

Investigational Medicinal Products – Expectations and Effective Risk Mitigation

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GMP FORUM 2024



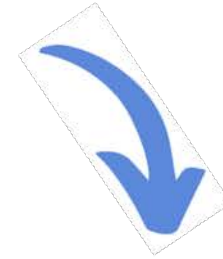
Australian Government
Department of Health and Aged Care
Therapeutic Goods Administration

[tga.gov.au](https://www.tga.gov.au)

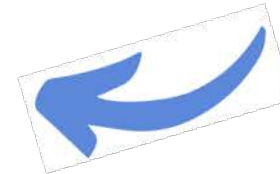
Overview


- Why GMP is important for IMPs
- PE009-16 and Annex 13 requirements
- Commonly observed deficiencies

Journey of a Medicine



*Testing for the medicine's
safety and effectiveness*



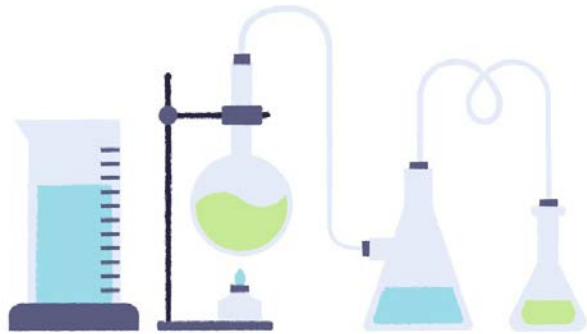


*Clinical trials involving therapeutic goods are generally undertaken to **assess the effects, efficacy, performance and/or safety of the product**. It is therefore, necessary that clinical trials are conducted using appropriate experimental designs **to obtain valid data without exposing participants to unnecessary risks**.*

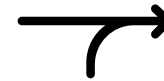
*Before starting a clinical trial, all parties should be satisfied that the rights, **safety and well-being of trial participants will be protected and that clinical trial data generated will be reliable and robust**.*

Australian clinical trial handbook v.2.4, Aug 2021

IMP may pose increased risk to patients

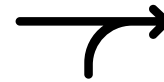


Incomplete knowledge of potency and toxicity



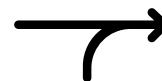
↑ risk of contamination

Lack of full process validation




↑ inter- and intra- batch variations

Added complexity due to CT design and consequential packaging design



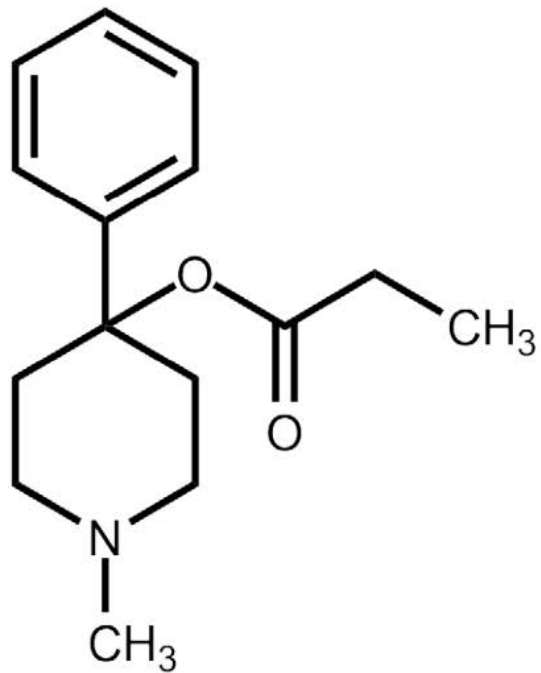
↑ risk of mix-up



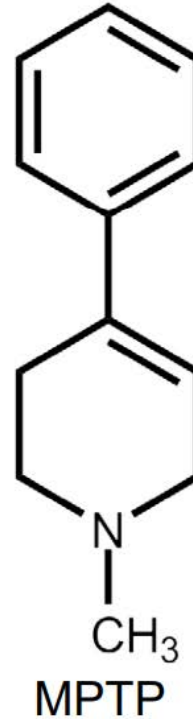
*There are many different types of **poor-quality drugs** that could mistakenly be included in clinical trials, including those with no, too little, or too much active pharmaceutical ingredient, those with the wrong active pharmaceutical ingredient, those with inadequate bioavailability, and those that degrade with toxic products or contaminants.*

BMJ 2015; 350 doi: <https://doi.org/10.1136/bmj.h602>
(Published 25 February 2015)

