West Perth WA 6005



Enquiries:

s22

Telephone: <mark>\$22</mark> Email: <mark>\$22 @health.gov.au</mark>

MGC Pharmaceuticals Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to MGC Pharmaceuticals Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that MGC Pharmaceuticals Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(7) applied.

The details of the alleged contravention are that:

On 2 August 2022, MGC Pharmaceuticals Ltd did advertise, or caused the advertising of, a therapeutic good, being MP1:1 25mg/ml CBD 25mg/ml THC on the web page located at <u>https://mgcpharma.com.au/</u>.

The advertisement referred to a good containing substances included in Schedule 3, 4 or 8 to the current Poisons Standard, such as cannabidiol or cannabis, but not in Appendix H of the <u>current Poisons Standard</u>, other than a reference authorised or required by a government or government authority.

PO Box 100 Woden ACT 2606 ABN 40 939 406 804 Phone: 1800 020 653 or 02 6289 4124 Fax: 02 6203 1605 Email: info@tga.gov.au https://www.tga.gov.au



An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.⁵

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

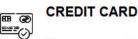
Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

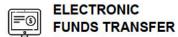
Please ensure that you allow time for your payment to be received by the due date.



Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-2 as reference to identify your payment



Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-2

in the description of your transfer and allow two business days for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

⁵ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

PART D: Information about this Infringement Notice

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- · the effect of complying with this notice, and
- · the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act may be brought against you in relation to the alleged contravention described in this notice.

Effect of the lapsing of the compliance period for the notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

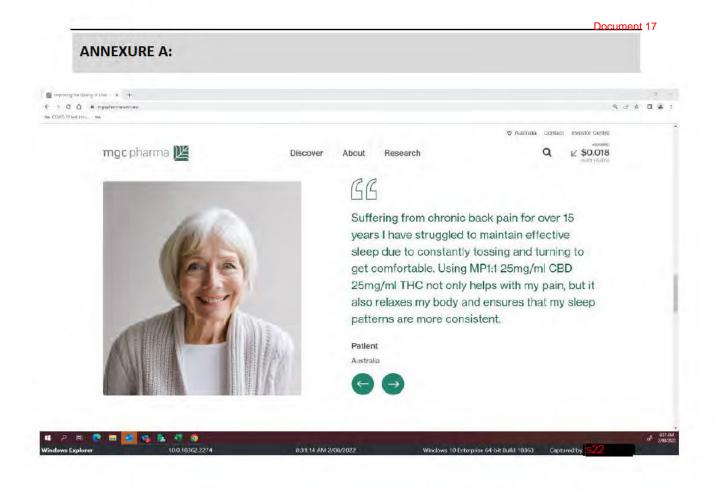
- s22 <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

Date: 27/09/2022





Department of Health and Aged Care Therapeutic Goods Administration

> Infringement Notice Number: TGAIN-CC-MSD0IHC8/2022-3

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

By Express Post and Email at:

MGC Pharmaceuticals Ltd

ACN 116 800 269

1202 Hay Street West Perth WA 6005



Enquiries:

Telephone: <mark>s22</mark> Email: <mark>s22 @health.gov.au</mark>

INFRINGEMENT NOTICE GIVEN TO MGC Pharmaceuticals Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to MGC Pharmaceuticals Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that MGC Pharmaceuticals Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(9) applied.

The details of the alleged contravention are that:

On 2 August 2022, MGC Pharmaceuticals Ltd did advertise, or caused the advertising of, a therapeutic good, being MP1:1 25mg/ml CBD 25mg/ml THC, on the web page located at <u>https://mgcpharma.com.au/</u>.

The advertisement referred to a therapeutic good that is not entered in the Australian Register of Therapeutic Goods and that is a prescribed good, being a therapeutic good that is neither the subject of an exemption, approval or authority under the Act. The reference to the prescribed good is not authorised or required by a government or government authority.



The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to $$11,100,000.^{6}$

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

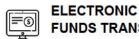
Please ensure that you allow time for your payment to be received by the due date.



Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-3 as reference to identify your payment



FUNDS TRANSFER Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-3

in the description of your transfer and allow two business days for payment to be received.

⁶ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice, and
- · the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

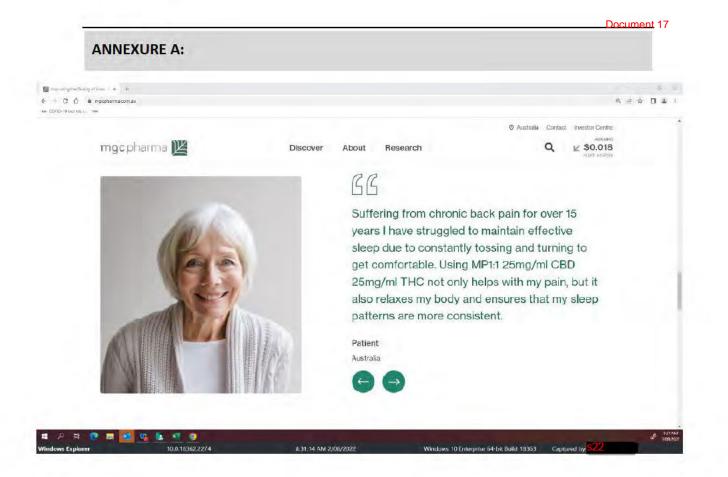
Written representations can be made by sending them directly to:

- s22 <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609





Department of Health and Aged Care Therapeutic Goods Administration

> Infringement Notice Number: TGAIN-CC-MSD0IHC8/2022-4

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

By Express Post and Email at:

MGC Pharmaceuticals Ltd

ACN 116 800 269

1202 Hay Street West Perth WA 6005



Enquiries:

\$22

Telephone: <mark>\$22</mark> Email: <mark>\$22 @health.gov.au</mark>

INFRINGEMENT NOTICE GIVEN TO

MGC Pharmaceuticals Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to MGC Pharmaceuticals Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that MGC Pharmaceuticals Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(4) applied.

The details of the alleged contravention are that:

On 2 August 2022, MGC Pharmaceuticals Ltd did advertise, or caused the advertising of, a therapeutic good, being CannEpil, on the web page located at https://mgcpharma.com.au/.

The advertisement contained a restricted representation, (whether in express terms or by necessary implication), being a reference to shingles, about the good. Neither an approval under section 42DF of the Act, nor a permission under section 42DK of the Act was in force in relation to the restricted representation.

PO Box 100 Woden ACT 2606 ABN 40 939 406 804 Phone: 1800 020 653 or 02 6289 4124 Fax: 02 6203 1605 Email: info@tga.gov.au https://www.tga.gov.au



The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.⁷

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

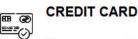
Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

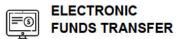
Please ensure that you allow time for your payment to be received by the due date.



Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-4 as reference to identify your payment



Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-4

in the description of your transfer and allow two business days for payment to be received.

⁷ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- · the effect of complying with this notice, and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

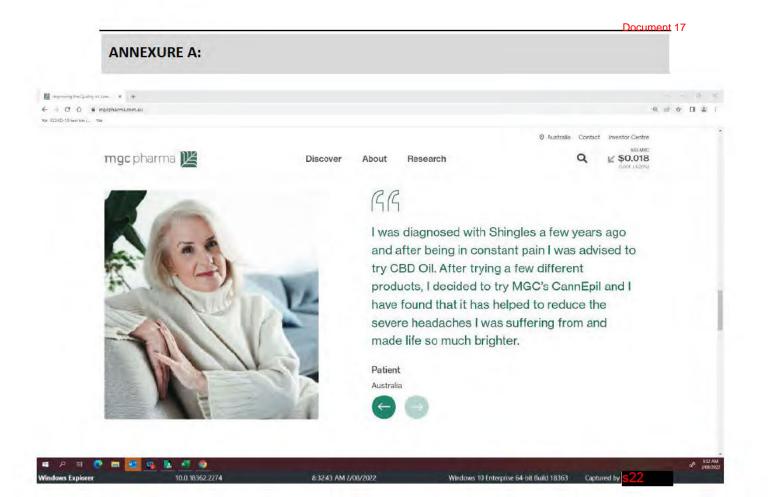
Written representations can be made by sending them directly to:

- s22 <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609





Department of Health and Aged Care Therapeutic Goods Administration

> Infringement Notice Number: TGAIN-CC-MSD0IHC8/2022-5

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

By Express Post and Email at:

MGC Pharmaceuticals Ltd

ACN 116 800 269

1202 Hay Street West Perth WA 6005



Enquiries:

s22

Telephone: <mark>\$22</mark> Email: <mark>\$22 @health.gov.au</mark>

INFRINGEMENT NOTICE GIVEN TO

MGC Pharmaceuticals Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to MGC Pharmaceuticals Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that MGC Pharmaceuticals Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(7) applied.

The details of the alleged contravention are that:

On 2 August 2022, MGC Pharmaceuticals Ltd did advertise, or caused the advertising of, a therapeutic good, being CannEpil, on the web page located at https://mgcpharma.com.au/.

The advertisement referred to a good containing substances included in Schedule 3, 4 or 8 to the current Poisons Standard, such as cannabidiol or cannabis, but not in Appendix H of the <u>current Poisons Standard</u>, other than a reference authorised or required by a government or government authority.

PO Box 100 Woden ACT 2606 ABN 40 939 406 804 Phone: 1800 020 653 or 02 6289 4124 Fax: 02 6203 1605 Email: info@tga.gov.au https://www.tga.gov.au



The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.⁸

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

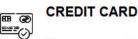
Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

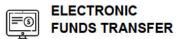
Please ensure that you allow time for your payment to be received by the due date.



Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-5 as reference to identify your payment



Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-5

in the description of your transfer and allow two business days for payment to be received.

⁸ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- · the effect of complying with this notice, and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

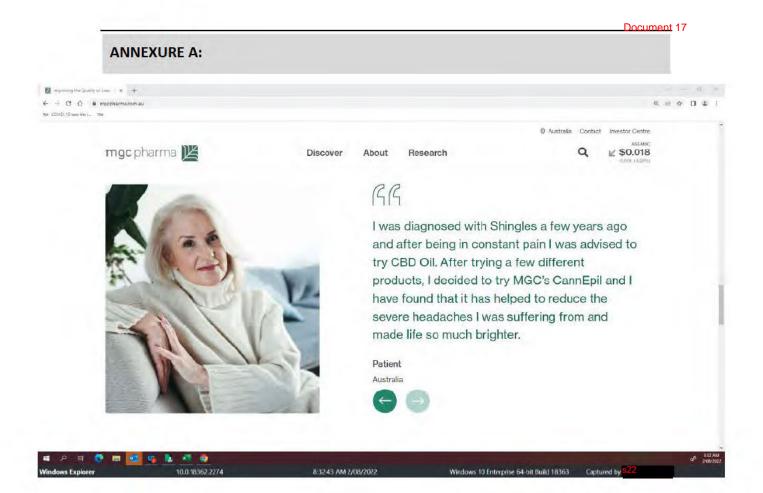
Written representations can be made by sending them directly to:

- s22 <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609





Department of Health and Aged Care Therapeutic Goods Administration

> Infringement Notice Number: TGAIN-CC-MSD0IHC8/2022-6

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

By Express Post and Email at:

MGC Pharmaceuticals Ltd

ACN 116 800 269

1202 Hay Street West Perth WA 6005



Enquiries:

s22

Telephone: s22 Email: s22 @health.gov.au

INFRINGEMENT NOTICE GIVEN TO

MGC Pharmaceuticals Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to MGC Pharmaceuticals Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that MGC Pharmaceuticals Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(9) applied.

The details of the alleged contravention are that:

On 2 August 2022, MGC Pharmaceuticals Ltd did advertise, or caused the advertising of, a therapeutic good, being CannEpil, on the web page located at https://mgcpharma.com.au/.

The advertisement referred to a therapeutic good that is not entered in the Australian Register of Therapeutic Goods and that is a prescribed good, being a therapeutic good that is neither the subject of an exemption, approval or authority under the Act. The reference to the prescribed good is not authorised or required by a government or government authority.



The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.⁹

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

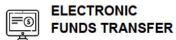
Please ensure that you allow time for your payment to be received by the due date.



Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-6 as reference to identify your payment



Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-6

in the description of your transfer and allow two business days for payment to be received.

⁹ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- · the effect of complying with this notice, and
- · the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

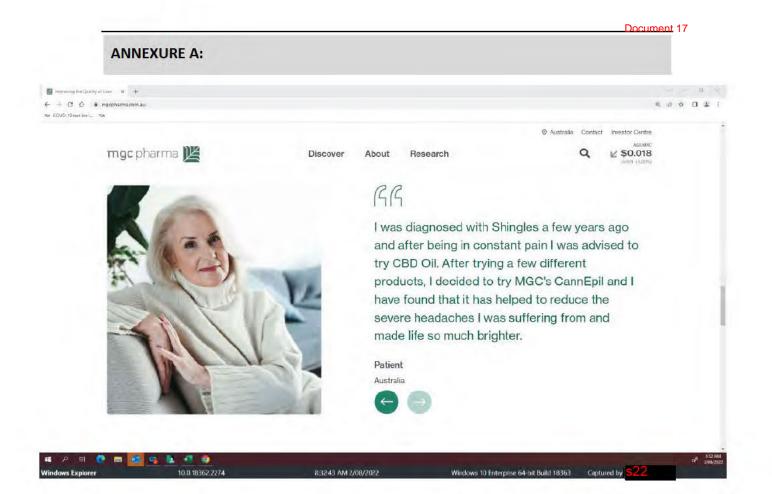
Written representations can be made by sending them directly to:

- s22 <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609





Department of Health and Aged Care Therapeutic Goods Administration

Infringement Notice Number:
TGAIN-CC-MSD0IHC8/2022-7Date given: 27/09/2022MGC Pharmaceuticals Ltd
ACN 116 800 269
1202 Hay Street
West Perth WA 6005Penalty total: \$ 13,320.00By Express Post and Email at:Payment due: 26/10/2022S22.S22.S22.

INFRINGEMENT NOTICE GIVEN TO MGC Pharmaceuticals Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to epilepsy MGC Pharmaceuticals Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that MGC Pharmaceuticals Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(4) applied.

The details of the alleged contravention are that:

On 2 August 2022, MGC Pharmaceuticals Ltd did advertise, or caused the advertising of, therapeutic goods, being medicinal cannabis products, on the web page located at https://mgcpharma.com.au/.

The advertisement contained a restricted representation, (whether in express terms or by necessary implication), being a reference to epilepsy, about the goods. Neither an approval under section 42DF of the Act, nor a permission under section 42DK of the Act was in force in relation to the restricted representation.



The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.10

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

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PART C: Payment details

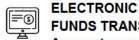
CHEQUE

Please ensure that you allow time for your payment to be received by the due date.

Return this notice to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-7 as reference to identify your payment

CREDIT CARD



FUNDS TRANSFER Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-7

in the description of your transfer and allow two business days for payment to be received.

¹⁰ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- · the effect of complying with this notice, and
- · the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

- s22 <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

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Department of Health and Aged Care Therapeutic Goods Administration

> Infringement Notice Number: TGAIN-CC-MSD0IHC8/2022-8

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

By Express Post and Email at:

MGC Pharmaceuticals Ltd

ACN 116 800 269

1202 Hay Street West Perth WA 6005



Enquiries:

s22

Telephone: <mark>\$22</mark> Email: <mark>\$22 @health.gov.au</mark>

INFRINGEMENT NOTICE GIVEN TO MGC Pharmaceuticals Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to MGC Pharmaceuticals Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that MGC Pharmaceuticals Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(4) applied.

The details of the alleged contravention are that:

On 2 August 2022, MGC Pharmaceuticals Ltd did advertise, or caused the advertising of, therapeutic goods, being medicinal cannabis products on the web page located at https://mgcpharma.com.au/discover/global-product-offerings/.

The advertisement contained a restricted representation, (whether in express terms or by necessary implication), being a reference to dementia, about the goods. Neither an approval under section 42DF of the Act, nor a permission under section 42DK of the Act was in force in relation to the restricted representation.

PO Box 100 Woden ACT 2606 ABN 40 939 406 804 Phone: 1800 020 653 or 02 6289 4124 Fax: 02 6203 1605 Email: info@tga.gov.au https://www.tga.gov.au



The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.¹¹

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

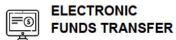
Please ensure that you allow time for your payment to be received by the due date.



Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-8 as reference to identify your payment



Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-8

in the description of your transfer and allow two business days for payment to be received.

¹¹ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- · the effect of complying with this notice, and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

- s22 <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

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Department of Health and Aged Care Therapeutic Goods Administration

> Infringement Notice Number: TGAIN-CC-MSD0IHC8/2022-9

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

By Express Post and Email at:

MGC Pharmaceuticals Ltd

ACN 116 800 269

1202 Hay Street West Perth WA 6005



Enquiries:

\$22

Telephone: <mark>\$22</mark> Email: <mark>\$22 @health.gov.au</mark>

INFRINGEMENT NOTICE GIVEN TO

MGC Pharmaceuticals Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to MGC Pharmaceuticals Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that MGC Pharmaceuticals Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(2) applied.

The details of the alleged contravention are that:

On 2 August 2022, MGC Pharmaceuticals Ltd did advertise, or caused the advertising of, therapeutic goods, being medicinal cannabis products on the web page located at https://mgcpharma.com.au/.

The advertisement contained a prohibited representation (whether in express terms or by necessary implication) about the goods, being a reference to cancer. No permission under section 42DK of the Act was in force in relation to the prohibited representation.

PO Box 100 Woden ACT 2606 ABN 40 939 406 804 Phone: 1800 020 653 or 02 6289 4124 Fax: 02 6203 1605 Email: info@tga.gov.au https://www.tga.gov.au



The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.¹²

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

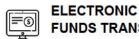
Please ensure that you allow time for your payment to be received by the due date.



Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-9 as reference to identify your payment



FUNDS TRANSFER Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-9

in the description of your transfer and allow two business days for payment to be received.

¹² A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- · the effect of complying with this notice, and
- · the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

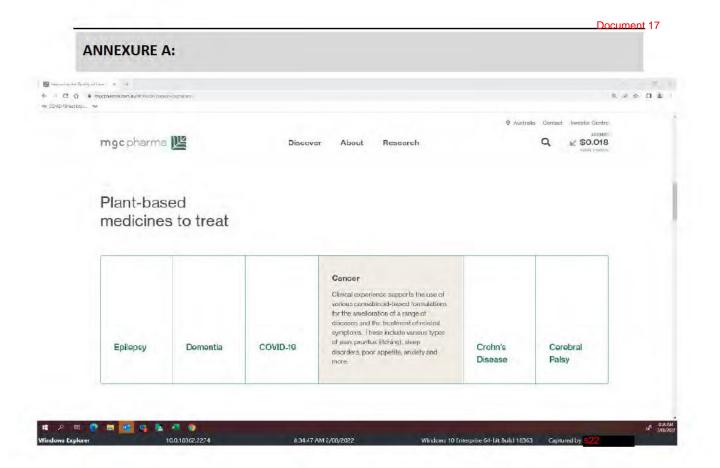
Written representations can be made by sending them directly to:

- s22 <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609





Department of Health and Aged Care Therapeutic Goods Administration

> Infringement Notice Number: TGAIN-CC-MSD0IHC8/2022-10

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

By Express Post and Email at:

MGC Pharmaceuticals Ltd

ACN 116 800 269

1202 Hay Street West Perth WA 6005

Enquiries:

\$22

Telephone: <mark>\$22</mark> Email: <mark>\$22 @health.gov.au</mark>

INFRINGEMENT NOTICE GIVEN TO MGC Pharmaceuticals Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to MGC Pharmaceuticals Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that MGC Pharmaceuticals Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(4) applied.

The details of the alleged contravention are that:

On 2 August 2022, MGC Pharmaceuticals Ltd did advertise, or caused the advertising of, therapeutic goods, being medicinal cannabis products on the web page located at https://mgcpharma.com.au/.

The advertisement contained a restricted representation, (whether in express terms or by necessary implication), being a reference to Crohn's disease, about the goods. Neither an approval under section 42DF of the Act, nor a permission under section 42DK of the Act was in force in relation to the restricted representation.



An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.¹³

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

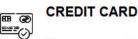
Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

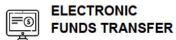
Please ensure that you allow time for your payment to be received by the due date.



Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-10 as reference to identify your payment



Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-10

in the description of your transfer and allow two business days for payment to be received.

¹³ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- · the effect of complying with this notice, and
- · the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

- s22 <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

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Department of Health and Aged Care Therapeutic Goods Administration

> Infringement Notice Number: TGAIN-CC-MSD0IHC8/2022-11

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

By Express Post and Email at:

MGC Pharmaceuticals Ltd

ACN 116 800 269

1202 Hay Street West Perth WA 6005



Enquiries:

\$22

Telephone: <mark>\$22</mark> Email: <mark>\$22 @health.gov.au</mark>

INFRINGEMENT NOTICE GIVEN TO

MGC Pharmaceuticals Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to MGC Pharmaceuticals Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that MGC Pharmaceuticals Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(4) applied.

The details of the alleged contravention are that:

On 2 August 2022, MGC Pharmaceuticals Ltd did advertise, or caused the advertising of, therapeutic goods, being medicinal cannabis products on the web page located at https://mgcpharma.com.au/.

The advertisement contained a restricted representation, (whether in express terms or by necessary implication), being a reference to cerebral palsy, about the goods. Neither an approval under section 42DF of the Act, nor a permission under section 42DK of the Act was in force in relation to the restricted representation.



An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.¹⁴

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

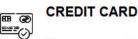
Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

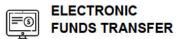
Please ensure that you allow time for your payment to be received by the due date.



Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-11 as reference to identify your payment



Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-11

in the description of your transfer and allow two business days for payment to be received.

¹⁴ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- · the effect of complying with this notice, and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

- s22 <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

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Department of Health and Aged Care Therapeutic Goods Administration

> Infringement Notice Number: TGAIN-CC-MSD0IHC8/2022-12

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

By Express Post and Email at:

MGC Pharmaceuticals Ltd

ACN 116 800 269

1202 Hay Street West Perth WA 6005



Enquiries:

\$22

Telephone: <mark>\$22</mark> Email: <mark>\$22 @health.gov.au</mark>

INFRINGEMENT NOTICE GIVEN TO MGC Pharmaceuticals Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to MGC Pharmaceuticals Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that MGC Pharmaceuticals Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(5) applied.

The details of the alleged contravention are that:

On 2 August 2022, MGC Pharmaceuticals Ltd did advertise, or caused the advertising of, therapeutic goods, being medicinal cannabis products on the web page located at https://mgcpharma.com.au/discover/global-product-offerings/.

The advertisement contained a reference to the *Therapeutic Goods Act 1989*, other than in a statement of the registration number, listing number or device number of the goods. That reference being 'MGC Pharmaceuticals Ltd is in strict compliance with the TGA's Therapeutic Goods Act 1989'.



An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.¹⁵

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

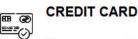
Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

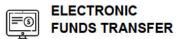
Please ensure that you allow time for your payment to be received by the due date.



Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-12 as reference to identify your payment



Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-12

in the description of your transfer and allow two business days for payment to be received.

¹⁵ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- · the effect of complying with this notice, and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

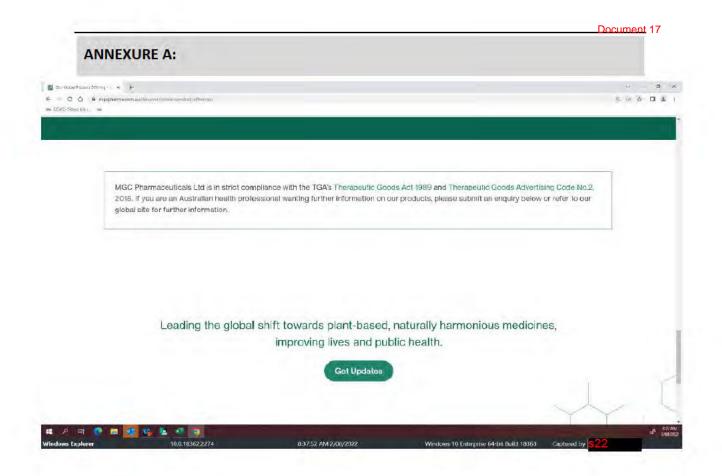
Written representations can be made by sending them directly to:

- s22 <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609





Department of Health and Aged Care Therapeutic Goods Administration

> Infringement Notice Number: TGAIN-CC-MSD0IHC8/2022-13

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

@health.gov.au

By Express Post and Email at:

MGC Pharmaceuticals Ltd

ACN 116 800 269

1202 Hay Street West Perth WA 6005



Enquiries:

s22

Telephone:

Email: <mark>\$22</mark>

INFRINGEMENT NOTICE GIVEN TO MGC Pharmaceuticals Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to MGC Pharmaceuticals Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that MGC Pharmaceuticals Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(4) applied.

The details of the alleged contravention are that:

On 2 August 2022, MGC Pharmaceuticals Ltd did advertise, or caused the advertising of, a therapeutic good, being CannEpil Plus, on the web page located at: <u>https://twitter.com/MGC Pharma/status/1489301332427218945cxt=HHwWgoC5rfrqh6sp</u> <u>AAAA</u>.

The advertisement contained a restricted representation, (whether in express terms or by necessary implication), being a reference to epilepsy, about the good. Neither an approval under section 42DF of the Act, nor a permission under section 42DK of the Act was in force in relation to the restricted representation.



An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.¹⁶

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

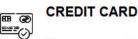
Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.



Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-13 as reference to identify your payment



Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-13

in the description of your transfer and allow two business days for payment to be received.

¹⁶ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice, and
- · the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

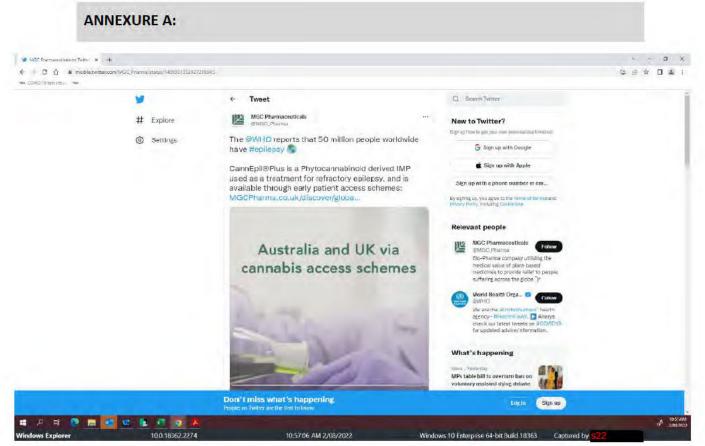
Written representations can be made by sending them directly to:

- s22 <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609





Department of Health and Aged Care Therapeutic Goods Administration

> Infringement Notice Number: TGAIN-CC-MSD0IHC8/2022-14

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

By Express Post and Email at:

MGC Pharmaceuticals Ltd

ACN 116 800 269

1202 Hay Street West Perth WA 6005



Enquiries:

Telephone: Email:

@health.gov.au

INFRINGEMENT NOTICE GIVEN TO MGC Pharmaceuticals Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the Therapeutic Goods Act 1989 (the Act). I have decided to give this Infringement Notice (the notice) to MGC Pharmaceuticals Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that MGC Pharmaceuticals Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(7) applied.

The details of the alleged contravention are that:

On 2 August 2022, MGC Pharmaceuticals Ltd did advertise, or caused the advertising of, a therapeutic good, being CannEpil Plus, on the web page located at: https://twitter.com/MGC Pharma/status/1489301332427218945cxt=HHwWgoC5rfrqh6sp AAAA.

The advertisement referred to a good containing substances included in Schedule 3, 4 or 8 to the current Poisons Standard, such as cannabidiol or cannabis, but not in Appendix H of the current Poisons Standard, other than a reference authorised or required by a government or government authority.



An image of the advertisement taken by the Therapeutic Goods Administration is included at Annexure A to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.17

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

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PART C: Payment details

CHEQUE

Please ensure that you allow time for your payment to be received by the due date.

Return this notice to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-14 as reference to identify your payment

CREDIT CARD

ELECTRONIC

FUNDS TRANSFER Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-14 in the description of your

transfer and allow two business days for payment to be received.

¹⁷ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- · the effect of complying with this notice, and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

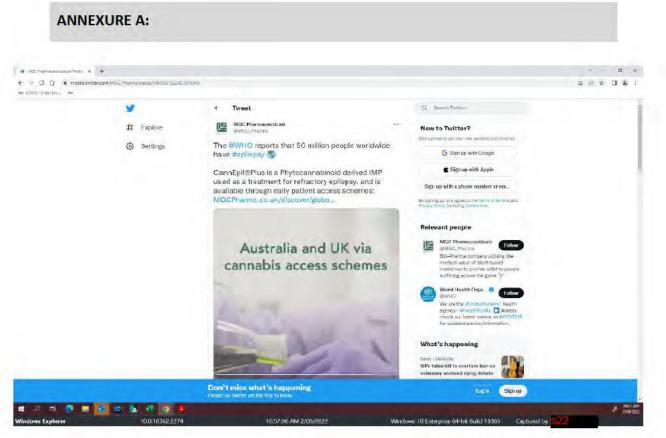
Written representations can be made by sending them directly to:

- s22 <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609





Department of Health and Aged Care Therapeutic Goods Administration

> Infringement Notice Number: TGAIN-CC-MSD0IHC8/2022-15

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

@health.gov.au

By Express Post and Email at:

MGC Pharmaceuticals Ltd

ACN 116 800 269

1202 Hay Street West Perth WA 6005



Enquiries:

s22

Telephone: s22

Email: <mark>s22</mark>

INFRINGEMENT NOTICE GIVEN TO

MGC Pharmaceuticals Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to MGC Pharmaceuticals Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that MGC Pharmaceuticals Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(9) applied.

The details of the alleged contravention are that:

On 2 August 2022, MGC Pharmaceuticals Ltd did advertise, or caused the advertising of, a therapeutic good, being CannEpil Plus, on the web page located at: <u>https://twitter.com/MGC_Pharma/status/1489301332427218945cxt=HHwWgoC5rfrqh6sp</u> <u>AAAA</u>.

The advertisement referred to a therapeutic good, that is not entered in the Australian Register of Therapeutic Goods and that is a prescribed good, being a therapeutic good that is neither the subject of an exemption, approval or authority under the Act nor an



exemption. The reference to the prescribed good is not authorised or required by a government or government authority.

An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.¹⁸

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

⊞ @

PART C: Payment details

CHEQUE

Please ensure that you allow time for your payment to be received by the due date.

Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-15

CREDIT CARD

as reference to identify your payment

Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-15 in the description of your transfer and allow two

ELECTRONIC

FUNDS TRANSFER

business days for payment to be received.

¹⁸ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- · the effect of complying with this notice, and
- · the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

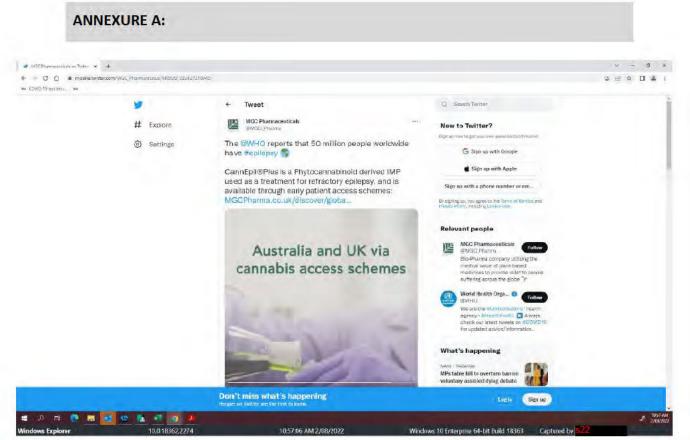
Written representations can be made by sending them directly to:

- s22 <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609





Department of Health and Aged Care Therapeutic Goods Administration

> Infringement Notice Number: TGAIN-CC-MSD0IHC8/2022-16

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

By Express Post and Email at:

MGC Pharmaceuticals Ltd

ACN 116 800 269

1202 Hay Street West Perth WA 6005



Enquiries:

Telephone: <mark>s22</mark> Email: <mark>s22 @health.gov.au</mark>

INFRINGEMENT NOTICE GIVEN TO MGC Pharmaceuticals Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to MGC Pharmaceuticals Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that MGC Pharmaceuticals Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(6) applied.

The details of the alleged contravention are that:

On 2 August 2022, MGC Pharmaceuticals Ltd did advertise, or caused the advertising of, therapeutic goods, being Extrax CBD Vape Pens, on the web page located at https://www.instagram.com/p/CXMKNXpszob/.

The advertisement contained statements, suggesting or implying the goods have been recommended or approved by or on behalf of a government or government authority, other than a statement of the availability of the goods as a pharmaceutical benefit, or authorised or required by a government or government authority or prescribed by the regulations.

PO Box 100 Woden ACT 2606 ABN 40 939 406 804 Phone: 1800 020 653 or 02 6289 4124 Fax: 02 6203 1605 Email: info@tga.gov.au https://www.tga.gov.au



An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.¹⁹

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

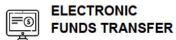
Please ensure that you allow time for your payment to be received by the due date.



Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-16 as reference to identify your payment



Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-16

in the description of your transfer and allow two business days for payment to be received.

¹⁹ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- · the effect of complying with this notice, and
- · the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

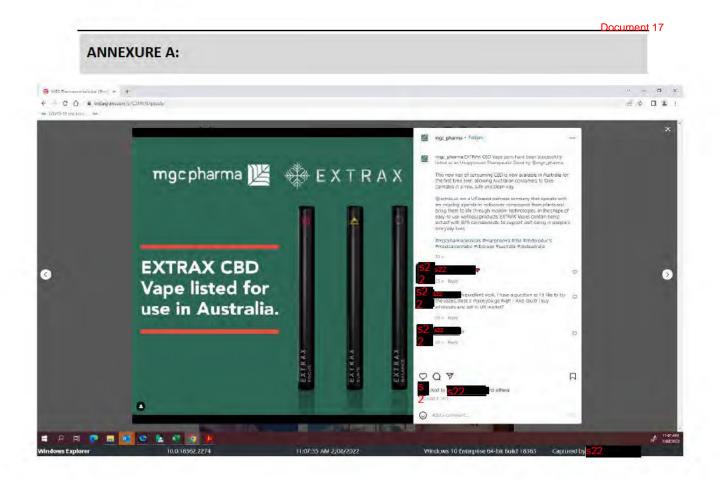
Written representations can be made by sending them directly to:

- s22 <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609





Department of Health and Aged Care Therapeutic Goods Administration

> Infringement Notice Number: TGAIN-CC-MSD0IHC8/2022-17

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

By Express Post and Email at:

MGC Pharmaceuticals Ltd

ACN 116 800 269

1202 Hay Street West Perth WA 6005



Enquiries:

Telephone:

Email:

@health.gov.au

INFRINGEMENT NOTICE GIVEN TO MGC Pharmaceuticals Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the Therapeutic Goods Act 1989 (the Act). I have decided to give this Infringement Notice (the notice) to MGC Pharmaceuticals Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that MGC Pharmaceuticals Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(7) applied.

The details of the alleged contravention are that:

On 2 August 2022, MGC Pharmaceuticals Ltd did advertise, or caused the advertising of, therapeutic goods, being Extrax CBD Vape Pens, on the web page located at https://www.instagram.com/p/CXMKNXpszob/.

The advertisement referred to goods containing substances included in Schedule 3, 4 or 8 to the current Poisons Standard, such as cannabidiol or cannabis, but not in Appendix H of the current Poisons Standard, other than a reference authorised or required by a government or government authority.

PO Box 100 Woden ACT 2606 ABN 40 939 406 804 Phone: 1800 020 653 or 02 6289 4124 Fax: 02 6203 1605 Email: info@tga.gov.au https://www.tga.gov.au



Evidence of the advertisement taken by the Therapeutic Goods Administration is included at Annexure A to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.²⁰

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.



CHEQUE

Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



CREDIT CARD

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-17 as reference to identify your payment



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care **BSB:** 092 009 **Account:** 114 071 **Bank:** Reserve Bank of Australia, London Circuit, Canberra ACT 2601 **Swift:** RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-17 in the description of your transfer and allow two

transfer and allow two business days for payment to be received.

²⁰ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- · the effect of complying with this notice, and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

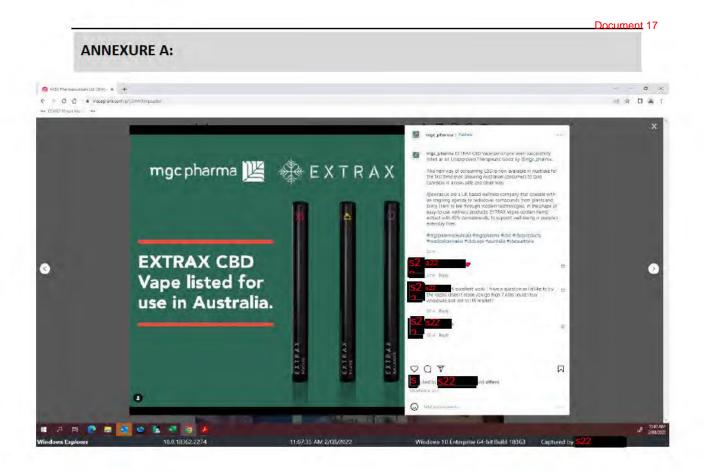
Written representations can be made by sending them directly to:

- s22 <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609





Department of Health and Aged Care Therapeutic Goods Administration

> Infringement Notice Number: TGAIN-CC-MSD0IHC8/2022-18

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

By Express Post and Email at:

MGC Pharmaceuticals Ltd

ACN 116 800 269

1202 Hay Street West Perth WA 6005

s22 s22 s22 Enquiries:

s22

Telephone: <mark>\$22</mark> Email: <mark>\$22 @health.gov.au</mark>

INFRINGEMENT NOTICE GIVEN TO MGC Pharmaceuticals Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to MGC Pharmaceuticals Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that MGC Pharmaceuticals Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(9) applied.

The details of the alleged contravention are that:

On 2 August 2022, MGC Pharmaceuticals Ltd did advertise, or caused the advertising of, therapeutic goods, being Extrax CBD Vape Pens, on the web page located at https://www.instagram.com/p/CXMKNXpszob/.

The advertisement referred to therapeutic goods, being medicinal cannabis products, that are not entered in the Australian Register of Therapeutic Goods and that are prescribed goods, being therapeutic goods that are neither the subject of an exemption, approval or authority under the Act. The reference to the prescribed goods is not authorised or required by a government or government authority.



The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.²¹

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

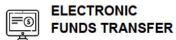
Please ensure that you allow time for your payment to be received by the due date.



Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-18 as reference to identify your payment



Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-18

in the description of your transfer and allow two business days for payment to be received.

²¹ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- · the effect of complying with this notice, and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

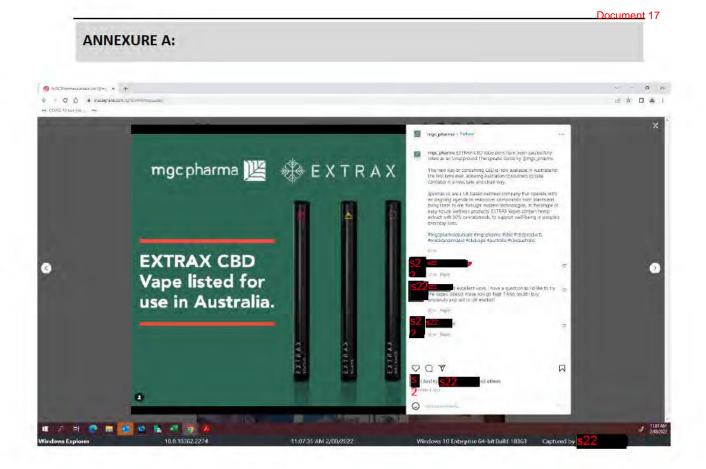
Written representations can be made by sending them directly to:

- s22 <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609





Department of Health and Aged Care Therapeutic Goods Administration

> Infringement Notice Number: TGAIN-CC-MSD0IHC8/2022-19

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

By Express Post and Email at:

MGC Pharmaceuticals Ltd

ACN 116 800 269

1202 Hay Street West Perth WA 6005



Enquiries:

s22

SZZ Telephone:

Email: s22

@health.gov.au

INFRINGEMENT NOTICE GIVEN TO MGC Pharmaceuticals Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to MGC Pharmaceuticals Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that MGC Pharmaceuticals Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(7) applied.

The details of the alleged contravention are that:

On 4 August 2022, MGC Pharmaceuticals Ltd did advertise, or caused the advertising of, therapeutic goods, being Extrax CBD Vape Pens, on the web page located at: https://www.facebook.com/mgcpharmaceuticals/videos/504880911361942.