Poor quality medicines due to impurities



Desmethyprodine
an opioid analgesic



MPTP
causes chronic irreversible
Parkinsonian symptoms

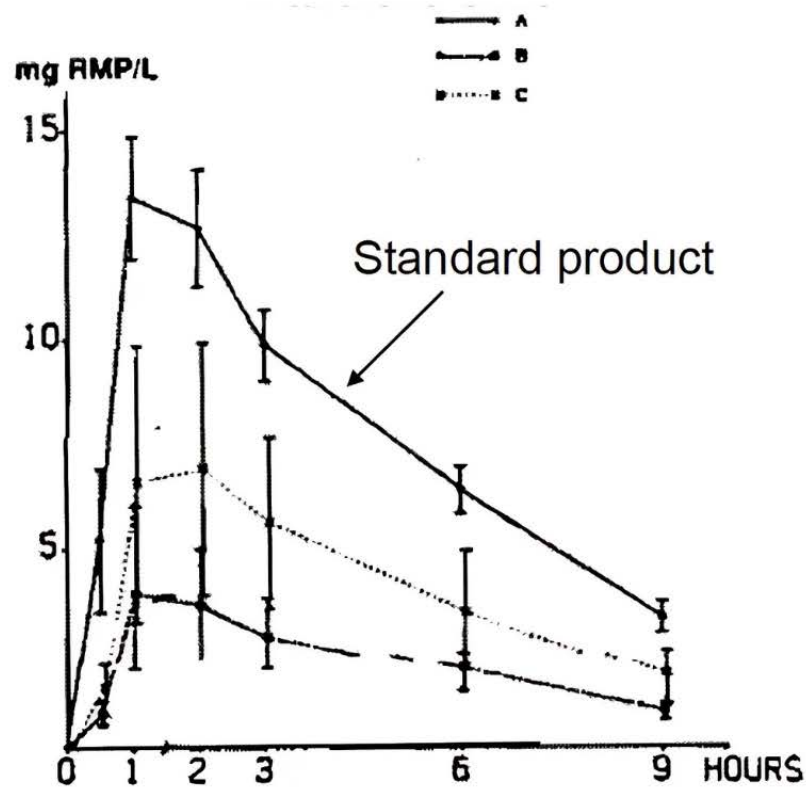
Markey SP, Schmuff NR, Med Res Rev. 1986,
6(4):389-429



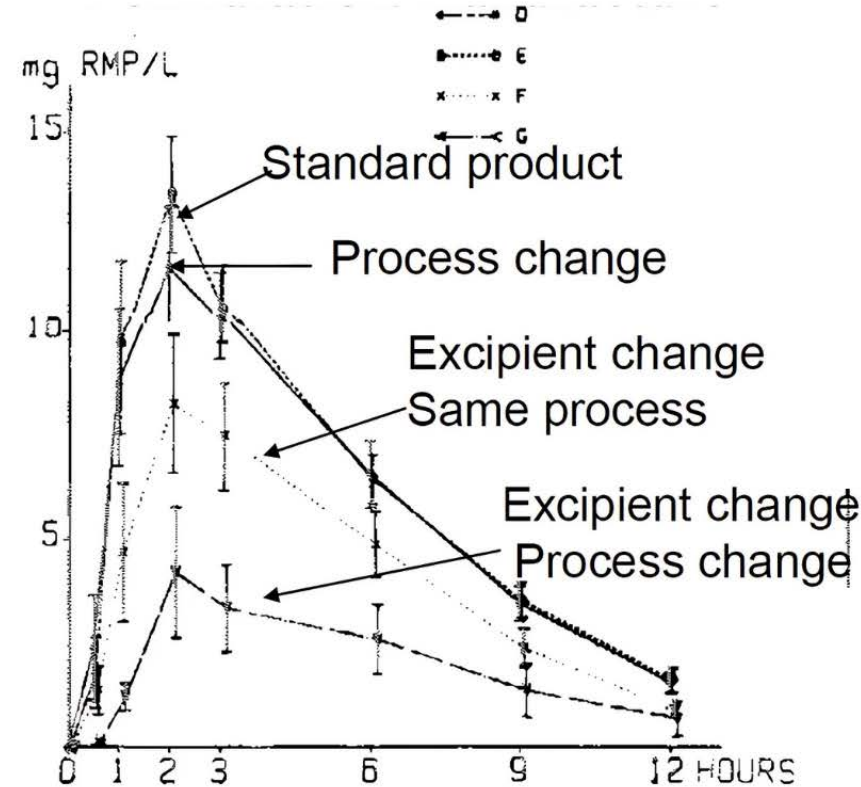
Poor quality medicines due to different bioavailability