The advertisement referred to goods containing substances included in Schedule 3, 4 or 8 to the current Poisons Standard, such as cannabidiol or cannabis, but not in Appendix H of the <u>current Poisons Standard</u>, other than a reference authorised or required by a government or government authority.

PO Box 100 Woden ACT 2606 ABN 40 939 406 804 Phone: 1800 020 653 or 02 6289 4124 Fax: 02 6203 1605 Email: info@tga.gov.au https://www.tga.gov.au



The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.²²

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

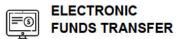
Please ensure that you allow time for your payment to be received by the due date.



Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-19 as reference to identify your payment



Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-19

in the description of your transfer and allow two business days for payment to be received.

²² A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- · the effect of complying with this notice, and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

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Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

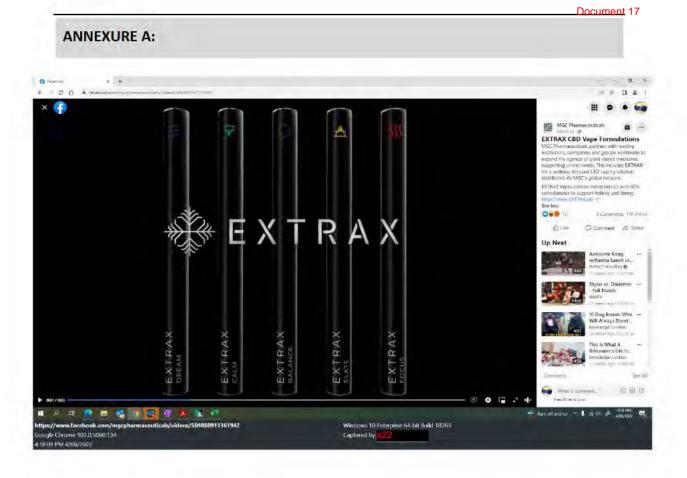
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Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609





Department of Health and Aged Care Therapeutic Goods Administration

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@health.gov.au

By Express Post and Email at:

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ACN 116 800 269

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The advertisement referred to therapeutic goods, that are not entered in the Australian Register of Therapeutic Goods and that are prescribed goods, being therapeutic goods that are neither the subject of an exemption, approval or authority under the Act. The reference to the prescribed goods is not authorised or required by a government or government authority.



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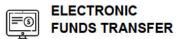
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How this notice can be withdrawn

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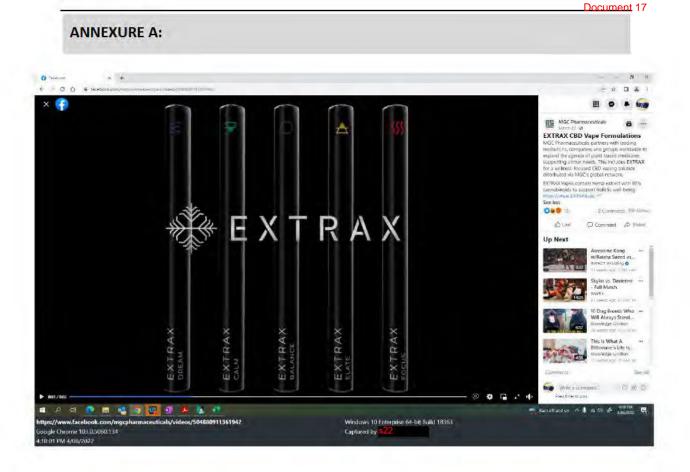
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Department of Health and Aged Care Therapeutic Goods Administration

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PART B: Details of alleged contravention

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The details of the alleged contravention are that:

On 4 August 2022, MGC Pharmaceuticals Ltd did advertise, or caused the advertising of, a therapeutic good, being CogniCann, on the web page located at https://www.facebook.com/mgcpharmaceuticals/.

The advertisement contained a restricted representation, (whether in express terms or by necessary implication), being a reference to dementia, about the good. Neither an approval under section 42DF of the Act, nor a permission under section 42DK of the Act was in force in relation to the restricted representation.

PO Box 100 Woden ACT 2606 ABN 40 939 406 804 Phone: 1800 020 653 or 02 6289 4124 Fax: 02 6203 1605 Email: info@tga.gov.au https://www.tga.gov.au



The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.²⁴

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

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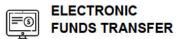
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Compliance period

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How to request an extension of time to pay the penalty amount

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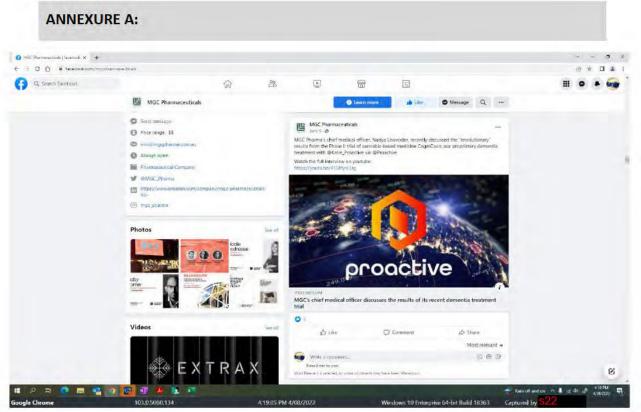
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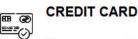
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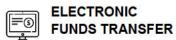
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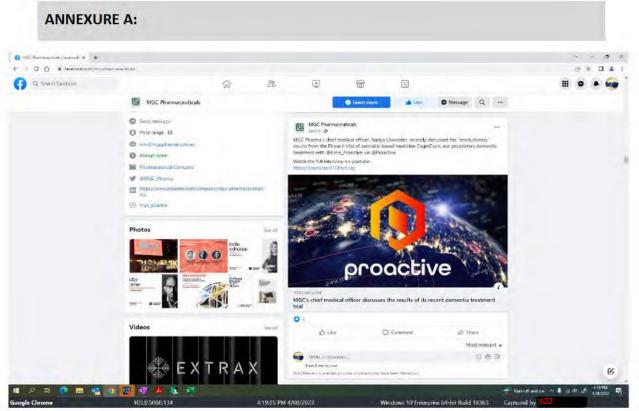
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1202 Hay Street West Perth WA 6005



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On 4 August 2022, MGC Pharmaceuticals Ltd did advertise, or caused the advertising of, a therapeutic good, being CogniCann, on the web page located at https://www.facebook.com/mgcpharmaceuticals/.

The advertisement referred to a therapeutic good, that is not entered in the Australian Register of Therapeutic Goods and that is a prescribed good, being a therapeutic good that is neither the subject of an exemption, approval or authority under the Act nor an exemption. The reference to the prescribed good is not authorised or required by a government or government authority.



The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.²⁶

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

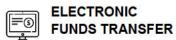
Please ensure that you allow time for your payment to be received by the due date.



Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-23 as reference to identify your payment



Account name: Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-CC-MSD0IHC8/2022-23

in the description of your transfer and allow two business days for payment to be received.

²⁸ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- · the effect of complying with this notice, and
- · the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

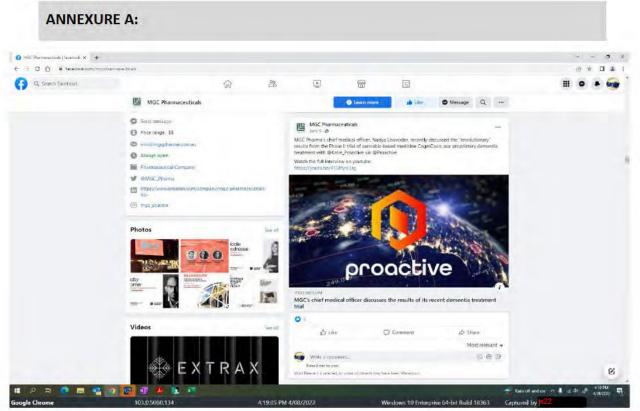
- s22 <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609





From: To:	s22 [s22@lgpharma.com.au"
Cc:	"info@littlegreenpharma.com"; <mark>s22</mark> ; s22
Subject:	Letter from the Therapeutic Goods Administration to Little Green Pharma Ltd – AC-000000002798 [SEC=OFFICIAL]
Date:	Tuesday, 16 August 2022 4:48:00 PM
Attachments:	image001.gif
	Letter from the TGA to Little Green Pharma Ltd dated 16 August 2022.pdf

Dear s22

Please find **attached** a letter from the Therapeutic Goods Administration for your attention.

We ask that you confirm receipt of this letter by 10:00 AM on Thursday 18 August 2022.

Yours sincerely,



Advertising and Product Investigations Section Regulatory Compliance Branch

Phone: s22 Email: s22 <u>@Health.gov.au</u>

Therapeutic Goods Administration Australian Government, Department of Health and Aged Care PO Box 100 Woden ACT 2606 www.tga.gov.au

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Department of Health and Aged Care Therapeutic Goods Administration

\$22

Little Green Pharma Ltd Suite 2 Level 2 66 Kings Park Road West Perth WA 6006

Our Reference: AC-00000002798

By express post and email at: s22@lgpharma.com.au; Cc: info@littlegreenpharma.com

16 August 2022

Dear S22

Subject: Notice of intention to commence enforcement action

The Therapeutic Goods Administration (TGA) is part of the Australian Government Department of Health and Aged Care, and is responsible for regulating the safety, quality, efficacy and advertising of therapeutic goods in Australia under the *Therapeutic Goods Act 1989* (the Act).

The purpose of this letter is to provide Little Green Pharma Ltd (LGP) with an opportunity to make submissions before a decision is made about what enforcement action should be taken in relation to alleged unlawful advertising which appears on the following platforms and for which LGP appears to be responsible:

- <u>https://www.littlegreenpharma.com/au/</u> (the Website)
- <u>https://www.instagram.com/littlegreenpharma/</u> and
- <u>https://www.facebook.com/littlegreenpharma/</u> (collectively, the Social Media).

The enforcement action being considered by the TGA includes issuing infringement notices for contraventions of the Act arising from the advertising on the Website and Social Media.

Action required

We request that LGP take the following actions:

- 1. Confirm receipt of this notice within one business day from the time stamp on the covering email.
- 2. Carefully consider the information provided below.
- 3. Provide your submissions by **31 August 2022** as to:
 - a. whether the advertising on the Website and Social Media summarised below (and any other content on the Website and Social Media containing similar references, statements or representations) contravenes the Act, if that is disputed; and
 - b. any other matter which you wish the TGA to consider in deciding whether enforcement action should be taken in relation to the alleged unlawful advertising identified below.

Note: this notice canvasses some and not all of the alleged contraventions that we may pursue.

 PO Box 100 Woden ACT 2606
 ABN 40 939 406 804

 Phone: 1800 020 653 or 02 6232 8644
 Fax: 02 6232 8112

 Email: info@tga.gov.au
 https://www.tga.gov.au



Summary of alleged contraventions

We have identified representations on the Website and the Social Media which we allege advertise therapeutic goods, being medicinal cannabis products, in circumstances where the advertisements:

- 1. refer to goods that are not entered in the Australian Register of Therapeutic Goods (the Register)
- 2. refer to substances, or goods containing substances, included in Schedule 3, 4 or 8 to the current Poisons Standard but not in Appendix H of the current Poisons Standard
- 3. contain prohibited representations and/or restricted representations (being references to serious forms of diseases, ailments and conditions) without TGA permission or approval.

For example, we allege that the Website contains instructions as to how to use medicinal cannabis products which are not entered in the Register or contain substances included in Schedules 4 or 8 of the Poisons Standard, for example, the 'LGP CLASSIC 1:20'.

In addition, we allege that the Social Media contains:

- 1. posts which appear to promote the use and supply of medicinal cannabis products which are not entered in the Register and contain substances included in Schedules 4 or 8 of the Poisons Standard, for example, the 'LGP Flower CBD 14 Sky Mist' and 'LGP CLASSIC 1:100'
- 2. posts which appear to promote the use and supply of medicinal cannabis in the treatment of named medical conditions including in pictorials and hashtags such as #depression, #cancer, #insomnia, and #PTSD
- 3. a post on Instagram which includes a comment from a third party user that references specific medicinal cannabis products, such as the 'LGP CLASSIC 20:5' and 'LGP Flower THC 22 Desert Flame', in connection with the treatment of anxiety.

Notice of intention to take enforcement action

We are writing to you to put LGP on notice of our intention to take enforcement action in relation to the alleged unlawful advertising on the Website and the Social Media of the kinds summarised above. That enforcement action will not be limited to the provided examples and may extend to any other unlawful advertising of the kinds identified above.

LGP's submissions will be taken into account by a delegate of the Secretary of the Australian Government Department of Health and Aged Care in exercising their discretion whether to take enforcement action against LGP. The action under consideration includes giving infringement notices to LGP in relation to contraventions of the kind set out above on the Website and Social Media.

Please note that information about any compliance action taken against LGP may be published on the TGA website under subsection 61(5A) of the Act, consistent with our usual practice.

To avoid being liable for further contraventions of the Act, LGP should immediately take steps to ensure that any compliance issues, including any beyond the scope of this letter, are identified and resolved, noting we reserve our rights in relation to any further non-compliance that we identify. Should LGP remove any of the alleged unlawful advertising in response to this letter, we note that the delegate is not prevented from considering taking enforcement action against LGP.

Other information

- The import, export, manufacture, supply and advertising of therapeutic goods in Australia is subject to the requirements of the Act and the *Therapeutic Goods Regulation 1990*.
- It is illegal under section 42DL of the Act for a person to advertise or cause the advertising of therapeutic goods in circumstances where the advertisement:
 - refers to substances, or goods containing substances, included in Schedule 3, 4 or 8 to the current Poisons Standard but not in Appendix H of the current Poisons Standard, such as medicinal cannabis products, and that reference is not authorised by an Australian government or government authority
 - refers to therapeutic goods (being the Product) that are not entered in the Register nor subject to any exemption, approval or authority under the Act, and that reference is not authorised by an Australian government or government authority
 - contains a prohibited representation, such as cancer, and no permission is in force in relation to that prohibited representation
 - contains a restricted representation, such as epilepsy, and neither a permission nor a TGA approval is in force in relation to that restricted representation
 - contains a reference to the Act, other than in a statement of the registration number, listing number or device number of the goods
 - contains a statement, pictorial representation or design suggesting or implying the Product has been recommended or approved by or on behalf of a government or government authority, and no exception applies.
- A person who advertises or causes the advertising of therapeutic goods in the above circumstances may also be liable for civil penalties under section 42DLB of the Act.
- It is the responsibility of advertisers to ensure that their advertising material is compliant with the relevant legislation.
- LGP may also wish to refer to the following guidance provided by the TGA:
 - Advertising guidance for businesses involved in medicinal cannabis products
- If LGP requires help in understanding the advertising requirements, we recommend that LGP consider engaging a regulatory affairs consultant or a lawyer to assist it.
- The TGA takes non-compliance with the regulatory scheme seriously, especially where a breach of the advertising requirements has resulted in, or poses, a threat to public health and safety. A range of compliance and enforcement tools are available to address non-compliance and may include infringement notices, criminal or civil court proceedings, which can result in substantial penalties, fines, or imprisonment.

Please contact **S22** on **S22** or by email at **S22** <u>@health.gov.au</u> should you wish to discuss this matter further.

Yours sincerely



Acting Assistant Secretary Regulatory Compliance Branch

From:	s22
То:	s22
Cc:	s22 ; <mark>s22 ; s22 ; s</mark> 22 ; s22 ; s22
Subject:	RE: Letter from the Therapeutic Goods Administration to Little Green Pharma Ltd – AC-000000002798 [SEC=OFFICIAL]
Date:	Friday, 2 September 2022 7:40:25 PM
Attachments:	image002.jpg image006.gif image007.jpg image008.jpg image009.jpg 220902 LGP - response to TGA letter (16 Aug 2022) (Combined)(Sent).pdf

REMINDER: Think before you click! This email originated from outside our organisation. Only click links or open attachments if you recognise the sender and know the content is safe.

Hi <mark>s22</mark> ,

Thanks again for meeting with us earlier in the week – we are really grateful.

Please find attached our written submission in response to your letter dated 16 August 2022.







Subject: RE: Letter from the Therapeutic Goods Administration to Little Green Pharma Ltd – AC-000000002798 [SEC=OFFICIAL]

Caution: External Email Dear⁵²²,

Thank you for meeting with us yesterday.

Should Little Green Pharma Ltd wish to make written submissions in response to our letter dated 16 August 2022, we confirm that the delegate is happy to receive those submissions by Friday 2

September 2022.

Kind regards,



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From: <mark>S22 <s22< mark=""></s22<></mark>	@lgp.global>
Sent: Saturday, 27 August 2022	2 2:01 PM
To: <mark>\$22</mark> < <u>\$22</u>	<u>@Health.gov.au</u> >
Cc: <u>\$22</u>	@Health.gov.au>; \$22
< <mark>s22</mark> @Health.gov.au>	

Subject: TRIM: RE: Letter from the Therapeutic Goods Administration to Little Green Pharma Ltd – AC-000000002798 [SEC=OFFICIAL]

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That's great – thank you. 3pm on Tuesday will be fine. Please invite the following attendees:



?

Medicinal Cannabis

A world of *difference*

From: ⁵²²

Sent: Friday, 26 August 2022 1:01 PM

To: <mark>\$22</mark>

Cc: 52

Subject: RE: Letter from the Therapeutic Goods Administration to Little Green Pharma Ltd – AC-00000002798 [SEC=OFFICIAL]

Caution: External Email

Dear 522

I refer to our email correspondence below.

We are happy to meet with Little Green Pharma Ltd, and propose to meet via video conference (Webex) on Tuesday 30 August 2022 at 3:00 PM, for one hour.

We ask that you confirm that the proposed time suits you as soon as possible, and would be grateful if you could provide the email addresses for your attendees so that they may be included in the meeting invitation.

Thanks in advance,

s22

Advertising and Product Investigation Section Regulatory Compliance Branch

Phone: ^{S22} Email: ^{S22} <u>@Health.gov.au</u>

Therapeutic Goods Administration Australian Government, Department of Health and Aged Care PO Box 100 Woden ACT 2606 www.tga.gov.au

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From: s22 <s22 @lgp.global>

Sent: Wednesday, 24 August 2022 2:24 PM

<s22 <u>@Health.gov.au</u>>

Subject: RE: Letter from the Therapeutic Goods Administration to Little Green Pharma Ltd – AC-000000002798 [SEC=OFFICIAL]

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No problems – thanks S22 !



To: **s22**

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Medicinal Cannabis

A world of *difference*

 From: \$22
 @Health.gov.au>

 Sent: Wednesday, 24 August 2022 12:22 PM

 To: \$22
 @lgp.global>

 Cc: \$22
 @Health.gov.au>; PHELPS, Christopher

 <\$22</td>
 @Health.gov.au>

Subject: Re: Letter from the Therapeutic Goods Administration to Little Green Pharma Ltd – AC-000000002798 [SEC=OFFICIAL]

Caution: External Email



Apologies for the delay in responding to you.

Thank you for providing the requested information, we will be in touch shortly.

Kind regards,

s22 s22 Advertising and Product Investigation Section Regulatory Compliance Branch

Phone: ^{\$22} Email ^{\$22} @Health.gov.au

Therapeutic Goods Administration Australian Government, Department of Health and Aged Care PO Box 100 Woden ACT 2606 www.tga.gov.au

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From: s22 <s22 @lgp.global>

Sent: 18 August 2022 16:50

To: S22

Cc:

Subject: RE: Letter from the Therapeutic Goods Administration to Little Green Pharma Ltd – AC-00000002798 [SEC=OFFICIAL]

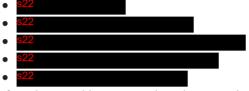
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Thanks very much for your reply email – much appreciated.

We want to take you up on your offer to discuss the matters outlined in the recent letter you sent regarding possible unlawful advertising. We take this matter very seriously and as such have taken on board your comments and made some immediate changes. However, there are a couple of alleged contraventions that we would appreciate some clarity on and hoping you might be able to be more specific in relation to our website and social media pages before we make further changes. We have previously sought expert advice so we are eager to learn from you directly where possible.

Depending on time and availability, we may have some or all of the below LGP employees in attendance:



If preferred, we could meet post the submission date of 31 August, however we feel there are a couple of marginal cases in relation to our website and we'd prefer to run our proposed changes past you first if possible. Many thanks, I look forward to your response.



Medicinal Cannabis

A world of difference

From: <mark>S22</mark>	< <mark>s22</mark>	@Health.gov.au>
Sent: Thursday, 18 A	ugust 2022 9:08 /	AM
To: <mark>\$22</mark>	22 @lgp.gl	<u>obal</u> >
Cc: <u>\$22</u> < <u>\$22</u>	<u>@Health.gov.au</u> >	>; <mark>s22</mark>
< <mark>s22</mark>	<u>@Health.gov.au</u> >	>

Subject: RE: Letter from the Therapeutic Goods Administration to Little Green Pharma Ltd – AC-000000002798 [SEC=OFFICIAL]

Caution: External Email

Dear s22,

Thank you for your confirmation of receipt of our letter dated 16 August 2022.

I understand that you have requested a meeting to discuss the letter, which relates to the alleged unlawful advertising of medicinal cannabis products by Little Green Pharma Ltd. To assist us in responding to your request, can you please provide us with the following information by **5:00 PM Friday 19 August 2022**:

- 1. An outline of the purpose of the meeting.
- 2. The particulars of what you wish to discuss.
- 3. The names and roles of your attendees.
- 4. Any other information you consider to be relevant to the meeting.

I note that you have requested the meeting take place next week (beginning 22 August). Once we receive the above information, we will contact you regarding your request and/or a suitable time and date for the meeting, should this be appropriate.

Kind regards,

\$22

Advertising and Product Investigations Section Regulatory Compliance Branch

Phone: ^{\$22} Email: ^{\$22} <u>@Health.gov.au</u>

Therapeutic Goods Administration Australian Government, Department of Health and Aged Care PO Box 100 Woden ACT 2606 www.tga.gov.au

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From: <mark>S22</mark>	< <mark>s22</mark>	<u>@lgp.global</u> >
Sent: Tuesday, 16 Au	ugust 2022 5:	55 PM
To: <mark>\$22</mark>	< <mark>s22</mark>	<u>@Health.gov.au</u> >
Cc: <u>\$22</u> < <u>\$22</u>	@Health.gov	<u>v.au</u> >; <mark>s22</mark>
< <u>\$22</u>	@Health gov	(au>

Subject: TRIM: RE: Letter from the Therapeutic Goods Administration to Little Green Pharma Ltd – AC-000000002798 [SEC=OFFICIAL]

REMINDER: Think before you click! This email originated from outside our organisation. Only click links or open attachments if you recognise the sender and know the content is safe.

Hi <mark>s22</mark>,

Thanks for your email – Little Green Pharma acknowledges receipt and will action.

We'd appreciate the opportunity to catch up with you please to discuss. Do you have time next week for a meeting?

Many Thank and Regards,



From: s22 <s22 @Health.gov.au>

Sent: Tuesday, 16 August 2022 2:49 PM

To: <u>\$22</u> <<u>\$22</u> <u>@lgp.global</u>>

Subject: Letter from the Therapeutic Goods Administration to Little Green Pharma Ltd – AC-00000002798 [SEC=OFFICIAL]

Caution: External Email

Dear <mark>s22</mark>

Please find **attached** a letter from the Therapeutic Goods Administration for your attention. We ask that you confirm receipt of this letter by **10:00 AM** on **Thursday 18 August 2022.** Yours sincerely,

Advertising and Product Investigations Section Regulatory Compliance Branch

Phone: ^{s22} Email: ^{s22} @Health.gov.au

Therapeutic Goods Administration Australian Government, Department of Health and Aged Care PO Box 100 Woden ACT 2606 www.tga.gov.au

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Therapeutic Goods Administration PO Box 100 Woden ACT 2606

2 September 2022

Re: Notice of intention to commence enforcement action (Ref: AC-00000002798)

Dear s22,

Thank you for your letter dated 16 August 2022 concerning the above proposed enforcement action as well as to your team for the subsequent follow up discussion between the TGA and Little Green Pharma (LGP)'s compliance team on Tuesday 30 August 2022.

Further to that letter, you requested that LGP provide submissions concerning whether the alleged unlawful advertising on the Website and Social Media (each as defined in the letter) contravened the Act, as well as on any other matter that LGP wished the TGA to consider in deciding whether enforcement action should be taken in relation to the alleged unlawful advertising identified in that letter.

To this end, we propose to first provide some context for LGP's use of the Website and Social Media, followed by addressing the specific concerns identified on page 2 of the letter concerning (a) the instructions on the Website relating to the use of medicinal cannabis products; and (b) posts on Social Media relating to the use and supply of medicinal cannabis products not entered on the ARTG; posts which appear to promote the use and supply of medicinal cannabis in the treatment of name medical conditions; and posts from third party users referencing specific medicinal cannabis products. We will then provide an overview of our actions following our broader review of the Website and Social Media and provide some closing comments.

Background

Given the Australian medicinal cannabis industry's relative infancy and the unapproved nature of the majority of its products, from its beginning many industry participants have generally assumed a role in assisting and educating prescribers and patients in understanding the requirements relating to accessing medicinal cannabis in Australia, as well as assisting prescribers become more comfortable in prescribing medicinal cannabis products. This is often because, given time constraints and unfamiliarity with unapproved medicinal cannabis products, many medical practitioners and pharmacists are frequently unwilling or unable to assume the additional administrative burden associated with assisting patients to access medicinal cannabis, may not be aware of emerging findings and research concerning medicinal cannabis, or may be unfamiliar with less common or emerging dosing methods, including consuming oils or cannabis flower products. This typically leads to prescribers and patients reaching out directly to product manufacturers or suppliers for assistance.

To help with managing these queries and provide this assistance, LGP and other industry participants subsequently developed reasonably sophisticated websites and social media accounts to provide the required educational content or other information, including resources designed to handle common queries. LGP believes these resources remain a highly useful means of providing the additional support required for the industry and proposes to retain them more generally. However, LGP is also very conscious that these resources may stray into the inadvertent promotion or advertising of the underlying unapproved medicinal cannabis products to which these educational efforts and administrative support often indirectly relate.



From its inception in 2016, LGP has developed and implemented a robust compliance framework across all areas of its business, from production, manufacturing, supply, investor engagement, and business development. To that end, LGP is grateful to the TGA for bringing the matters outlined in the letter to its attention, and for providing the opportunity for LGP to discuss and work together with the TGA to ensure strict compliance with the laws governing the advertising and promotion of unapproved medicines in Australia. In particular, the Company was very pleased with the opportunity to discuss the specific examples raised in the TGA's letter with your team, and in doing so better understand the lens through which the TGA approaches the analysis and review of potentially infringing conduct.

Review of specific conduct examples

Turning to the specific examples referred to in the letter, and further to our discussions with the TGA compliance team, we wish to refer you to the changes implemented to the Website and Social Media as shown in Schedule 1.

In broad terms, the changes seek to:

- remove any express references to LGP medicinal cannabis brands or product names
- remove any suggestions or implicit claims that LGP or other medicinal cannabis products may be used to treat any particular conditions, noting that LGP has retained or included links to third party sites (including the TGA) that provide peer-reviewed or other scientifically validated data on studies considering the use of medicinal cannabis generally
- moderate third-party content on Social Media where retention would imply LGP supports or endorses a statement that expressly or implicitly advertises or promotes an unapproved product.

Review of Website and Social Media

The Company has also conducted a wider review of the Website and Social Media and has implemented further changes. In broad terms, the changes seek to:

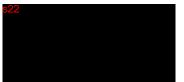
- remove any express references to LGP medicinal cannabis brands or product names or references to cannabis strains or cultivars more generally
- re-align all Social Media to focus solely on guidance on accessing medicinal cannabis, finding prescribers comfortable prescribing medicinal cannabis, industry research & development updates, and corporate / investor news
- moderate all third-party content on Social Media to remove any references to LGP products or other medicinal cannabis products or their efficacy
- retain Website content which provides general guidance or educational resources on (a) accessing medicinal cannabis, including the identity of consenting practitioners who have experience in prescribing medicinal cannabis; (b) cannabis, cannabinoids and the endocannabinoid system generally; (c) how to correctly use LGP products, including proper ways to take medicinal cannabis oil; and (d) matters going to patient safety including side-effect / pharmacovigilance reporting and product quality requirements imposed by law.

Closing comments

We believe these changes ensure the resulting Website and Social Media are entirely consistent with both the TGA published guidance on the marketing and advertising rules relating to unapproved medicinal products and the laws governing these matters in Australia. However, as always we would appreciate any further review or guidance you may wish to provide in relation to these matters.



We look forward to hearing from you. In Health,

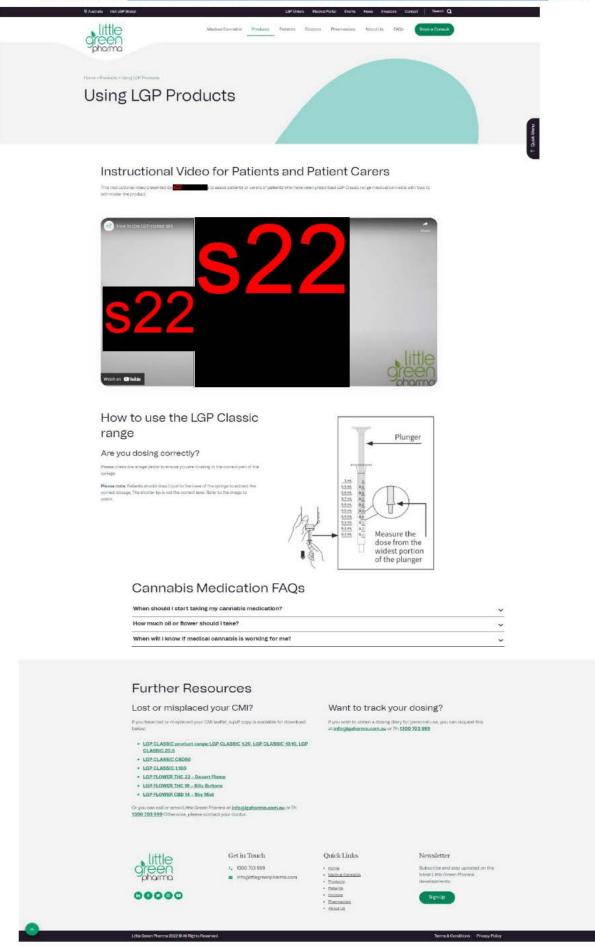


Little Green Pharma Ltd

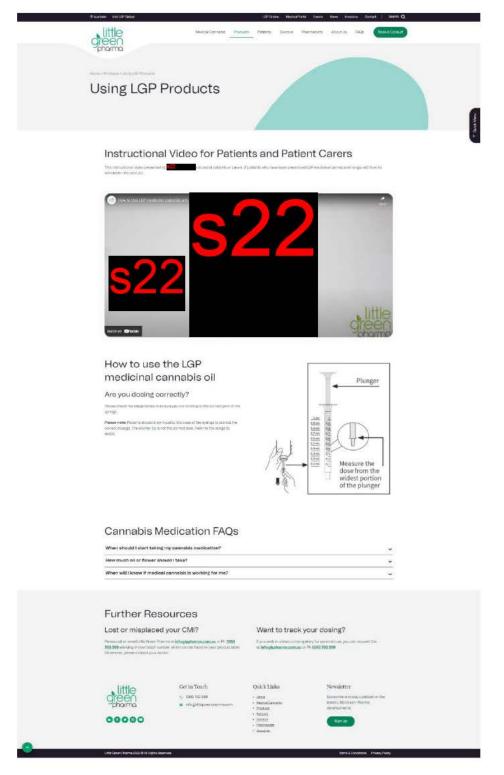
Schedule 1 [separate document]

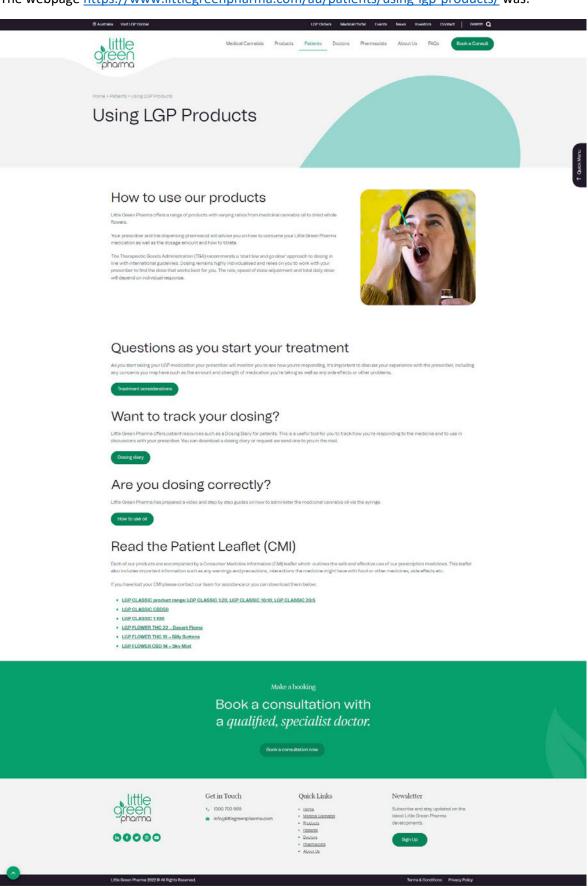
Schedule 1 - Changes to Website and Social Media

The webpage: <u>https://www.littlegreenpharma.com/au/products/using-lgp-products/</u> was as follows:



Now the same page <u>https://www.littlegreenpharma.com/au/products/using-lgp-products/</u> looks as below.





The webpage https://www.littlegreenpharma.com/au/patients/using-lgp-products/ was:

The product CMIs have been removed and now same webpage looks as below:



How to use our products

Your preachiber and the dispensing pharmacist will advise you on how to consume your Little Green Pharma medication as well as the dosage amount and how to thrate.

The Therapeutic Goode Administration (TGA) recommende a 'start low and go allow' approach to dowing in line with international guidelines. Dowing remains highly individualised and relies on you to work with your preactive to find the down that works bear for you. The rate, appead of dowi adjustment and total dealy down will depend on individual response.



Questions as you start your treatment

As you start taking your LGP medication your presenter will monitor you to see how you're responding. It's important to discuss your experience with the presenter, including any concurrency ou may have such as the amount and strength of medication you're taking as well as any side effects or other problems.

Treatment considerations

Want to track your dosing?

Liftle Green Pharma offers patient resources each as a Donly Diary for patients. This is a warful tool for you to track how you're responding to the medicine and to use in chacasetorie with your prescriber. You can download a doaling diary or respect we wand one to you in the mail.

Doning clary

Are you dosing correctly?

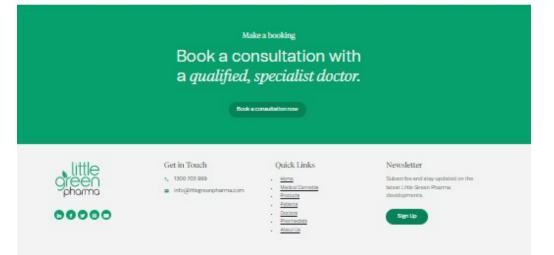
Little Green Pharma has propaged a video and step by step guides on how to administer the medicinal carreable of via the syrings.



Read the Patient Leaflet (CMI)

Each of our products are accompanied by a Consumer Medicine Information (CM) leaflet which outlines the safe and effective use of our preacription medicines. This leaflet also includes important information such as any warnings and precautions, interactions the medicine might have with food or other medicines, side effects etc.

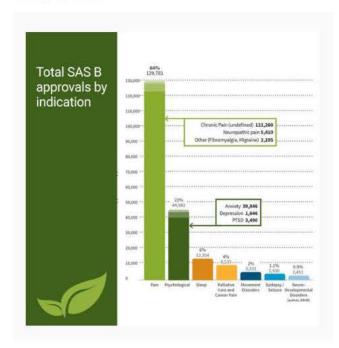
If you have lost your CMI please contact our team for assistance on 1300 703 999



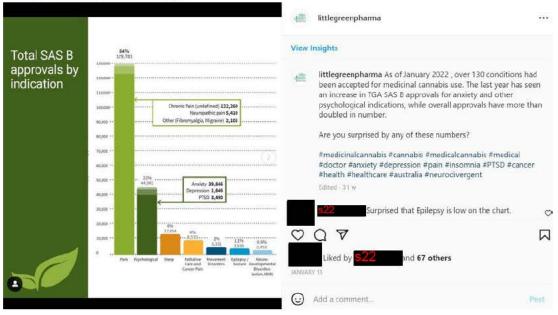
Social Media

The following posts have been removed from the LGP Instagram page: <u>https://www.instagram.com/littlegreenpharma/</u>

Instagram Post



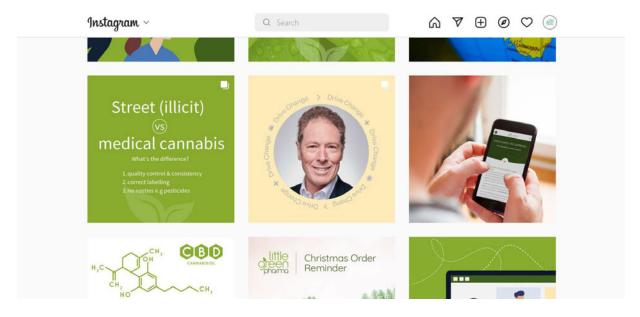
Instagram post with hashtags



Instagram grid with post previously

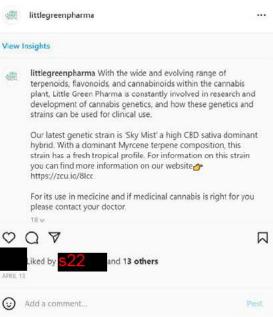


Instagram grid with post removed

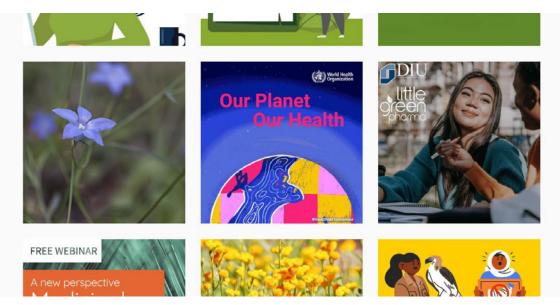


Instagram strains post





Instagram strain post grid previously



Instagram strains post grid with image removed

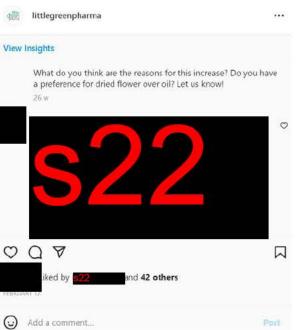


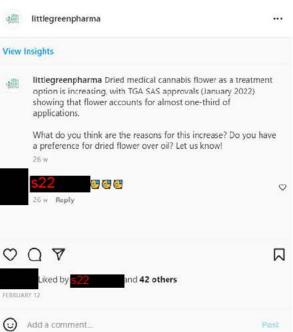


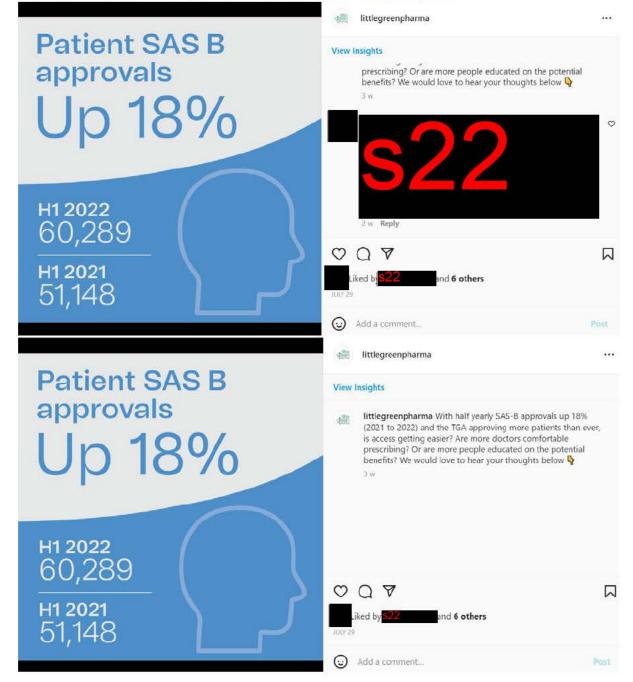
Instagram third party comment previously