Rifampicin Bioavailability

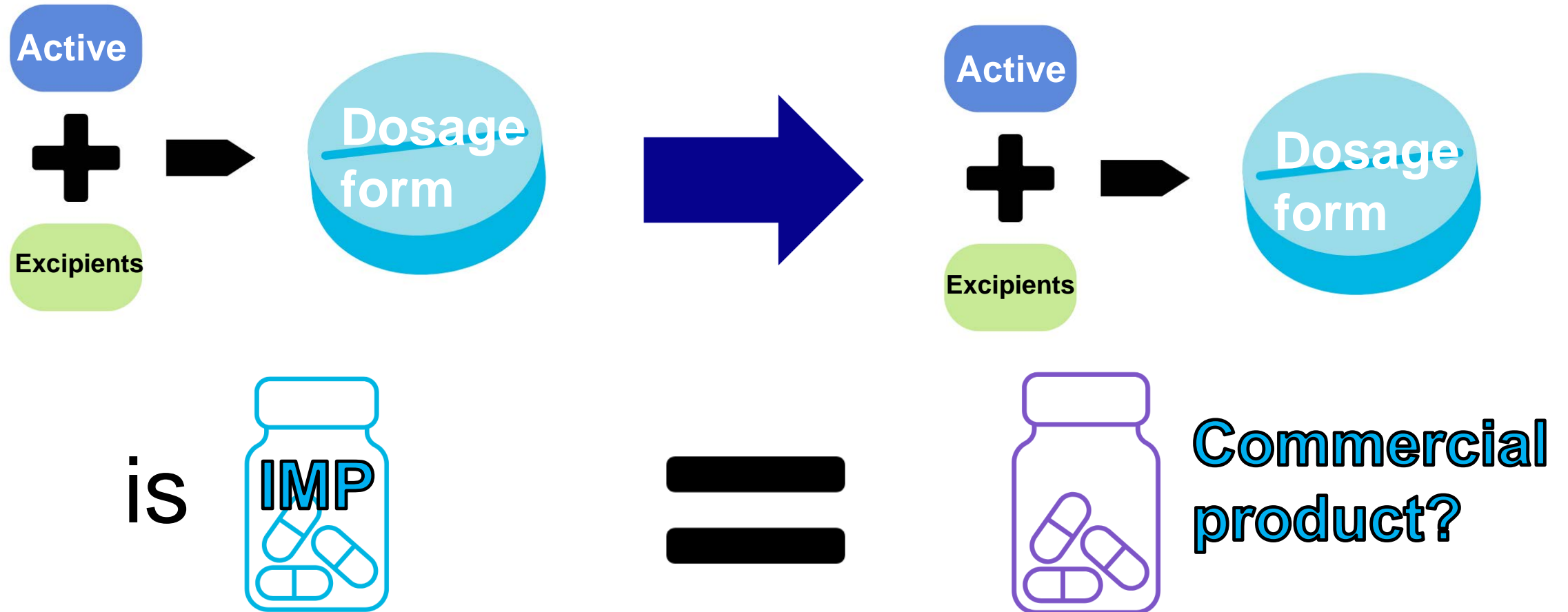
Particle size



Formulation / manufacture



Equivalency of IMP batch and commercial batch



PIC/S Guide to Good Manufacturing Practice PE009-16



Principles in PE009 Part I applies to IMPs. Annex 13 addresses specific issue relating to IMPs.

*PE009-16 Annex 13 is applicable to investigational medicinal products that are a **pharmaceutical form of an active substance or placebo...***

PE009-16 Annex 13 describes requirements to ensure that:

- subjects/patients are not placed at undue risk,
- results of clinical trials are reliable
- consistency between batches of the same IMP used in the same or different CT,
- any changes are adequately documented and justified.

PE009-16 Annex 13 – Section 2 Pharmaceutical Quality System

Expectation that material suppliers are assessed, qualified/approved and supply chain integrity assured

Examples of non-conformances observed:

Suppliers
not
assessed

Suppliers
not
adequately
assessed

Supply
chain not
checked or
not
adequately
checked

The principles of Annex 16 apply to the release for supply of IMPs

PE009-16 Annex 13 – Section 2.1 Product Specification File and Section 5.2 Order

Expectation that there is a Product Specification File (PSF) containing all information/documents relevant to the manufacturing and quality of the IMP.