Instagram third party comment removed

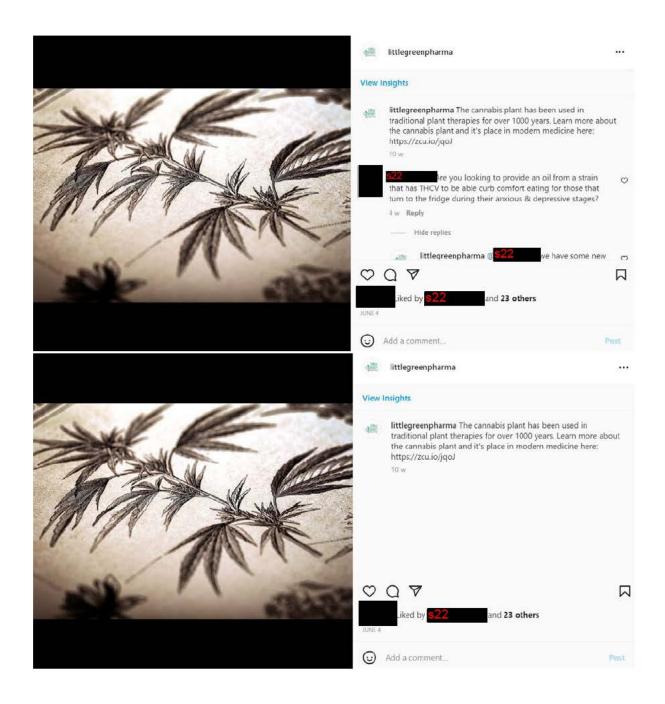








References to indications or products removed – before and after posts





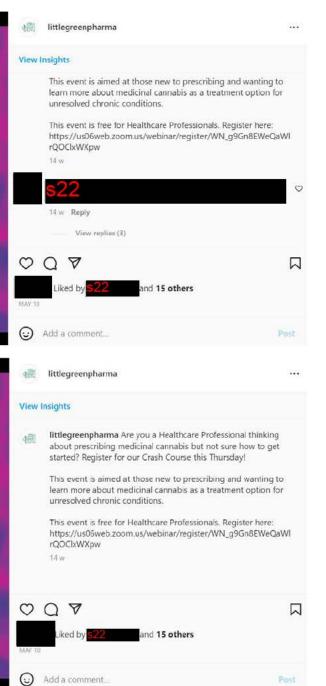
MEDICAL CANNABIS The Crash Course for HCPs



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MEDICAL CANNABIS The Crash Course for HCPs



Pub WHAT WIL // A doctor if medical	te Green Pharma Juhed by Zoho Social LL HAPPEN AT A DO r will need to estab cannabis is a svital sed on your circum	I O April 30 - O OCTORS CONSUL blish ble treatment op	noè
medical ca doctor can	needs TGA approva mabis. Once approve h provide you with rite a prescription f	roval is received, y a copy of this let	ter.
Edit	nore: https://zcu.ioj		
O 10		2 Comments 1 Si	dare +
	5 22	Most relevan	e •
a	Anter Little Green Phare Thanks The Th to hear! Like Reply Commented do by	ma iat is so great	***
till Co	16w mment as Little Gr	009	3

Facebook third party reference to condition

Facebook third party reference to condition removed





A doctor needs TGA approval to prescribe medical cannabis. Once approval is received, your doctor can provide you with a copy of this letter and can write a prescription for medical cansabis. Find out more https://zcu.io/74p0

Edit 📾 Comment as Little Gr. 🔾 🗇 🗐 🗇

Facebook third party reference to Desert Flame

Demecan managing director Philipp Goebel said it marks the beginning of a "highly mutually beneficial partnership" that will provide "high-quality LGP medicinal cannabis products to our patients and patients in Germany, and beyond".



CANNABIZ.COM.AU

LGP strikes three-year German flower deal

Little Green Pharma's Danish operation will provide more than 1.3 tonnes of white-label flower...

979 People reached	111 Engagements	– Distribution score	Boost post
23			5 Comments 2 Shares
ා Like	Ç	Comment	A Share
			All comments 👻
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S22	lide 3w		

Facebook third party reference to Desert Flame removed

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CANNABIZ.COM.AU

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Little Green Pharma's Danish operation will provide more than 1.3 tonnes of white-label flower...

979	111	-	
People reached	Engagements	Distribution score	Boost post
23			4 Comments 2 Shares
凸 Like		🗘 Comment	⇔ Share
			All comments 👻
Comment as Lit	ttle Green Pharma		0 @ @

Write a comment...

From:	s22
To:	s22
Cc:	<u>"info@littlegreenpharma.com";</u> s22 ; s22
Subject:	Infringement Notices from the Therapeutic Goods Administration to Little Green Pharma Ltd - AC-00000002798 [SEC=OFFICIAL]
Date:	Tuesday, 27 September 2022 3:23:00 PM
Attachments:	<u>image001.gif</u> Cover Letter and Infringement Notices to Little Green Pharma Ltd - 27 September 2022.pdf

Please find attached a letter and 28 infringement notices from the Therapeutic Goods Administration to Little Green Pharma Ltd.

We ask that you confirm receipt of our correspondence by **5:00 PM** on **Wednesday 28 September 2022**.

Yours sincerely,

Dear <mark>s22</mark>

s22
s22
Advertising and Product Investigation Section
Regulatory Compliance Branch
Phone: ^{\$22}
Email: ^{s22} @Health.gov.au_
Therapeutic Goods Administration
Australian Government, Department of Health and Aged Care
PO Box 100
Woden ACT 2606
www.tga.gov.au
?

Important: This transmission is intended only for the use of the addressee and may contain confidential or legally privileged information. If you are not the intended recipient, you are notified that any use or dissemination of this communication is strictly prohibited. If you receive this transmission in error please notify the author immediately and delete all copies of this transmission.



Australian Government

Department of Health and Aged Care Therapeutic Goods Administration

Little Green Pharma Ltd Suite 2 Level 2 66 Kings Park Road West Perth WA 6005

Our Reference: AC-00000002798

By Express Post and By Email: <u>
⁹²²</u> @lgp.global info@littlegreenpharma.com

27 September 2022

Dear s22

Infringement notices given to Little Green Pharma Ltd

Infringement Notice	Amount	Payment Due by
TGAIN-AC-000000002798/2022-1	\$13,320.00	26 October 2022
TGAIN-AC-000000002798/2022-2	\$13,320.00	26 October 2022
TGAIN-AC-000000002798/2022-3	\$13,320.00	26 October 2022
TGAIN-AC-000000002798/2022-4	\$13,320.00	26 October 2022
TGAIN-AC-000000002798/2022-5	\$13,320.00	26 October 2022
TGAIN-AC-000000002798/2022-6	\$13,320.00	26 October 2022
TGAIN-AC-000000002798/2022-7	\$13,320.00	26 October 2022
TGAIN-AC-000000002798/2022-8	\$13,320.00	26 October 2022
TGAIN-AC-000000002798/2022-9	\$13,320.00	26 October 2022
TGAIN-AC-000000002798/2022-10	\$13,320.00	26 October 2022
TGAIN-AC-000000002798/2022-11	\$13,320.00	26 October 2022
TGAIN-AC-000000002798/2022-12	\$13,320.00	26 October 2022
TGAIN-AC-000000002798/2022-13	\$13,320.00	26 October 2022
TGAIN-AC-000000002798/2022-14	\$13,320.00	26 October 2022
TGAIN-AC-000000002798/2022-15	\$13,320.00	26 October 2022
TGAIN-AC-000000002798/2022-16	\$13,320.00	26 October 2022
TGAIN-AC-000000002798/2022-17	\$13,320.00	26 October 2022
TGAIN-AC-000000002798/2022-18	\$13,320.00	26 October 2022
TGAIN-AC-000000002798/2022-19	\$13,320.00	26 October 2022
TGAIN-AC-000000002798/2022-20	\$13,320.00	26 October 2022
TGAIN-AC-000000002798/2022-21	\$13,320.00	26 October 2022
TGAIN-AC-000000002798/2022-22	\$13,320.00	26 October 2022
TGAIN-AC-000000002798/2022-23	\$13,320.00	26 October 2022
TGAIN-AC-000000002798/2022-24	\$13,320.00	26 October 2022
TGAIN-AC-000000002798/2022-25	\$13,320.00	26 October 2022
TGAIN-AC-000000002798/2022-26	\$13,320.00	26 October 2022
TGAIN-AC-000000002798/2022-27	\$13,320.00	26 October 2022
TGAIN-AC-000000002798/2022-28	\$13,320.00	26 October 2022
Total	\$ 372,960.00	

PO Box 100 Woden ACT 2606 ABN 40 939 406 804 Phone: 1800 020 653 or 02 6289 4124 Fax: 02 6203 1605 Email: <u>info@tga.gov.au</u> <u>https://www.tga.gov.au</u>



- 1. I refer to our previous correspondence regarding this matter, including our letter to Little Green Pharma Ltd (LGP) dated 16 August 2022, and submissions from LGP dated 2 September 2022.
- 2. I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act).
- Having considered LGP's submissions, I have decided to give 28 infringement notices (enclosed to this letter) to LGP under Part 5A-2 of the Act, on the basis that I reasonably believe LGP has contravened subsection 42DLB(1) of the Act, where subsections (2), (4), (7) and (9) applied.
- 4. The infringement notices are enclosed to this letter and include information on how to pay the penalty amount, how to request an extension of the compliance period for payment, how to request that the infringement notices be withdrawn, and the actions that we may take in the event of non-payment of the infringement notices. It is important that LGP carefully read the infringement notices and the information contained within.
- 5. Infringement notices are an opportunity for LGP to pay an amount as an alternative to having court proceedings brought against LGP in relation to the alleged contraventions described in each of the notices.
- 6. LGP should be aware of the possible consequences for not paying an infringement notice. If LGP chooses not to pay the penalty amount, proceedings may be brought against LGP for the alleged contraventions described in the infringement notices. We note that the maximum civil penalty that a court can impose on a company for a contravention of subsection 42DLB(1) of the Act is \$11.1 million per contravention. In a recent decision by the Federal Court, non-payment of a single infringement notice issued against a company resulted in the court ordering a total of \$96,000 in penalty against both the company and its executive directors.¹
- 7. To avoid being liable for further contraventions of the Act, LGP should immediately take steps to ensure that any compliance issues, including any beyond the scope of this letter, are identified and resolved, noting that we reserve our rights to take enforcement action in relation to any further non-compliance we may identify.
- For more information about infringement notices, I refer LGP to the following on the TGA website:
 - a. Information about infringement notices | Therapeutic Goods Administration (TGA).
 - b. Infringement notices | Therapeutic Goods Administration (TGA).

Delegate comments and concerns

- 9. While I am not required to provide reasons for giving an infringement notice under the Act, I offer the following remarks to assist LGP to understand why I have decided to take this particular action.
- 10. I note that there have been significant Federal Court decisions in which the Court has clearly stated that ignorance of, or inexperience with, the therapeutic goods framework is not an excuse.² Those who engage in businesses involving therapeutic goods have a

¹ Enviro Tech Holdings and company officers ordered by the Federal Court to pay \$96,000 for unlawful importation of surgical face masks | Therapeutic Goods Administration (TGA).

² <u>Secretary, Department of Health v Enviro Tech Holdings Pty Ltd [2022] FCA 865 (fedcourt.gov.au)</u> at paragraph [76].

responsibility to be aware of the laws that govern those products, and to comply with those from the outset.³

- 11. Additionally, I note that LGP has previously been made aware of the advertising prohibitions under the Act on at least the following occasions:
 - a. in 2017, LGP received guidance from the Pharmacovigilance and Special Access Branch in relation to advertising restrictions, and
 - b. in 2019, LGP received guidance from the Regulatory Compliance Branch in relation to the alleged unlawful advertising of medicinal cannabis products.
- c. I have reviewed the following platforms for which LGP appear to be responsible:
 - a. <u>https://www.littlegreenpharma.com/au/</u> (the Website)
 - b. https://www.instagram.com/littlegreenpharma/, and
 - c. <u>https://www.facebook.com/littlegreenpharma/</u> (collectively, the Social Media).
- d. While not all information released to the public about therapeutic goods is advertising, I consider that the Website and the Social Media unlawfully advertise medicinal cannabis products, as defined in section 3(1) of the Act, for the following reasons:
 - a. The Website and Social Media contain statements and pictorial representations which were intended to promote the use or supply, either directly or indirectly, of medicinal cannabis products. For example (but not limited to):
 - i. The webpages on the Website and/or the posts on the Social Media (being advertisements) were close, or directed the reader, to a means of accessing medicinal cannabis products, such as a prominently displayed option on the Website to 'Book a Consult'.
 - ii. The use of hashtags in Social Media posts, a function which is predominantly used to promote the content, that referred to medicinal cannabis products by using the hashtags '#cannabis', '#medicinalcannabis', '#medicalcannabis'.
 - iii. The Website and Social Media made unauthorised therapeutic claims, regarding the efficacy of medicinal cannabis. For example, making references to serious forms of a disease, ailment or condition, in circumstances which inferred (directly or indirectly) that medicinal cannabis may be, or is, a suitable treatment for the medical condition mentioned.
 - b. The advertisements:
 - i. refer to goods that are not entered in the Australian Register of Therapeutic Goods, such as the LGP Classic 10:10
 - ii. refer to substances which are included in Schedule 3, 4 or 8 to the current Poisons Standard but not in Appendix H of the current Poisons Standard, and
 - iii. contain prohibited representations, such as anxiety, and/or restricted representations, such as epilepsy, being references to serious forms of diseases, ailments and conditions without TGA permission or approval.
- 12. As outlined in its written submissions dated 2 September 2022, amongst other steps taken by LGP since receiving our letter of 16 August 2022, significant changes appear to have been made to the Website and Social Media, including the removal of express references to medicinal cannabis products and the removal of multiple posts on the Social Media.

³ <u>Secretary, Department of Health v Oxymed Australia Pty Ltd [2021] FCA 1518 (fedcourt.gov.au)</u> at paragraph [251].

- 13. Each instance of non-compliant advertising on each day constitutes a separate contravention of the Act. We hold evidence that the contraventions as particularised in the infringement notices occurred from at least late April 2022 to at least 15 August 2022.
- 14. Taking into account the written submissions and changes made to the Website and Social Media, I have decided not to give infringement notices for all identified alleged contraventions of the Act at this time, including those:
 - a. occurring from April 2022 to 1 August 2022
 - b. which occurred on the Social Media in posts made prior to January 2022
 - c. which appeared identical or sufficiently similar, to the advertising on another page of the Website or another Social Media post
 - d. arising from the same restricted or prohibited representation which was made multiple times on the Website and/or the Social Media, and
 - e. arising from a reference to the same distinct medicinal cannabis products, which appeared multiple times on the Website and/or the Social Media.
- 15. Please contact **s22** by email at <u>s22</u> <u>@health.gov.au</u> should LGP wish to discuss this matter further.

Yours sincerely

Nicole McLay

Delegate of the Secretary of the Department of Health and Aged Care Therapeutic Goods Administration



Australian Government

Department of Health and Aged Care Therapeutic Goods Administration

Little Green Pharma Ltd ACN 615 586 215 Suite 2 Level 2 66 Kings Park Road West Perth WA 6006

By Express Post and By Email: <u>@lgp.global</u> info@littlegreenpharma.com Infringement Notice Number: TGAIN-AC-000000002798/2022-1

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

Enquiries:

Telephone: ^{\$22} Email: <mark>\$22 @health.gov.au</mark>

INFRINGEMENT NOTICE GIVEN TO

Little Green Pharma Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Little Green Pharma Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Little Green Pharma Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(7) applied.

The details of the alleged contravention are that:

On 2 August 2022, Little Green Pharma Ltd did advertise, or caused the advertising of, therapeutic goods, being medicinal cannabis products, on the web page located at https://www.littlegreenpharma.com/au/products/using-lgp-products/.

The advertisement referred to goods containing substances included in Schedule 3, 4 or 8 to the current Poisons Standard, such as cannabidiol or cannabis, but not in Appendix H of the <u>current Poisons Standard</u>, other than a reference authorised or required by a government or government authority.

PO Box 100 Woden ACT 2606 ABN 40 939 406 804 Phone: 1800 020 653 or 02 6289 4124 Fax: 02 6203 1605 Email: info@tga.gov.au https://www.tga.gov.au



An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.⁴

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.

CHEQUE Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



CREDIT CARD

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on **(02) 6289 1095**. Please include the infringement notice number TGAIN-AC-000000002798/2022-1 as reference to identify your payment



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-00000002798/2022-1 in the description of your transfer and allow two business days for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

PART D: Information about this Infringement Notice

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice, and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

An infringement notice is an opportunity for you to pay an amount as an alternative to having court proceedings brought against you in relation to the alleged contravention described in this notice. You may therefore choose not to pay the penalty amount payable under this notice. If you choose not to pay the penalty amount, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act may be brought against you in relation to the alleged contravention described in this notice.

Effect of the lapsing of the compliance period for the notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

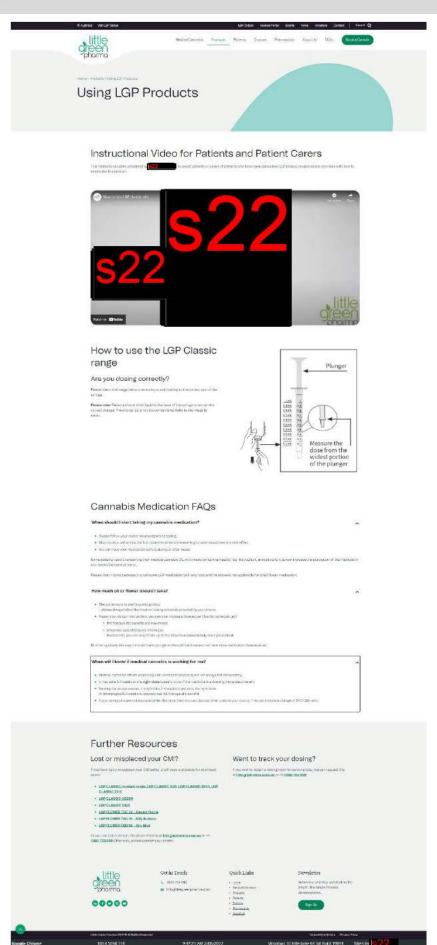
- <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

Date: 27/09/2022





Department of Health and Aged Care Therapeutic Goods Administration

> Infringement Notice Number: TGAIN-AC-00000002798/2022-2

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

Enquiries:

S22 Telephone: S22 Email: S22 @health.gov.au

Little Green Pharma Ltd ACN 615 586 215 Suite 2 Level 2 66 Kings Park Road West Perth WA 6006

By Express Post and By Email: <u>@lgp.global</u> <u>info@littlegreenpharma.com</u>

INFRINGEMENT NOTICE GIVEN TO

Little Green Pharma Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Little Green Pharma Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Little Green Pharma Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(9) applied.

The details of the alleged contravention are that:

On 2 August 2022, Little Green Pharma Ltd did advertise, or caused the advertising of, a therapeutic good, being LGP Classic 1:20, on the web page located at https://www.littlegreenpharma.com/au/products/using-lgp-products/.

The advertisement referred to a therapeutic good that is not entered in the Australian Register of Therapeutic Goods and that is a prescribed good, being a therapeutic good that is neither the subject of an exemption, approval or authority under the Act. The reference to the prescribed good is not authorised or required by a government or government authority.



An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.⁵

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.

CHEQUE Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received CREDIT CARD

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on **(02) 6289 1095**. Please include the infringement notice number TGAIN-AC-000000002798/2022-2 as reference to identify your payment



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-00000002798/2022-2 in the description of your transfer and allow two business days for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

⁵ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice, and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

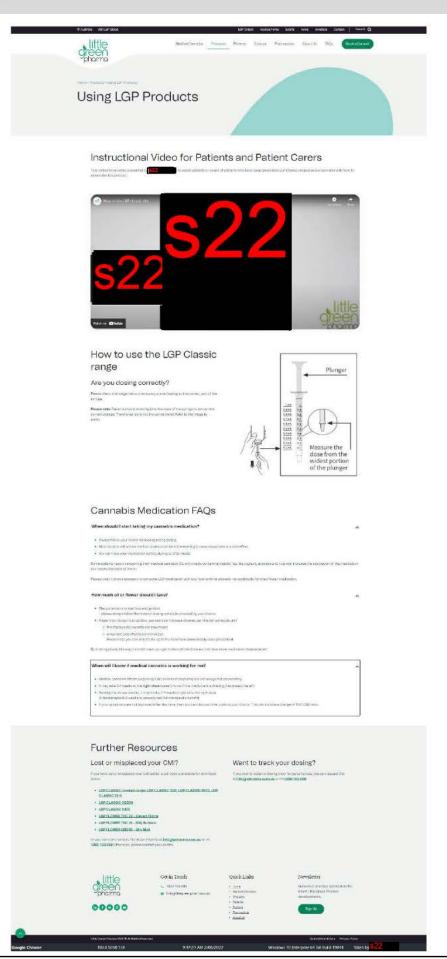
Written representations can be made by sending them directly to:

- <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609





Department of Health and Aged Care Therapeutic Goods Administration

> Infringement Notice Number: TGAIN-AC-00000002798/2022-3

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

Enquiries:

szz Telephone: <mark>szz</mark> Email: <mark>szz ______@health.gov.au</mark>

Little Green Pharma Ltd ACN 615 586 215 Suite 2 Level 2 66 Kings Park Road West Perth WA 6006

By Express Post and By Email: <u>@lgp.global</u> <u>info@littlegreenpharma.com</u>

INFRINGEMENT NOTICE GIVEN TO

Little Green Pharma Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Little Green Pharma Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Little Green Pharma Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(9) applied.

The details of the alleged contravention are that:

On 2 August 2022, Little Green Pharma Ltd did advertise, or caused the advertising of, a therapeutic good, being LGP Classic 1:10, on the web page located at https://www.littlegreenpharma.com/au/products/using-lgp-products/.

The advertisement referred to a therapeutic good that is not entered in the Australian Register of Therapeutic Goods and that is a prescribed good, being a therapeutic good that is neither the subject of an exemption, approval or authority under the Act. The reference to the prescribed good is not authorised or required by a government or government authority.



An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to $$11,100,000.^{6}$

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.

CHEQUE Return this notice to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



CREDIT CARD

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on **(02) 6289 1095**. Please include the infringement notice number TGAIN-AC-000000002798/2022-3 as reference to identify your payment



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-00000002798/2022-3 in the description of your transfer and allow two business days for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

⁶ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice, and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

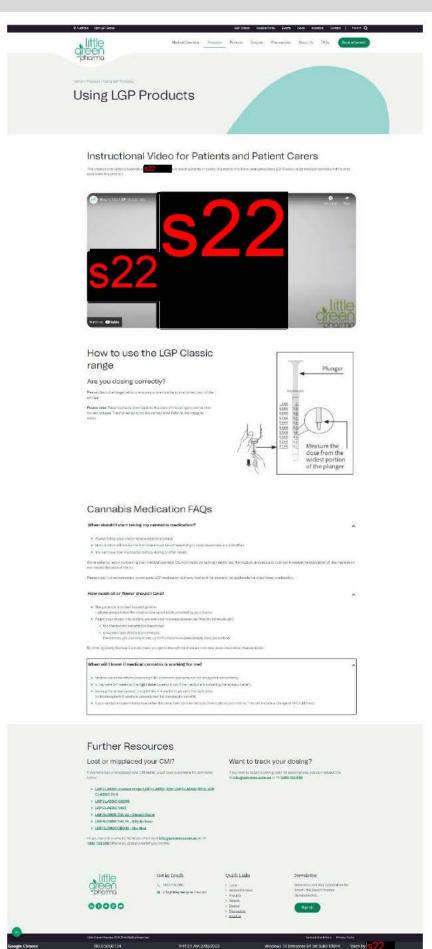
- <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

ANNEXURE A:





Department of Health and Aged Care Therapeutic Goods Administration

> Infringement Notice Number: TGAIN-AC-00000002798/2022-4 Date given: 27/09/2022 Penalty total: \$ 13,320.00 Payment due: 26/10/2022 Enquiries: 22 Telephone: 22 Email: 22

Little Green Pharma Ltd ACN 615 586 215 Suite 2 Level 2 66 Kings Park Road West Perth WA 6006

By Express Post and By Email: <u>@lgp.global</u> <u>info@littlegreenpharma.com</u>

INFRINGEMENT NOTICE GIVEN TO

Little Green Pharma Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Little Green Pharma Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Little Green Pharma Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(9) applied.

The details of the alleged contravention are that:

On 2 August 2022, Little Green Pharma Ltd did advertise, or caused the advertising of, a therapeutic good, being LGP Classic 20:5, on the web page located at https://www.littlegreenpharma.com/au/products/using-lgp-products/.

The advertisement referred to a therapeutic good that is not entered in the Australian Register of Therapeutic Goods and that is a prescribed good, being a therapeutic good that is neither the subject of an exemption, approval or authority under the Act. The reference



to the prescribed good is not authorised or required by a government or government authority.

An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.⁷

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.



Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



CREDIT CARD

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN-AC-00000002798/2022-4 as reference to identify your payment



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-00000002798/2022-4 in the description of your transfer and allow two business days for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

⁷ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice, and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

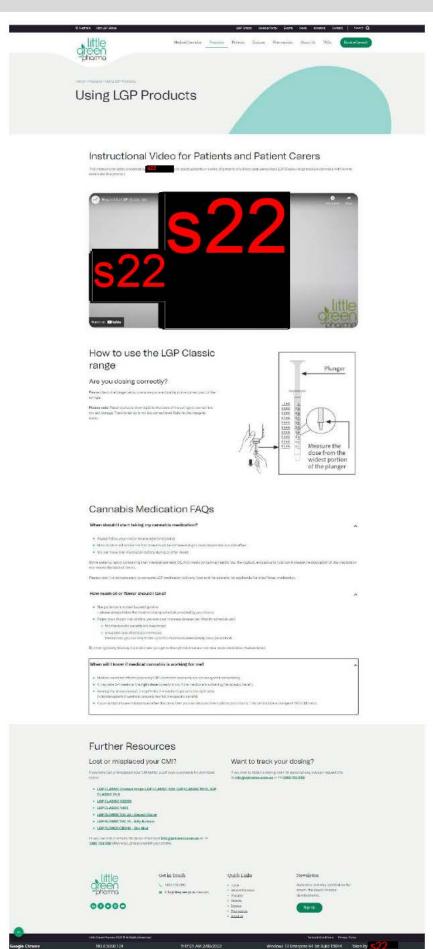
- <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

ANNEXURE A:





Department of Health and Aged Care Therapeutic Goods Administration

Little Green Pharma Ltd ACN 615 586 215 Suite 2 Level 2 66 Kings Park Road West Perth WA 6006

By Express Post and By Email: <u>@lgp.global</u> info@littlegreenpharma.com Infringement Notice Number: TGAIN-AC-000000002798/2022-5

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

@health.gov.au

Enquiries:

Telephone: ^{s22} Email: ^{s22}

INFRINGEMENT NOTICE GIVEN TO

Little Green Pharma Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Little Green Pharma Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Little Green Pharma Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(9) applied.

The details of the alleged contravention are that:

On 2 August 2022, Little Green Pharma Ltd did advertise, or caused the advertising of, a therapeutic good, being LGP Classic CBD 50, on the web page located at https://www.littlegreenpharma.com/au/products/using-lgp-products/.

The advertisement referred to a therapeutic good that is not entered in the Australian Register of Therapeutic Goods and that is a prescribed good, being a therapeutic good that is neither the subject of an exemption, approval or authority under the Act. The reference



to the prescribed good is not authorised or required by a government or government authority.

An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.⁸

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.

Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received

CREDIT CARD

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on **(02) 6289 1095**. Please include the infringement notice number TGAIN-AC-000000002798/2022-5 as reference to identify your payment



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-00000002798/2022-5 in the description of your transfer and allow two business days for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

⁸ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice, and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

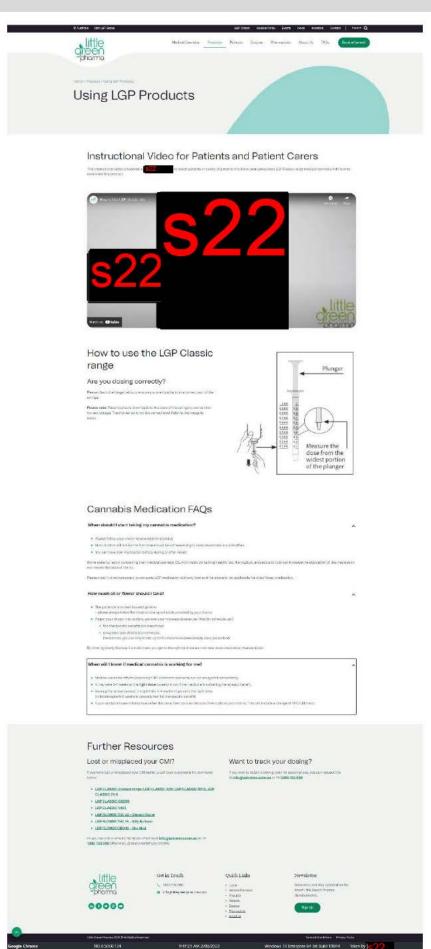
- <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

ANNEXURE A:





Department of Health and Aged Care Therapeutic Goods Administration

> Infringement Notice Number: TGAIN-AC-00000002798/2022-6

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

Enquiries:

s22 Telephone: <mark>s22</mark> Email: <mark>s22 @health.gov.au</mark>

Little Green Pharma Ltd ACN 615 586 215 Suite 2 Level 2 66 Kings Park Road West Perth WA 6006

By Express Post and By Email: <u>@lgp.global</u> <u>info@littlegreenpharma.com</u>

INFRINGEMENT NOTICE GIVEN TO

Little Green Pharma Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Little Green Pharma Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Little Green Pharma Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(9) applied.

The details of the alleged contravention are that:

On 2 August 2022, Little Green Pharma Ltd did advertise, or caused the advertising of, a therapeutic good, being LGP Classic 1:100, on the web page located at https://www.littlegreenpharma.com/au/products/using-lgp-products/.

The advertisement referred to a therapeutic good that is not entered in the Australian Register of Therapeutic Goods and that is a prescribed good, being a therapeutic good that is neither the subject of an exemption, approval or authority under the Act. The reference to the prescribed good is not authorised or required by a government or government authority.



An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.⁹

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.

CHEQUE Return this notice to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



CREDIT CARD

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on **(02) 6289 1095**. Please include the infringement notice number TGAIN-AC-000000002798/2022-6 as reference to identify your payment



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-00000002798/2022-6 in the description of your transfer and allow two business days for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

⁹ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice, and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

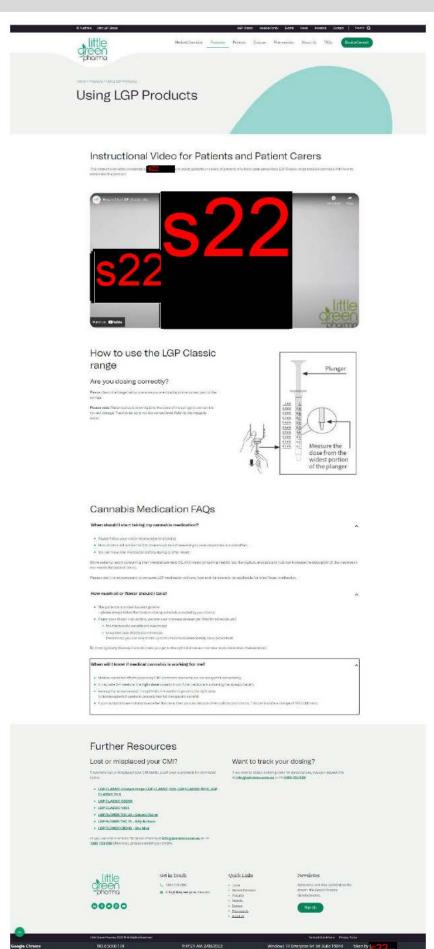
- <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

ANNEXURE A:





Department of Health and Aged Care Therapeutic Goods Administration

> Infringement Notice Number: TGAIN-AC-00000002798/2022-7 Date given: 27/09/2022 Penalty total: \$ 13,320.00 Payment due: 26/10/2022 Enquiries: 22 Telephone: 22 Email: 22

Little Green Pharma Ltd ACN 615 586 215 Suite 2 Level 2 66 Kings Park Road West Perth WA 6006

By Express Post and By Email: <u>@lgp.global</u> info@littlegreenpharma.com

INFRINGEMENT NOTICE GIVEN TO

Little Green Pharma Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Little Green Pharma Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Little Green Pharma Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(9) applied.

The details of the alleged contravention are that:

On 2 August 2022, Little Green Pharma Ltd did advertise, or caused the advertising of, a therapeutic good, being LGP Flower THC 22 – Desert Flame, on the web page located at <u>https://www.littlegreenpharma.com/au/products/using-lgp-products/</u>.

The advertisement referred to a therapeutic good that is not entered in the Australian Register of Therapeutic Goods and that is a prescribed good, being a therapeutic good that is neither the subject of an exemption, approval or authority under the Act. The reference



to the prescribed good is not authorised or required by a government or government authority.

An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.¹⁰

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.

Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



CREDIT CARD

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on **(02) 6289 1095**. Please include the infringement notice number TGAIN-AC-00000002798/2022-7 as reference to identify your payment



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-00000002798/2022-7 in the description of your transfer and allow two business days for payment to be received.

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¹⁰ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice, and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

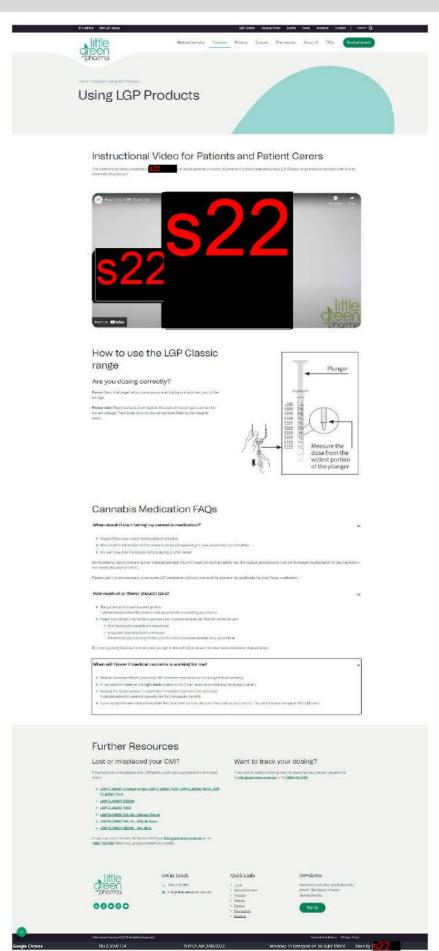
- <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

ANNEXURE A:





Department of Health and Aged Care

Therapeutic Goods Administration

Infringement Notice Number: TGAIN-AC-00000002798/2022-8

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

Enquiries:

By Express Post and By Email: <u>@lgp.global</u> info@littlegreenpharma.com

Little Green Pharma Ltd ACN 615 586 215

West Perth WA 6006

Suite 2 Level 2 66 Kings Park Road

> S22 Telephone: S22 Email: S22 @health.gov.au

INFRINGEMENT NOTICE GIVEN TO Little Green Pharma Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Little Green Pharma Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Little Green Pharma Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(9) applied.

The details of the alleged contravention are that:

On 2 August 2022, Little Green Pharma Ltd did advertise, or caused the advertising of, a therapeutic good, being LGP Flower THC 19 – Billy Buttons, on the web page located at <u>https://www.littlegreenpharma.com/au/products/using-lgp-products/</u>.

The advertisement referred to a therapeutic good that is not entered in the Australian Register of Therapeutic Goods and that is a prescribed good, being a therapeutic good that is neither the subject of an exemption, approval or authority under the Act. The reference



to the prescribed good is not authorised or required by a government or government authority.

An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.¹¹

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.

Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received

Use your credit card

to pay your notice by calling the Collector of Relevant Monies directly on (02) 6289 1095. Please include the infringement notice number TGAIN-AC-000000002798/2022-8 as reference to identify your payment



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-00000002798/2022-8 in the description of your transfer and allow two business days for payment to be received.

Note: The Department of Health and Aged Care accepts payment on behalf of the Commonwealth and will issue a tax invoice on receipt of payment.

¹¹ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice, and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

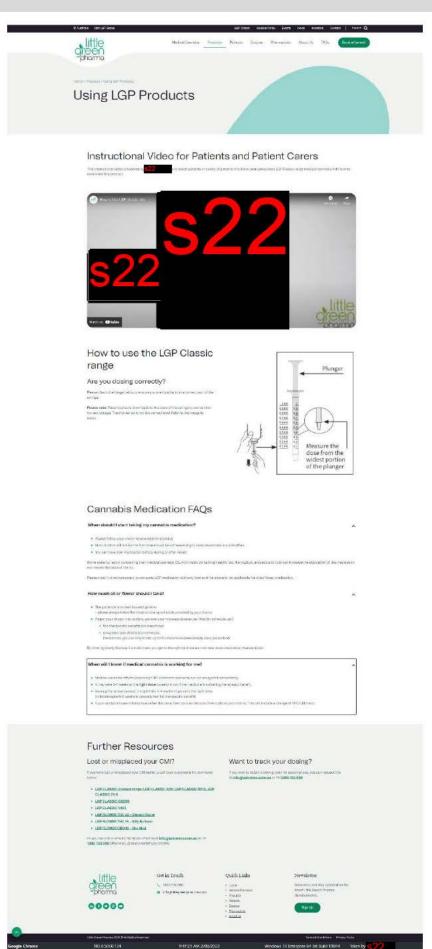
- <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

ANNEXURE A:



@health.gov.au



Australian Government

Department of Health and Aged Care Therapeutic Goods Administration

> Infringement Notice Number: TGAIN-AC-000000002798/2022-9

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

Enquiries:

Telephone: ^{s22} Email: <mark>s22</mark>

Little Green Pharma Ltd ACN 615 586 215 Suite 2 Level 2 66 Kings Park Road West Perth WA 6006

By Express Post and By Email: <u>@lgp.global</u> info@littlegreenpharma.com

INFRINGEMENT NOTICE GIVEN TO

Little Green Pharma Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Little Green Pharma Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Little Green Pharma Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(9) applied.

The details of the alleged contravention are that:

On 2 August 2022, Little Green Pharma Ltd did advertise, or caused the advertising of, a therapeutic good, being LGP Flower CBD 14 – Sky Mist, on the web page located at https://www.littlegreenpharma.com/au/products/using-lgp-products/.

The advertisement referred to a therapeutic good that is not entered in the Australian Register of Therapeutic Goods and that is a prescribed good, being a therapeutic good that is neither the subject of an exemption, approval or authority under the Act. The reference



to the prescribed good is not authorised or required by a government or government authority.

An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.¹²

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.

Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on **(02) 6289 1095**. Please include the infringement notice number TGAIN-AC-000000002798/2022-9 as reference to identify your payment

CREDIT CARD



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-00000002798/2022-9 in the description of your transfer and allow two business days for payment to be received.

¹² A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice, and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

- <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

ANNEXURE A:





Department of Health and Aged Care Therapeutic Goods Administration

> Infringement Notice Number: TGAIN-AC-000000002798/2022-10

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

@health.gov.au

Enquiries:

By Express Post and By Email: <u>@lgp.global</u> info@littlegreenpharma.com

Little Green Pharma Ltd ACN 615 586 215

West Perth WA 6006

Suite 2 Level 2 66 Kings Park Road

Telephone:

Email:

INFRINGEMENT NOTICE GIVEN TO

Little Green Pharma Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Little Green Pharma Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Little Green Pharma Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(7) applied.

The details of the alleged contravention are that:

The advertisement referred to goods containing substances included in Schedule 3, 4 or 8 to the current Poisons Standard, such as cannabidiol or cannabis, but not in Appendix H of the <u>current Poisons Standard</u>, other than a reference authorised or required by a government or government authority.



The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.¹³

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.

CHEQUE Return this notice to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



CREDIT CARD

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on **(02) 6289 1095**. Please include the infringement notice number TGAIN-AC-000000002798/2022-10 as reference to identify your payment



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-00000002798/2022-10 in the description of your transfer and allow two business days for payment to be received.