Examples of non-conformances observed:

PSF not established or complete

Documents forming the PSF not authorised and/or controlled

No 'order' or order not appropriately authorised

PE009-16 Annex 13 – Section 4 – Premises and Equipment

Expectation that facilities, utilities and equipment are fully qualified and there is a robust cross contamination control in place including cleaning verification

Examples of non-conformances observed:

Equipment,
utilities, facilities
not fully
qualified

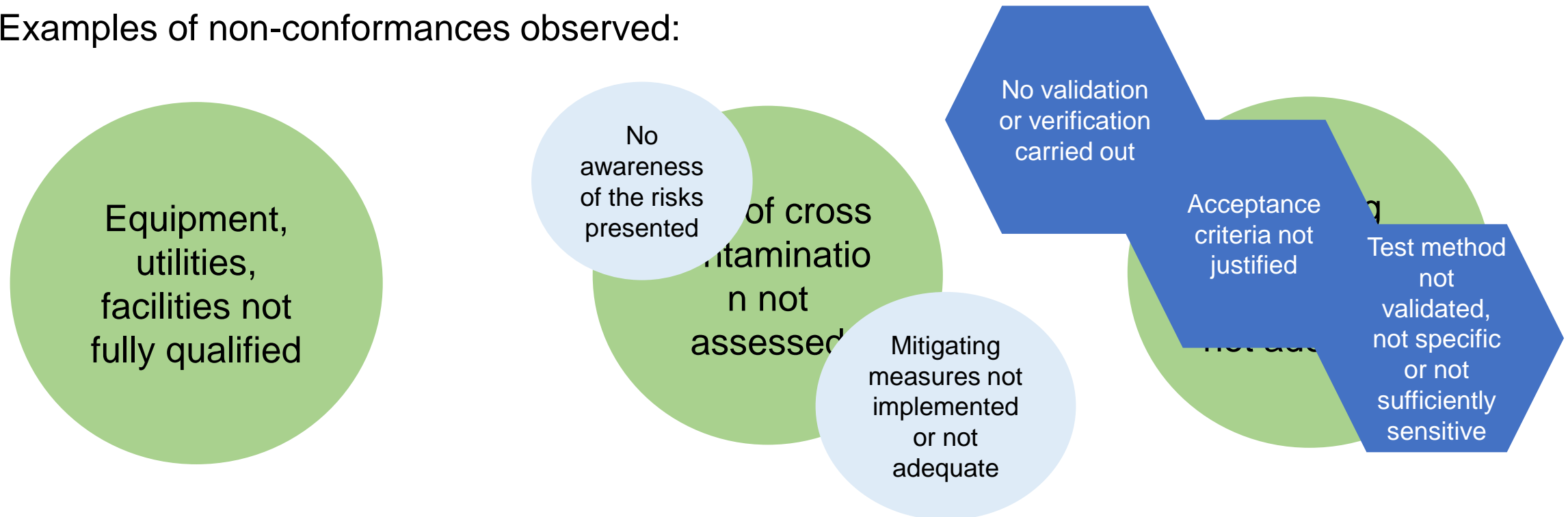
Risk of cross
contamination
not assessed

Cleaning
validation/
verification not
adequate

PE009-16 Annex 13 – Section 4 – Premises and Equipment

Expectation that facilities, utilities and equipment are fully qualified and there is a robust cross contamination control in place including cleaning verification

Examples of non-conformances observed:



PE009-16 Annex 13 – Section 5 – Production

Expectation that manufacturing and packing processes are controlled

Examples of non-conformances observed:

Process not adequately controlled

Labelling not in accordance with the requirements

No data to justified expiry/retest date assigned to the product

Risk of mix-up not assessed, and no mitigating actions implemented

PE009-16 Annex 13 – Section 5 – Production

Expectation that manufacturing and packing processes are controlled

Examples of non-conformances observed:

For sterile products, validation of controls and processes related to sterility should be the same standards as for authorised medicines

Labelling not in accordance with the requirements

Expiry date provided by sponsor

No data justified
expiry/return date assigned to the product

No data for comparator product in different 1^o pack

Risk of mix-up

High risk particularly for packing of blind trials.

actions implemented

PE009-16 Annex 13 – Section 6.6 – Labelling

Expectation that IMP labels meet Clause 6.6.1 requirements.

Annex 13
Clause 6.6.1
lists label
requirements



Reduced labelling requirements (as per PE009-15 Annex 13) continued to be permitted if criteria are met. (TGA Interpretive Guide, expected to be released 1Q2025)

PE009-16 Annex 13 – Section 8 – Release of batches

Annex 13 and Annex 16

New in Annex 13


Verification of the supply chain
(manufacturing, packaging and testing)

If multiple entities are involved,
responsibilities of all AP's must be in a
document agreed by all parties

Annex 16

Batch '**confirmation**' requirement if there
are multiple entities in the supply chain
of the finished IMP.

Audits of suppliers and manufacturers and
testing labs carried out and Audit reports
are available to the AP **certifying** the
batch



Manufacturing of IMPs has added complexity

Trial participants should not be exposed to unnecessary risks

Trial results are not affected due to poor GMP

In conclusion

- These challenges require personnel with a thorough understanding of and training in the application of GMP to IMPs, and
- The manufacturing operations require highly effective quality system.

Questions?



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