¹³ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice, and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

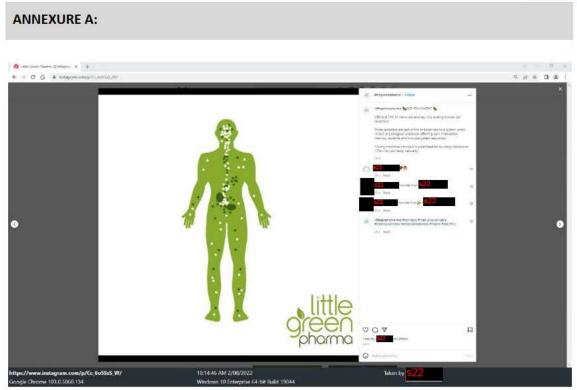
Written representations can be made by sending them directly to:

- <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609





Department of Health and Aged Care Therapeutic Goods Administration

> Infringement Notice Number: TGAIN-AC-000000002798/2022-11

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

Enquiries:

By Express Post and By Email: <u>@lqp.qlobal</u> info@littlegreenpharma.com

Little Green Pharma Ltd

ACN 615 586 215 Suite 2 Level 2

66 Kings Park Road West Perth WA 6006

> Telephone: ^{s22} Email: ^{s22} @health.gov.au

INFRINGEMENT NOTICE GIVEN TO

Little Green Pharma Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Little Green Pharma Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Little Green Pharma Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(2) applied.

The details of the alleged contravention are that:

On 2 August 2022, Little Green Pharma Ltd did advertise, or caused the advertising of, therapeutic goods, being medicinal cannabis products, on the web page located at https://www.instagram.com/p/Cc4CFGIBUV5/.

The advertisement contained a prohibited representation (whether in express terms or by necessary implication) about the goods, being a reference to anxiety. No permission under section 42DK of the Act was in force in relation to the prohibited representation.



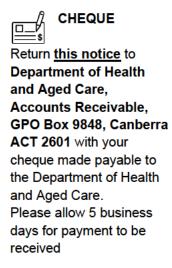
The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.¹⁴

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.





CREDIT CARD

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on **(02) 6289 1095**. Please include the infringement notice number TGAIN-AC-00000002798/2022-11 as reference to identify your payment



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-00000002798/2022-11 in the description of your transfer and allow two business days for payment to be received.

¹⁴ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice, and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

- <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609





Department of Health and Aged Care Therapeutic Goods Administration

Little Green Pharma Ltd ACN 615 586 215 Suite 2 Level 2 66 Kings Park Road West Perth WA 6006

By Express Post and By Email: <u>@lgp.global</u> info@littlegreenpharma.com Infringement Notice Number: TGAIN-AC-00000002798/2022-12

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

Enquiries:

Telephone: ^{s22} Email: ^{s22} @health.gov.au

INFRINGEMENT NOTICE GIVEN TO

Little Green Pharma Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Little Green Pharma Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Little Green Pharma Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(2) applied.

The details of the alleged contravention are that:

On 2 August 2022, Little Green Pharma Ltd did advertise, or caused the advertising of, therapeutic goods, being medicinal cannabis products, on the web page located at https://www.instagram.com/p/Cc4CFGIBUV5/.

The advertisement contained a prohibited representation (whether in express terms or by necessary implication) about the goods, being a reference to depression. No permission under section 42DK of the Act was in force in relation to the prohibited representation.



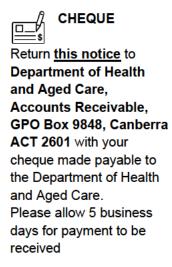
The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.¹⁵

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.





CREDIT CARD

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on **(02) 6289 1095**. Please include the infringement notice number TGAIN-AC-000000002798/2022-12 as reference to identify your payment



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-00000002798/2022-12 in the description of your transfer and allow two business days for payment to be received.

¹⁵ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice, and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

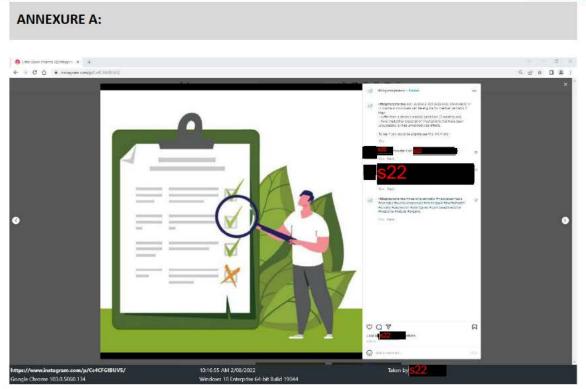
Written representations can be made by sending them directly to:

- <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609





Department of Health and Aged Care Therapeutic Goods Administration

Little Green Pharma Ltd ACN 615 586 215 Suite 2 Level 2 66 Kings Park Road West Perth WA 6006

By Express Post and By Email: <u>@lgp.global</u> info@littlegreenpharma.com Infringement Notice Number: TGAIN-AC-00000002798/2022-13

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

Enquiries:

Telephone: ^{S22} Email: ^{S22} <u>@health.gov.au</u>

INFRINGEMENT NOTICE GIVEN TO

Little Green Pharma Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Little Green Pharma Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Little Green Pharma Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(4) applied.

The details of the alleged contravention are that:

On 2 August 2022, Little Green Pharma Ltd did advertise, or caused the advertising of, therapeutic goods, being medicinal cannabis products, on the web page located at https://www.instagram.com/p/Cc4CFGIBUV5/.

The advertisement contained a restricted representation, (whether in express terms or by necessary implication), being a reference to chronic pain, about the goods. Neither an approval under section 42DF of the Act, nor a permission under section 42DK of the Act was in force in relation to the restricted representation.



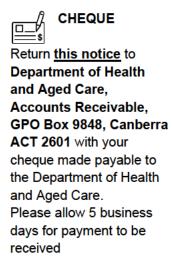
The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.¹⁶

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.





CREDIT CARD

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on **(02) 6289 1095**. Please include the infringement notice number TGAIN-AC-00000002798/2022-13 as reference to identify your payment



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-00000002798/2022-13 in the description of your transfer and allow two business days for payment to be received.

¹⁶ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice, and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

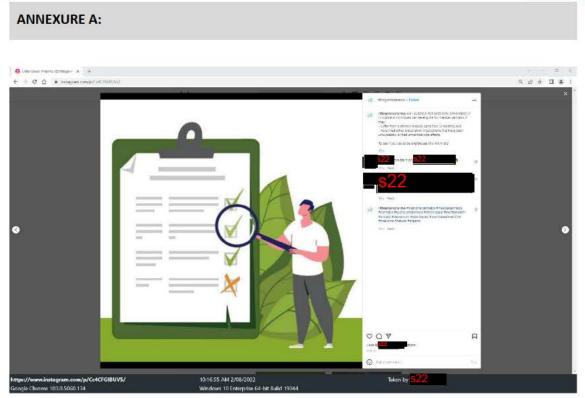
Written representations can be made by sending them directly to:

- <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609





Department of Health and Aged Care Therapeutic Goods Administration

Little Green Pharma Ltd ACN 615 586 215 Suite 2 Level 2 66 Kings Park Road West Perth WA 6006

By Express Post and By Email: <u>@lgp.global</u> info@littlegreenpharma.com Infringement Notice Number: TGAIN-AC-00000002798/2022-14

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

Enquiries:



INFRINGEMENT NOTICE GIVEN TO

Little Green Pharma Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Little Green Pharma Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Little Green Pharma Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(7) applied.

The details of the alleged contravention are that:

On 2 August 2022, Little Green Pharma Ltd did advertise, or caused the advertising of, a therapeutic good, being LGP Flower CBD 14 – Sky Mist, on the web page located at https://www.instagram.com/p/CckQ1jPLb76/.

The advertisement referred to a good containing substances included in Schedule 3, 4 or 8 to the current Poisons Standard, such as cannabidiol or cannabis, but not in Appendix H of the <u>current Poisons Standard</u>, other than a reference authorised or required by a government or government authority.



The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.¹⁷

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.

CHEQUE Return this notice to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



CREDIT CARD

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on **(02) 6289 1095**. Please include the infringement notice number TGAIN-AC-000000002798/2022-14 as reference to identify your payment



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-00000002798/2022-14 in the description of your transfer and allow two business days for payment to be received.

¹⁷ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice, and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

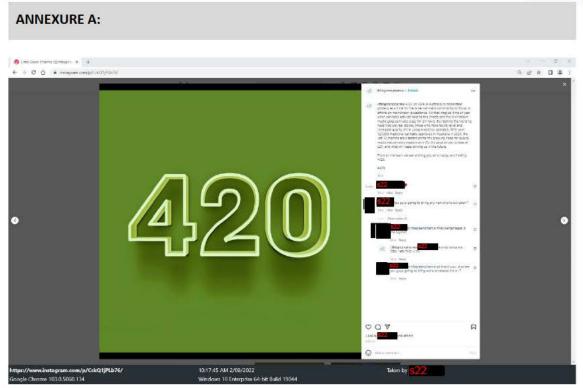
Written representations can be made by sending them directly to:

- <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609





Department of Health and Aged Care Therapeutic Goods Administration

Little Green Pharma Ltd ACN 615 586 215 Suite 2 Level 2 66 Kings Park Road West Perth WA 6006

By Express Post and By Email: <u>@lgp.global</u> info@littlegreenpharma.com Infringement Notice Number: TGAIN-AC-00000002798/2022-15

@health.gov.au

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

Enquiries:

Telephone: ^{s22} Email: ^{s22}

INFRINGEMENT NOTICE GIVEN TO

Little Green Pharma Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Little Green Pharma Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Little Green Pharma Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(9) applied.

The details of the alleged contravention are that:

On 2 August 2022, Little Green Pharma Ltd did advertise, or caused the advertising of, a therapeutic good, being LGP Flower CBD 14 – Sky Mist, on the web page located at https://www.instagram.com/p/CckQ1jPLb76/.

The advertisement referred to a therapeutic good that is not entered in the Australian Register of Therapeutic Goods and that is a prescribed good, being a therapeutic good that is neither the subject of an exemption, approval or authority under the Act. The reference



to the prescribed good is not authorised or required by a government or government authority.

An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.¹⁸

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.

Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



CREDIT CARD

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on **(02) 6289 1095**. Please include the infringement notice number TGAIN-AC-000000002798/2022-15 as reference to identify your payment



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-00000002798/2022-15 in the description of your transfer and allow two business days for payment to be received.

¹⁸ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice, and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

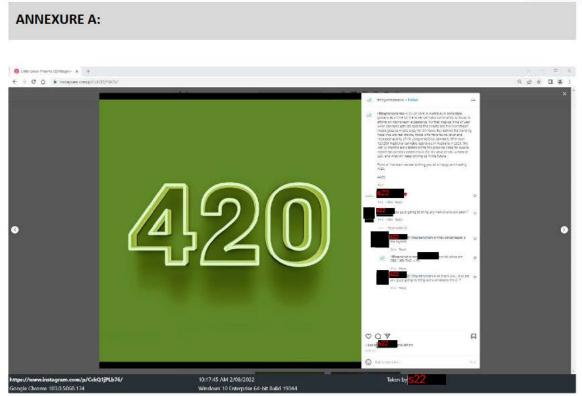
Written representations can be made by sending them directly to:

- <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609





Department of Health and Aged Care Therapeutic Goods Administration

Little Green Pharma Ltd ACN 615 586 215 Suite 2 Level 2 66 Kings Park Road West Perth WA 6006

By Express Post and By Email: <u>@lgp.global</u> info@littlegreenpharma.com Infringement Notice Number: TGAIN-AC-00000002798/2022-16

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

Enquiries:



INFRINGEMENT NOTICE GIVEN TO

Little Green Pharma Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Little Green Pharma Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Little Green Pharma Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(7) applied.

The details of the alleged contravention are that:

On 2 August 2022, Little Green Pharma Ltd did advertise, or caused the advertising of, therapeutic goods, being medicinal cannabis products, on the web page located at <u>https://www.instagram.com/p/CZ3cx_6gYls/</u>.

The advertisement referred to goods containing substances included in Schedule 3, 4 or 8 to the current Poisons Standard, such as cannabidiol or cannabis, but not in Appendix H of the <u>current Poisons Standard</u>, other than a reference authorised or required by a government or government authority.



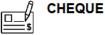
The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.¹⁹

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.



Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



CREDIT CARD

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on **(02) 6289 1095**. Please include the infringement notice number TGAIN-AC-00000002798/2022-16 as reference to identify your payment



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-00000002798/2022-16 in the description of your transfer and allow two business days for payment to be received.

¹⁹ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice, and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

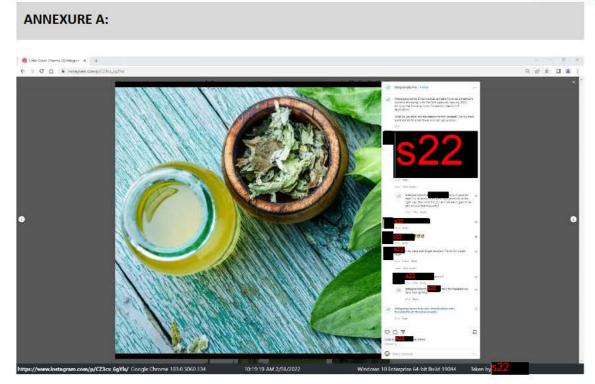
Written representations can be made by sending them directly to:

- <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609





Department of Health and Aged Care Therapeutic Goods Administration

Little Green Pharma Ltd ACN 615 586 215 Suite 2 Level 2 66 Kings Park Road West Perth WA 6006

By Express Post and By Email: <u>@lgp.global</u> info@littlegreenpharma.com Infringement Notice Number: TGAIN-AC-00000002798/2022-17

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

Enquiries:

Telephone: ^{s22} Email: ^{s22} @health.gov.au

INFRINGEMENT NOTICE GIVEN TO

Little Green Pharma Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Little Green Pharma Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Little Green Pharma Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(9) applied.

The details of the alleged contravention are that:

On 2 August 2022, Little Green Pharma Ltd did advertise, or caused the advertising of, a therapeutic good, being LGP Classic 1:100, on the web page located at https://www.instagram.com/p/CZ3cx_6gYls/.

The advertisement referred to a therapeutic good that is not entered in the Australian Register of Therapeutic Goods and that is a prescribed good, being a therapeutic good that is neither the subject of an exemption, approval or authority under the Act. The reference



to the prescribed good is not authorised or required by a government or government authority.

An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.²⁰

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.

Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on **(02) 6289 1095**. Please include the infringement notice number TGAIN-AC-000000002798/2022-17 as reference to identify your payment

CREDIT CARD



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-00000002798/2022-17 in the description of your transfer and allow two business days for payment to be received.

²⁰ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice, and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

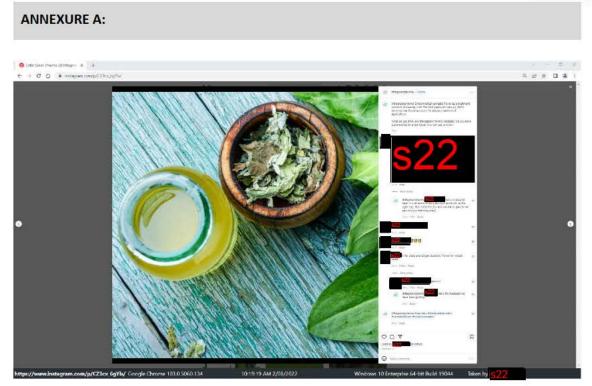
Written representations can be made by sending them directly to:

- <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609





Department of Health and Aged Care Therapeutic Goods Administration

> Infringement Notice Number: TGAIN-AC-00000002798/2022-18 Date given: 27/09/2022 Penalty total: \$ 13,320.00 Payment due: 26/10/2022 Enquiries: \$22 Telephone: \$22 Email: \$22 @health.gov.au

Little Green Pharma Ltd ACN 615 586 215 Suite 2 Level 2 66 Kings Park Road West Perth WA 6006

By Express Post and By Email: <u>@lgp.global</u> info@littlegreenpharma.com

INFRINGEMENT NOTICE GIVEN TO

Little Green Pharma Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Little Green Pharma Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Little Green Pharma Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(9) applied.

The details of the alleged contravention are that:

On 2 August 2022, Little Green Pharma Ltd did advertise, or caused the advertising of, a therapeutic good, being LGP Classic 20:5, on the web page located at <u>https://www.instagram.com/p/CZ3cx_6gYls/</u>.

The advertisement referred to a therapeutic good that is not entered in the Australian Register of Therapeutic Goods and that is a prescribed good, being a therapeutic good that is neither the subject of an exemption, approval or authority under the Act. The reference



to the prescribed good is not authorised or required by a government or government authority.

An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.²¹

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.

Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



CREDIT CARD

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on **(02) 6289 1095**. Please include the infringement notice number TGAIN-AC-000000002798/2022-18 as reference to identify your payment



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-00000002798/2022-18 in the description of your transfer and allow two business days for payment to be received.

²¹ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice, and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

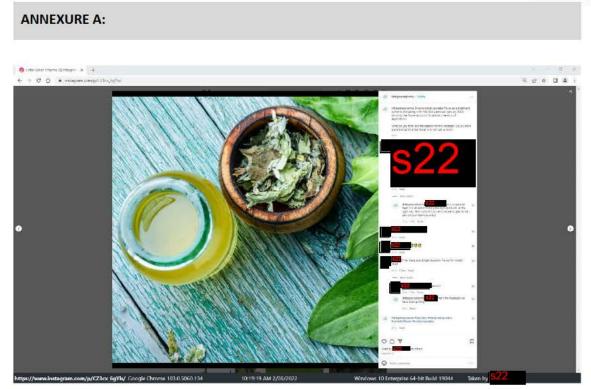
Written representations can be made by sending them directly to:

- <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609





Department of Health and Aged Care Therapeutic Goods Administration

Little Green Pharma Ltd ACN 615 586 215 Suite 2 Level 2 66 Kings Park Road West Perth WA 6006

By Express Post and By Email: <u>@lgp.global</u> info@littlegreenpharma.com Infringement Notice Number: TGAIN-AC-00000002798/2022-19

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

Enquiries:

Telephone: ^{S22} Email: ^{S22} @health.gov.au

INFRINGEMENT NOTICE GIVEN TO

Little Green Pharma Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Little Green Pharma Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Little Green Pharma Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(2) applied.

The details of the alleged contravention are that:

On 2 August 2022, Little Green Pharma Ltd did advertise, or caused the advertising of, therapeutic goods, being medicinal cannabis products, on the web page located at https://www.instagram.com/p/CYqZy3wrmw /.

The advertisement contained a prohibited representation (whether in express terms or by necessary implication) about the goods, being a reference to post-traumatic stress disorder. No permission under section 42DK of the Act was in force in relation to the prohibited representation.



An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.²²

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.

CHEQUE Return this notice to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



CREDIT CARD

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on **(02) 6289 1095**. Please include the infringement notice number TGAIN-AC-000000002798/2022-19 as reference to identify your payment



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-00000002798/2022-19 in the description of your transfer and allow two business days for payment to be received.

²² A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice, and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

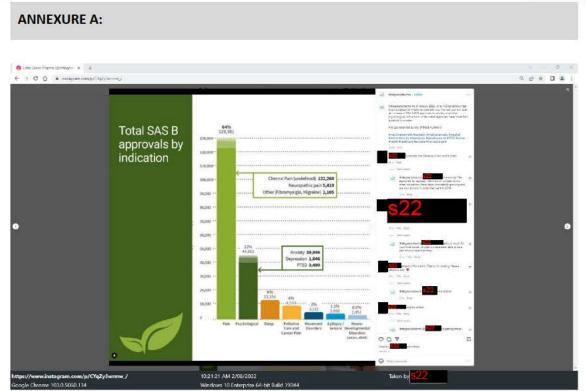
Written representations can be made by sending them directly to:

- <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609



@health.gov.au



Australian Government

Department of Health and Aged Care Therapeutic Goods Administration

Little Green Pharma Ltd ACN 615 586 215 Suite 2 Level 2 66 Kings Park Road West Perth WA 6006

By Express Post and By Email: <u>@lgp.global</u> info@littlegreenpharma.com Infringement Notice Number: TGAIN-AC-00000002798/2022-20

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

Enquiries:

Telephone: Email: 522

INFRINGEMENT NOTICE GIVEN TO

Little Green Pharma Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Little Green Pharma Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Little Green Pharma Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(2) applied.

The details of the alleged contravention are that:

On 2 August 2022, Little Green Pharma Ltd did advertise, or caused the advertising of, therapeutic goods, being medicinal cannabis products, on the web page located at https://www.instagram.com/p/CYgZy3wrmw /.

The advertisement contained a prohibited representation (whether in express terms or by necessary implication) about the goods, being a reference to cancer. No permission under section 42DK of the Act was in force in relation to the prohibited representation.



An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.²³

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.

CHEQUE Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



CREDIT CARD

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on **(02) 6289 1095**. Please include the infringement notice number TGAIN-AC-000000002798/2022-20 as reference to identify your payment



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-00000002798/2022-20 in the description of your transfer and allow two business days for payment to be received.

²³ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice, and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

- <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

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Department of Health and Aged Care Therapeutic Goods Administration

Little Green Pharma Ltd ACN 615 586 215 Suite 2 Level 2 66 Kings Park Road West Perth WA 6006

By Express Post and By Email: <u>@lgp.global</u> info@littlegreenpharma.com Infringement Notice Number: TGAIN-AC-00000002798/2022-21

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

Enquiries:

Telephone: ^{s22} Email: ^{s22} @health.gov.au

INFRINGEMENT NOTICE GIVEN TO

Little Green Pharma Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Little Green Pharma Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Little Green Pharma Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(4) applied.

The details of the alleged contravention are that:

On 2 August 2022, Little Green Pharma Ltd did advertise, or caused the advertising of, therapeutic goods, being medicinal cannabis products, on the web page located at <u>https://www.instagram.com/p/CYqZy3wrmw_/</u>.

The advertisement contained a restricted representation, (whether in express terms or by necessary implication), being a reference to autism spectrum disorder, about the goods. Neither an approval under section 42DF of the Act, nor a permission under section 42DK of the Act was in force in relation to the restricted representation.



An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.²⁴

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.

CHEQUE Return this notice to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



CREDIT CARD

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on **(02) 6289 1095**. Please include the infringement notice number TGAIN-AC-000000002798/2022-21 as reference to identify your payment



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-00000002798/2022-21 in the description of your transfer and allow two business days for payment to be received.

²⁴ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice, and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

- <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

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Department of Health and Aged Care Therapeutic Goods Administration

Little Green Pharma Ltd ACN 615 586 215 Suite 2 Level 2 66 Kings Park Road West Perth WA 6006

By Express Post and By Email: <u>@lgp.global</u> info@littlegreenpharma.com Infringement Notice Number: TGAIN-AC-00000002798/2022-22

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

Enquiries:

szz Telephone: ^{szz} Email: ^{szz} <u>@health.gov.au</u>

INFRINGEMENT NOTICE GIVEN TO

Little Green Pharma Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Little Green Pharma Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Little Green Pharma Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(4) applied.

The details of the alleged contravention are that:

On 2 August 2022, Little Green Pharma Ltd did advertise, or caused the advertising of, therapeutic goods, being medicinal cannabis products, on the web page located at https://www.instagram.com/p/CYgZy3wrmw /.

The advertisement contained a restricted representation, (whether in express terms or by necessary implication), being a reference to epilepsy, about the goods. Neither an approval under section 42DF of the Act, nor a permission under section 42DK of the Act was in force in relation to the restricted representation.



An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.²⁵

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.

CHEQUE Return this notice to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



CREDIT CARD

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on **(02) 6289 1095**. Please include the infringement notice number TGAIN-AC-000000002798/2022-22 as reference to identify your payment



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-00000002798/2022-22 in the description of your transfer and allow two business days for payment to be received.

²⁵ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice, and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

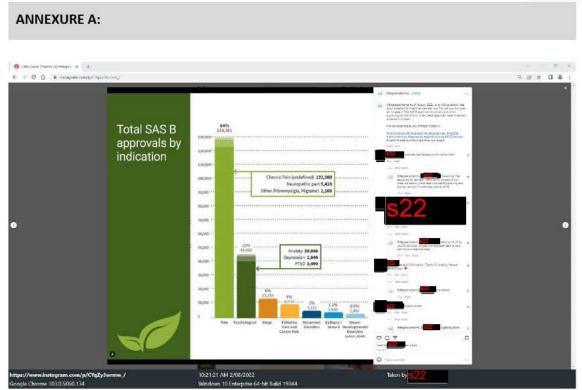
Written representations can be made by sending them directly to:

- <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609





Department of Health and Aged Care Therapeutic Goods Administration

Little Green Pharma Ltd ACN 615 586 215 Suite 2 Level 2 66 Kings Park Road West Perth WA 6006

By Express Post and By Email: <u>@lgp.global</u> info@littlegreenpharma.com Infringement Notice Number: TGAIN-AC-00000002798/2022-23

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

Enquiries:

Telephone.^{s22} Email: ^{s22} @health.gov.au

INFRINGEMENT NOTICE GIVEN TO

Little Green Pharma Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Little Green Pharma Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Little Green Pharma Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(4) applied.

The details of the alleged contravention are that:

On 2 August 2022, Little Green Pharma Ltd did advertise, or caused the advertising of, therapeutic goods, being medicinal cannabis products, on the web page located at https://www.instagram.com/p/CYgZy3wrmw /.

The advertisement contained a restricted representation, (whether in express terms or by necessary implication), being a reference to insomnia, about the goods. Neither an approval under section 42DF of the Act, nor a permission under section 42DK of the Act was in force in relation to the restricted representation.



An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.²⁶

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.

CHEQUE Return this notice to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



CREDIT CARD

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on **(02) 6289 1095**. Please include the infringement notice number TGAIN-AC-000000002798/2022-23 as reference to identify your payment



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-00000002798/2022-23 in the description of your transfer and allow two business days for payment to be received.

²⁸ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice, and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

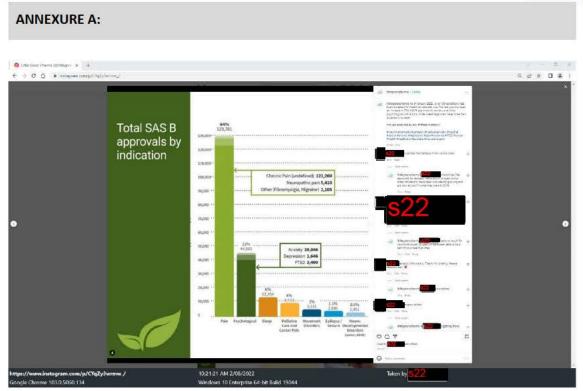
Written representations can be made by sending them directly to:

- <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609





Department of Health and Aged Care Therapeutic Goods Administration

Little Green Pharma Ltd ACN 615 586 215 Suite 2 Level 2 66 Kings Park Road West Perth WA 6006

By Express Post and By Email: <u>@lgp.global</u> info@littlegreenpharma.com Infringement Notice Number: TGAIN-AC-00000002798/2022-24

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

Enquiries:



INFRINGEMENT NOTICE GIVEN TO

Little Green Pharma Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Little Green Pharma Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Little Green Pharma Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(4) applied.

The details of the alleged contravention are that:

On 2 August 2022, Little Green Pharma Ltd did advertise, or caused the advertising of, therapeutic goods, being medicinal cannabis products, on the web page located at https://www.instagram.com/p/CYgZy3wrmw /.

The advertisement contained a restricted representation, (whether in express terms or by necessary implication), being a reference to fibromyalgia, about the goods. Neither an approval under section 42DF of the Act, nor a permission under section 42DK of the Act was in force in relation to the restricted representation.



An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.²⁷

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.

CHEQUE Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



CREDIT CARD

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on **(02) 6289 1095**. Please include the infringement notice number TGAIN-AC-000000002798/2022-24 as reference to identify your payment



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-00000002798/2022-24 in the description of your transfer and allow two business days for payment to be received.

²⁷ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice, and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

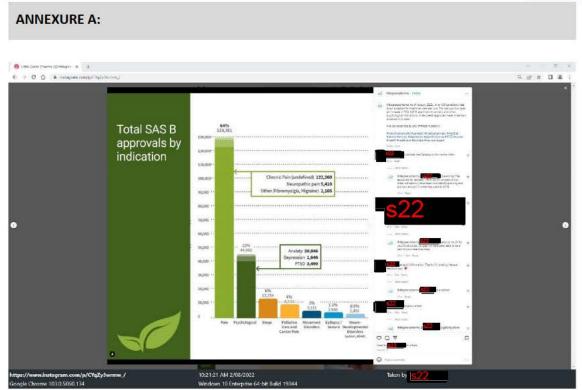
Written representations can be made by sending them directly to:

- <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609





Department of Health and Aged Care Therapeutic Goods Administration

Little Green Pharma Ltd ACN 615 586 215 Suite 2 Level 2 66 Kings Park Road West Perth WA 6006

By Express Post and By Email: <u>@lgp.global</u> info@littlegreenpharma.com Infringement Notice Number: TGAIN-AC-00000002798/2022-25

@health.gov.au

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

Enquiries:

Telephone: ⁵²² Email: ⁵²²

INFRINGEMENT NOTICE GIVEN TO

Little Green Pharma Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Little Green Pharma Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Little Green Pharma Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(4) applied.

The details of the alleged contravention are that:

On 2 August 2022, Little Green Pharma Ltd did advertise, or caused the advertising of, therapeutic goods, being medicinal cannabis products, on the web page located at https://www.instagram.com/p/CYgZy3wrmw /.

The advertisement contained a restricted representation, (whether in express terms or by necessary implication), being a reference to attention deficit hyperactivity disorder, about the goods. Neither an approval under section 42DF of the Act, nor a permission under section 42DK of the Act was in force in relation to the restricted representation.



An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

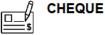
The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.²⁸

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.



Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



CREDIT CARD

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on **(02) 6289 1095**. Please include the infringement notice number TGAIN-AC-00000002798/2022-25 as reference to identify your payment



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-00000002798/2022-25 in the description of your transfer and allow two business days for payment to be received.

²⁸ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice, and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

- <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

ANNEXURE A:			
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Department of Health and Aged Care Therapeutic Goods Administration

Little Green Pharma Ltd ACN 615 586 215 Suite 2 Level 2 66 Kings Park Road West Perth WA 6006

By Express Post and By Email: <u>@lgp.global</u> info@littlegreenpharma.com Infringement Notice Number: TGAIN-AC-00000002798/2022-26

@health.gov.au

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

Enquiries:

Telephone: ^{s22} Email: ^{s22}

INFRINGEMENT NOTICE GIVEN TO

Little Green Pharma Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Little Green Pharma Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Little Green Pharma Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(7) applied.

The details of the alleged contravention are that:

On 2 August 2022, Little Green Pharma Ltd did advertise, or caused the advertising of, therapeutic goods, being the medicinal cannabis products, on the web page located at <u>https://www.facebook.com/littlegreenpharma/photos/a.498524723849363/163646499338</u>8658/.

The advertisement referred to goods containing substances included in Schedule 3, 4 or 8 to the current Poisons Standard, such as cannabidiol or cannabis, but not in Appendix



H of the <u>current Poisons Standard</u>, other than a reference authorised or required by a government or government authority.

An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.²⁹

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.

Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received **H ()**

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on **(02) 6289 1095**. Please include the infringement notice number TGAIN-AC-000000002798/2022-26 as reference to identify your payment

CREDIT CARD



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-00000002798/2022-26 in the description of your transfer and allow two business days for payment to be received.

²⁹ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice, and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

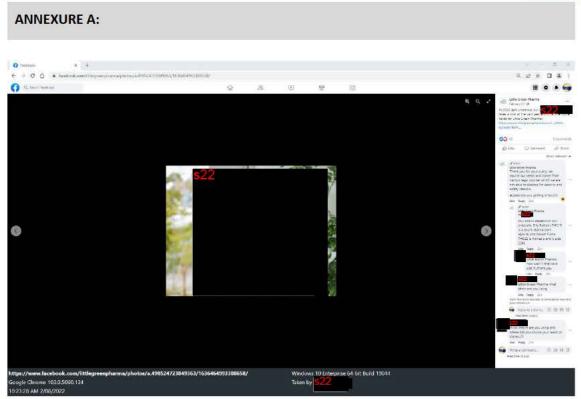
Written representations can be made by sending them directly to:

- <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609





Department of Health and Aged Care Therapeutic Goods Administration

> Infringement Notice Number: TGAIN-AC-000000002798/2022-27

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

Enquiries:

S22 Telephone: S22 Email: S22 @health.gov.au

Little Green Pharma Ltd ACN 615 586 215 Suite 2 Level 2 66 Kings Park Road West Perth WA 6006

By Express Post and By Email: <u>@lgp.global</u> <u>info@littlegreenpharma.com</u>

INFRINGEMENT NOTICE GIVEN TO

Little Green Pharma Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Little Green Pharma Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Little Green Pharma Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(9) applied.

The details of the alleged contravention are that:

On 2 August 2022, Little Green Pharma Ltd did advertise, or caused the advertising of, a therapeutic good, being LGP Flower THC 22 – Desert Flame, on the web page located at <u>https://www.facebook.com/littlegreenpharma/photos/a.498524723849363/163646499338</u>8658/.

The advertisement referred to a therapeutic good that is not entered in the Australian Register of Therapeutic Goods and that is a prescribed good, being a therapeutic good that is neither the subject of an exemption, approval or authority under the Act. The reference



to the prescribed good is not authorised or required by a government or government authority.

An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.³⁰

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.

Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



CREDIT CARD

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on **(02) 6289 1095**. Please include the infringement notice number TGAIN-AC-00000002798/2022-27 as reference to identify your payment



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-00000002798/2022-27 in the description of your transfer and allow two business days for payment to be received.

³⁰ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice, and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

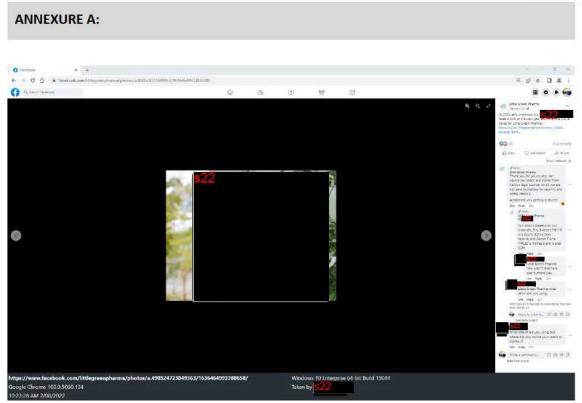
Written representations can be made by sending them directly to:

- <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609





Department of Health and Aged Care Therapeutic Goods Administration

> Infringement Notice Number: TGAIN-AC-000000002798/2022-28

Date given: 27/09/2022

Penalty total: \$ 13,320.00

Payment due: 26/10/2022

Enquiries:

S22 Telephone: S22 Email: S22 @health.gov.au

Little Green Pharma Ltd ACN 615 586 215 Suite 2 Level 2 66 Kings Park Road West Perth WA 6006

By Express Post and By Email: <u>@lgp.global</u> <u>info@littlegreenpharma.com</u>

INFRINGEMENT NOTICE GIVEN TO

Little Green Pharma Ltd

PART A: Infringement Notice given by

Nicole McLay Delegate of the Secretary of the Australian Government Department of Health and Aged Care

PART B: Details of alleged contravention

I am a delegate of the Secretary of the Australian Government Department of Health and Aged Care under section 42YK of the *Therapeutic Goods Act 1989* (the Act). I have decided to give this Infringement Notice (the notice) to Little Green Pharma Ltd under Part 5A-2 of the Act on the basis that I reasonably believe that Little Green Pharma Ltd has contravened section 42DLB(1) of the Act, where subsection 42DLB(9) applied.

The details of the alleged contravention are that:

On 2 August 2022, Little Green Pharma Ltd did advertise, or caused the advertising of, a therapeutic good, being LGP Flower THC 19 – Billy Buttons, on the web page located at <u>https://www.facebook.com/littlegreenpharma/photos/a.498524723849363/163646499338</u>8658/.

The advertisement referred to a therapeutic good that is not entered in the Australian Register of Therapeutic Goods and that is a prescribed good, being a therapeutic good that is neither the subject of an exemption, approval or authority under the Act. The reference



to the prescribed good is not authorised or required by a government or government authority.

An image of the advertisement taken by the Therapeutic Goods Administration is included at **Annexure A** to this notice.

The maximum penalty a court could impose on a company for a single contravention of subsection 42DLB(1) is 50,000 penalty units. For the above alleged contravention, this amounts to \$11,100,000.³¹

The amount payable under this notice is \$13,320. The due date for payment of the penalty amount is specified in the red box at the top of this notice.

Please carefully read Part D: Information about this Infringement Notice.

PART C: Payment details

Please ensure that you allow time for your payment to be received by the due date.

Return <u>this notice</u> to Department of Health and Aged Care, Accounts Receivable, GPO Box 9848, Canberra ACT 2601 with your cheque made payable to the Department of Health and Aged Care. Please allow 5 business days for payment to be received



CREDIT CARD

Use your credit card to pay your notice by calling the Collector of Relevant Monies directly on **(02) 6289 1095**. Please include the infringement notice number TGAIN-AC-000000002798/2022-28 as reference to identify your payment



ELECTRONIC FUNDS TRANSFER Account name:

Department of Health and Aged Care BSB: 092 009 Account: 114 071 Bank: Reserve Bank of Australia, London Circuit, Canberra ACT 2601 Swift: RSBKAU2S (if overseas deposits are relevant). Please include the infringement notice number TGAIN-AC-00000002798/2022-28 in the description of your transfer and allow two business days for payment to be received.

³¹ A penalty unit is currently \$222 (section 4AA of the Crimes Act 1914).

This information is designed to help you (the person to whom this notice has been given) understand the following:

- the compliance period (the period within which the penalty amount is payable)
- · how to apply for an extension of time to pay the penalty amount
- how to make a written representation seeking withdrawal of this notice
- the effect of complying with this notice, and
- the effect of failing to comply with this notice.

This information is for **general guidance only**. You should obtain independent legal advice if you have specific concerns.

Compliance period

The compliance period for this notice is 28 days beginning on the day after the day that this notice is given to you. The Therapeutic Goods Administration (TGA) is not legally able to accept payment of the notice after it has lapsed.

How to request an extension of time to pay the penalty amount

You may apply to the Secretary of the Australian Government Department of Health and Aged Care (the Secretary) for an extension of the compliance period for this notice, provided your application is made before the end of that period. The Secretary may extend that period in writing before or after the end of that period. Requests can be made by sending them directly to:

- s22 @health.gov.au; or
- PO Box 100; WODEN ACT 2609

Effect of complying with this notice

If you pay the full penalty amount payable under this notice within the compliance period, proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act will not be brought against you in relation to the alleged contravention described in this notice (unless this notice is withdrawn).

Your payment of the penalty amount payable under this notice is not an admission of guilt or liability.

The Australian Government Department of Health and Aged Care will, from time to time, make public reference to infringement notices that have been paid by companies or individuals, including in media statements and publications by the TGA containing information about the alleged conduct of a company or an individual and the fact that compliance with the infringement notice does not amount to an admission or finding that the Act has been contravened.

Effect of failing to comply with this notice

If the compliance period has passed and no payment has been received, the notice is considered to have lapsed. No extension of time can be granted if the application is made after the compliance period has passed and no further payment can be accepted against a lapsed notice. If you pay the penalty amount payable under this notice after the compliance period has lapsed, you will be refunded the amount paid.

Please be aware that once the infringement notice has lapsed, the Secretary may commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

How this notice can be withdrawn

The Secretary may withdraw this notice even if you have already paid the penalty amount payable under this notice. In such a case, you will be refunded the amount paid.

You may make a written representation to the Secretary seeking the withdrawal of this notice. Your representation should explain why this notice should be withdrawn and include supporting documents.

Please ensure that your written representation is addressed to and received by the person who has given you this notice within the compliance period. You can make written representations seeking withdrawal of this infringement notice at any time before the payment due date. However, to allow the Secretary to make a decision in relation to such a request before the payment due date, you should make it no less than seven business days before the payment due date.

Written representations can be made by sending them directly to:

- <u>@health.gov.au;</u> or
- PO Box 100; WODEN ACT 2609

Effect of withdrawal of this notice

If this notice is withdrawn, the TGA may nevertheless commence proceedings seeking a pecuniary penalty order under subsection 42Y(2) of the Act against you in relation to the alleged contravention described in this infringement notice.

Nicole McLay Assistant Secretary Regulatory Compliance Branch Therapeutic Goods Administration PO Box 100; WODEN ACT 2609

